

**ANNUAL STATEMENT**

**OF THE**

\_\_\_\_\_  
**WFG NATIONAL**  
\_\_\_\_\_  
**TITLE INSURANCE COMPANY**  
\_\_\_\_\_

**of** \_\_\_\_\_ **COLUMBIA**  
**STATE OF** \_\_\_\_\_ **SOUTH CAROLINA**

**TO THE**

**Insurance Department**

**OF THE**

**FOR THE YEAR ENDED**

**December 31, 2020**

**TITLE**

**2020**



51152202020100100

ANNUAL STATEMENT

For the Year Ended December 31, 2020  
OF THE CONDITION AND AFFAIRS OF THE

WFG National Title Insurance Company

NAIC Group Code	4736	4736	NAIC Company Code	51152	Employer's ID Number	57-0575396	
	(Current Period)	(Prior Period)					
Organized under the Laws of	South Carolina			State of Domicile or Port of Entry			SC
Country of Domicile	USA						
Incorporated/Organized	June 18, 1974			Commenced Business			November 14, 1974
Statutory Home Office	2711 Middleburg Drive Suite 206			Columbia, SC, US 29204			
	(Street and Number)			(City or Town, State, Country and Zip Code)			
Main Administrative Office	12909 SW 68th Parkway, Suite 350			(Street and Number)			
	Portland, OR, US 97223			704-542-7616			
	(City or Town, State, Country and Zip Code)			(Area Code) (Telephone Number)			
Mail Address	7401 Carmel Executive Park Dr, Suite 105			Charlotte, NC, US 28226-8403			
	(Street and Number or P.O. Box)			(City or Town, State, Country and Zip Code)			
Primary Location of Books and Records	7401 Carmel Executive Park Dr, Suite 105			Charlotte, NC, US 28226-8403			
	(Street and Number)			(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)			
Internet Web Site Address	www.wfgnationaltitle.com						
Statutory Statement Contact	John R. Wooldridge Jr.			704-488-4732			
	(Name)			(Area Code) (Telephone Number) (Extension)			
	jwooldridge@willistonfinancial.com			704-542-7618			
	(E-Mail Address)			(Fax Number)			

OFFICERS

	Name	Title
1.	Armen Steven Ozonian	Chief Executive Officer & President
2.	Joseph Vincent McCabe Jr	Exec VP, Secretary & General Counsel
3.	Michael Thomas Gallaher	Exec VP, CFO & Treasurer

VICE-PRESIDENTS

Name	Title	Name	Title
Steven Huntington Winkler	Executive VP & Chief Underwriting Counsel	John Randolph Wooldridge Jr	Senior VP & Controller
Cynthia Lee Tucker	Executive VP		

DIRECTORS OR TRUSTEES

Patrick Francis Stone	Armen Steven Ozonian	Michael Thomas Gallaher	Joseph Vincent McCabe Jr
Cynthia Lee Tucker			

State of North Carolina

County of Mecklenburg

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
Armen Steven Ozonian	Joseph Vincent McCabe Jr	Michael Thomas Gallaher
(Printed Name)	(Printed Name)	(Printed Name)
1.	2.	3.
Chief Executive Officer & President	Exec VP, Secretary & General Counsel	Exec VP, CFO & Treasurer
(Title)	(Title)	(Title)

Subscribed and sworn to (or affirmed) before me this on this  
22nd day of February, 2021, by

a. Is this an original filing? [ X ] Yes [ ] No

b. If no:

1. State the amendment number

2. Date filed

3. Number of pages attached

ASSETS

	Current Year			Prior Year
	1	2	3	4
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	Net Admitted Assets
1. Bonds (Schedule D)	22,097,702		22,097,702	24,969,798
2. Stocks (Schedule D):				
2.1 Preferred stocks	149,880		149,880	
2.2 Common stocks				
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens				
3.2 Other than first liens	10,793	10,793		
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$ 0 encumbrances)				
4.2 Properties held for the production of income (less \$ 0 encumbrances)				
4.3 Properties held for sale (less \$ 0 encumbrances)				
5. Cash (\$ 179,190,977, Schedule E - Part 1), cash equivalents (\$ 12,071,337, Schedule E - Part 2), and short-term investments (\$ 0, Schedule DA)	191,262,314		191,262,314	122,673,345
6. Contract loans (including \$ 0 premium notes)				
7. Derivatives (Schedule DB)				
8. Other invested assets (Schedule BA)	11,276,373		11,276,373	10,473,369
9. Receivables for securities	451		451	4,707
10. Securities lending reinvested collateral assets (Schedule DL)				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	224,797,513	10,793	224,786,720	158,121,219
13. Title plants less \$ 0 charged off (for Title insurers only)	4,079,910		4,079,910	4,079,910
14. Investment income due and accrued	122,191		122,191	159,086
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	4,805,303		4,805,303	2,742,041
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ 0 earned but unbilled premiums)				
15.3 Accrued retrospective premiums (\$ 0) and contracts subject to redetermination (\$ 0)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers				
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts				
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon				
18.2 Net deferred tax asset	4,073,708	1,565,755	2,507,953	1,951,458
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software	4,073,204	1,665,339	2,407,865	1,201,318
21. Furniture and equipment, including health care delivery assets (\$ 0)	1,304,015	1,304,015		
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates	1,570,565		1,570,565	2,050,069
24. Health care (\$ 0) and other amounts receivable				
25. Aggregate write-ins for other-than-invested assets	1,954,414	1,650,080	304,334	301,643
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	246,780,823	6,195,982	240,584,841	170,606,744
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	246,780,823	6,195,982	240,584,841	170,606,744

DETAILS OF WRITE-IN LINES				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501. Prepays	1,285,080	1,285,080		
2502. Unsecured notes receivable	365,000	365,000		
2503. Deposits	292,106		292,106	278,480
2598. Summary of remaining write-ins for Line 25 from overflow page	12,228		12,228	23,163
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	1,954,414	1,650,080	304,334	301,643

NONE

LIABILITIES, SURPLUS AND OTHER FUNDS

	1	2
	Current Year	Prior Year
1. Known claims reserve (Part 2B, Line 3, Col. 4)	9,827,303	9,486,999
2. Statutory premium reserve (Part 1B, Line 2.6, Col. 1)	118,560,434	102,532,805
3. Aggregate of other reserves required by law		
4. Supplemental reserve (Part 2B, Col. 4, Line 10)		
5. Commissions, brokerage and other charges due or accrued to attorneys, agents and real estate brokers		22,117
6. Other expenses (excluding taxes, licenses and fees)	17,509,984	10,864,756
7. Taxes, licenses and fees (excluding federal and foreign income taxes)	5,112,158	2,827,352
8.1 Current federal and foreign income taxes (including \$ 0 on realized capital gains (losses))	3,590,757	1,272,465
8.2 Net deferred tax liability		
9. Borrowed money \$ 0 and interest thereon \$ 0		
10. Dividends declared and unpaid		
11. Premiums and other consideration received in advance	806,201	416,056
12. Unearned interest and real estate income received in advance		
13. Funds held by company under reinsurance treaties		
14. Amounts withheld or retained by company for account of others		
15. Provision for unauthorized and certified (\$ 0) reinsurance		
16. Net adjustments in assets and liabilities due to foreign exchange rates		
17. Drafts outstanding		
18. Payable to parent, subsidiaries and affiliates		
19. Derivatives		
20. Payable for securities		
21. Payable for securities lending		
22. Aggregate write-ins for other liabilities		
23. Total liabilities (Lines 1 through 22)	155,406,837	127,422,550
24. Aggregate write-ins for special surplus funds		
25. Common capital stock	2,025,000	2,025,000
26. Preferred capital stock		
27. Aggregate write-ins for other than special surplus funds		
28. Surplus notes		
29. Gross paid in and contributed surplus	33,359,167	33,359,167
30. Unassigned funds (surplus)	49,793,837	7,800,027
31. Less treasury stock, at cost:		
31.1 0 shares common (value included in Line 25 \$ 0)		
31.2 0 shares preferred (value included in Line 26 \$ 0)		
32. Surplus as regards policyholders (Lines 24 to 30 less 31) (Page 4, Line 32)	85,178,004	43,184,194
33. Totals (Page 2, Line 28, Col. 3)	240,584,841	170,606,744

DETAILS OF WRITE-INS		
0301.	NONE	
0302.		
0303.		
0398. Summary of remaining write-ins for Line 03 from overflow page		
0399. Totals (Lines 0301 through 0303 plus 0398) (Line 03 above)		
2201.	NONE	
2202.		
2203.		
2298. Summary of remaining write-ins for Line 22 from overflow page		
2299. Totals (Lines 2201 through 2203 plus 2298) (Line 22 above)		
2401.	NONE	
2402.		
2403.		
2498. Summary of remaining write-ins for Line 24 from overflow page		
2499. Totals (Lines 2401 through 2403 plus 2498) (Line 24 above)		
2701.	NONE	
2702.		
2703.		
2798. Summary of remaining write-ins for Line 27 from overflow page		
2799. Totals (Lines 2701 through 2703 plus 2798) (Line 27 above)		

OPERATIONS AND INVESTMENT EXHIBIT

STATEMENT OF INCOME	1	2
	Current Year	Prior Year
<b>OPERATING INCOME</b>		
1. Title insurance and related income (Part 1):		
1.1 Title insurance premiums earned (Part 1B, Line 3, Col.1)	552,053,029	387,588,654
1.2 Escrow and settlement services (Part 1A, Line 2, Col. 4)	60,726,489	34,655,866
1.3 Other title fees and service charges (Part 1A, Total of Line 3, 4, 5 and 6, Col. 4)	12,826,920	7,596,659
2. Other operating income (Part 4, Line 2, Col. 5)	501,509	1,072,822
3. Total Operating Income (Lines 1 through 2)	626,107,947	430,914,001
<b>EXPENSES</b>		
4. Losses and loss adjustment expenses incurred (Part 2A, Line 10, Col. 4)	13,056,802	13,000,991
5. Operating expenses incurred (Part 3, Line 24, Cols. 4)	565,713,549	408,370,274
6. Other operating expenses (Part 4, Line 6, Col. 5)		
7. Total Operating Expenses	578,770,351	421,371,265
8. Net operating gain or (loss) (Lines 3 minus 7)	47,337,596	9,542,736
<b>INVESTMENT INCOME</b>		
9. Net investment income earned (Exhibit of Net Investment Income, Line 17)	12,141,613	6,163,182
10. Net realized capital gains (losses) less capital gains tax of \$ 4,168 (Exhibit of Capital Gains (Losses))	71,611	(30,452)
11. Net investment gain (loss) (Lines 9 + 10)	12,213,224	6,132,730
<b>OTHER INCOME</b>		
12. Aggregate write-ins for miscellaneous income or (loss) or other deductions		
13. Net income, after capital gains tax and before all other federal income taxes (Lines 8 + 11 + 12)	59,550,820	15,675,466
14. Federal and foreign income taxes incurred	10,914,124	2,654,846
15. Net income (Lines 13 minus 14)	48,636,696	13,020,620
<b>CAPITAL AND SURPLUS ACCOUNT</b>		
16. Surplus as regards policyholders, December 31 prior year (Page 3, Line 32, Column 2)	43,184,194	28,914,878
17. Net income (from Line 15)	48,636,696	13,020,620
18. Change in net unrealized capital gains or (losses) less capital gains tax of \$ (25)	802,909	(45,006)
19. Change in net unrealized foreign exchange capital gain (loss)		
20. Change in net deferred income taxes	695,468	80,887
21. Change in nonadmitted assets (Exhibit of Nonadmitted Assets, Line 28, Col. 3)	(341,263)	1,212,815
22. Change in provision for unauthorized and certified reinsurance (Page 3, Line 15, Cols. 2 minus 1)		
23. Change in supplemental reserves (Page 3, Line 4, Cols. 2 minus 1)		
24. Change in surplus notes		
25. Cumulative effect of changes in accounting principles		
26. Capital Changes:		
26.1 Paid in		
26.2 Transferred from surplus (Stock Dividend)		
26.3 Transferred to surplus		
27. Surplus Adjustments:		
27.1 Paid in		
27.2 Transferred to capital (Stock Dividend)		
27.3 Transferred from capital		
28. Dividends to stockholders	(7,800,000)	
29. Change in treasury stock (Page 3, Lines (31.1) and (31.2), Cols. 2 minus 1)		
30. Aggregate write-ins for gains and losses in surplus		
31. Change in surplus as regards policyholders for the year (Lines 17 through 30)	41,993,810	14,269,316
32. Surplus as regards policyholders, December 31 current year (Lines 16 plus 31) (Page 3, Line 32)	85,178,004	43,184,194

DETAILS OF WRITE-IN LINES		
1201.	NONE	
1202.		
1203.		
1298. Summary of remaining write-ins for Line 12 from overflow page		
1299. Totals (Lines 1201 through 1203 plus 1298) (Line 12 above)		
3001.	NONE	
3002.		
3003.		
3098. Summary of remaining write-ins for Line 30 from overflow page		
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)		

CASH FLOW

	1	2
Cash from Operations	Current Year	Prior Year
1. Premiums collected net of reinsurance	566,681,728	396,139,448
2. Net investment income	12,233,786	6,223,845
3. Miscellaneous income	74,054,918	43,325,347
4. Total (Lines 1 through 3)	652,970,432	445,688,640
5. Benefit and loss related payments	12,716,498	13,993,786
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts		
7. Commissions, expenses paid and aggregate write-ins for deductions	556,805,632	404,804,140
8. Dividends paid to policyholders		
9. Federal and foreign income taxes paid (recovered) net of \$ 0 tax on capital gains (losses)	8,600,000	780,342
10. Total (Lines 5 through 9)	578,122,130	419,578,268
11. Net cash from operations (Line 4 minus Line 10)	74,848,302	26,110,372
Cash from Investments		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds	9,319,271	7,900,312
12.2 Stocks		
12.3 Mortgage loans		
12.4 Real estate		
12.5 Other invested assets		
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments		
12.7 Miscellaneous proceeds		
12.8 Total investment proceeds (Lines 12.1 to 12.7)	9,319,271	7,900,312
13. Cost of investments acquired (long-term only):		
13.1 Bonds	6,579,955	6,927,384
13.2 Stocks		
13.3 Mortgage loans		
13.4 Real estate		
13.5 Other invested assets		
13.6 Miscellaneous applications		
13.7 Total investments acquired (Lines 13.1 to 13.6)	6,579,955	6,927,384
14. Net increase (decrease) in contract loans and premium notes		
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	2,739,316	972,928
Cash from Financing and Miscellaneous Sources		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes		
16.2 Capital and paid in surplus, less treasury stock		
16.3 Borrowed funds		
16.4 Net deposits on deposit-type contracts and other insurance liabilities		
16.5 Dividends to stockholders	7,800,000	
16.6 Other cash provided (applied)	(1,198,649)	(222,381)
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	(8,998,649)	(222,381)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	68,588,969	26,860,919
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year	122,673,345	95,812,426
19.2 End of year (Line 18 plus Line 19.1)	191,262,314	122,673,345

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001		
20.0002		
20.0003		

OPERATIONS AND INVESTMENT EXHIBIT

PART 1A – SUMMARY OF TITLE INSURANCE PREMIUMS  
WRITTEN AND RELATED REVENUES

	1  Direct Operations	Agency Operations		4  Current Year Total (Cols. 1 + 2 + 3)	5  Prior Year Total
		2  Non-Affiliated Agency Operations	3  Affiliated Agency Operations		
1. Direct premiums written (Sch T, Line 59, Cols. 3, 4 and 5)	86,974,987	365,729,588	116,340,133	569,044,708	396,944,039
2. Escrow and settlement service charges	54,272,191	5,756,817	697,481	60,726,489	34,655,865
3. Title examinations					
4. Searches and abstracts	572,045	12,254,875		12,826,920	7,596,660
5. Surveys					
6. Aggregate write-ins for service charges					
7. Totals (Lines 1 to 6)	141,819,223	383,741,280	117,037,614	642,598,117	439,196,564

DETAILS OF WRITE-INS					
0601.	NONE				
0602.					
0603.					
0698. Summary of remaining write-ins for Line 06 from overflow page					
0699. Total (Lines 0601 through 0603 plus 0698) (Line 06 above)					

PART 1B – PREMIUMS EARNED EXHIBIT

	1  Current Year	2  Prior Year
1. Title premiums written:		
1.1 Direct (Part 1A, Line 1, Col. 4)	569,044,708	396,944,039
1.2 Assumed		
1.3 Ceded	964,050	933,027
1.4 Net title premiums written (Lines 1.1 + 1.2 - 1.3)	568,080,658	396,011,012
2. Statutory premium reserve:		
2.1 Balance at December 31 prior year	102,532,805	94,110,447
2.2 Aggregate write-ins for book adjustments to Line 2.1		
2.3 Additions during the current year	28,404,033	19,800,551
2.4 Withdrawals during the current year	12,376,404	11,378,193
2.5 Aggregate write-ins for other adjustments not effecting earned premiums		
2.6 Balance at December 31 current year (Lines 2.1 + 2.2 + 2.3 - 2.4 + 2.5)	118,560,434	102,532,805
3. Net title premiums earned during year (Lines 1.4 + 2.1 + 2.5 - 2.6) (Sch. T, Line 59, Col. 7)	552,053,029	387,588,654

DETAILS OF WRITE-INS	1 Current Year	2 Prior Year
02.201 Adjustment to BOY balance		
02.202		
02.203		
02.298 Summary of remaining write-ins for Line 02.2 from overflow page		
02.299 Total (Lines 02.201 through 02.203 plus 02.298) (Line 02.2 above)		
02.501	NONE	
02.502		
02.503		
02.598 Summary of remaining write-ins for Line 02.5 from overflow page		
02.599 Total (Lines 02.501 through 02.503 plus 02.598) (Line 02.5 above)		

OPERATIONS AND INVESTMENT EXHIBIT

PART 2A – LOSSES PAID AND INCURRED

	1	Agency Operations		4	5
		2	3		
	Direct Operations	Non-Affiliated Agency Operations	Affiliated Agency Operations	Total Current Year (Cols. 1 + 2 + 3)	Total Prior Year
1. Losses and allocated loss adjustment expenses paid - direct business, less salvage and subrogation (Total same as Sch. T, Line 59, Col. 8)	1,263,745	9,106,353	1,854,650	12,224,748	13,523,788
2. Losses and allocated loss adjustment expenses paid - reinsurance assumed, less salvage and subrogation					
3. Total (Line 1 plus Line 2)	1,263,745	9,106,353	1,854,650	12,224,748	13,523,788
4. Deduct: Recovered during year from reinsurance					
5. Net payments (Line 3 minus Line 4)	1,263,745	9,106,353	1,854,650	12,224,748	13,523,788
6. Known claims reserve – current year (Page 3, Line 1, Column 1)	135,696	7,446,001	2,245,606	9,827,303	9,486,999
7. Known claims reserve – prior year (Page 3, Line 1, Column 2)	830,667	7,122,637	1,533,695	9,486,999	10,479,794
8. Losses and allocated Loss Adjustment Expenses incurred (Line 5 plus Line 6 minus Line 7)	568,774	9,429,717	2,566,561	12,565,052	12,530,993
9. Unallocated loss adjustment expenses incurred (Part 3, Line 24, Column 5)	27,665	339,243	124,842	491,750	470,000
10. Losses and loss adjustment expenses incurred (Line 8 plus Line 9)	596,439	9,768,960	2,691,403	13,056,802	13,000,993



OPERATIONS AND INVESTMENT EXHIBIT

PART 2B – UNPAID LOSSES AND LOSS ADJUSTMENT EXPENSES

	1  Direct Operations	Agency Operations		4  Total Current Year (Cols. 1 + 2 + 3)	5  Total Prior Year
		2  Non-Affiliated Agency Operations	3  Affiliated Agency Operations		
1. Loss and allocated LAE reserve for title and other losses of which notice has been received:					
1.1 Direct (Schedule P, Part 1, Line 12, Col. 17)	135,696	7,446,001	2,245,606	9,827,303	9,486,999
1.2 Reinsurance assumed (Schedule P, Part 1, Line 12, Col. 18)					
2. Deduct reinsurance recoverable (Schedule P, Part 1, Line 12, Col. 19)					
3. Known claims reserve net of reinsurance (Line 1.1 plus Line 1.2 minus Line 2)	135,696	7,446,001	2,245,606	9,827,303	9,486,999
4. Incurred But Not Reported:					
4.1 Direct (Schedule P, Part 1, Line 12, Col. 20)	12,738,000	78,053,000	21,615,000	112,406,000	84,602,000
4.2 Reinsurance assumed (Schedule P, Part 1, Line 12, Col. 21)					
4.3 Reinsurance ceded (Schedule P, Part 1, Line 12, Col. 22)					
4.4 Net incurred but not reported (Line 4.1 plus Line 4.2 minus Line 4.3)	12,738,000	78,053,000	21,615,000	112,406,000	84,602,000
5. Unallocated LAE reserve (Schedule P, Part 1, Line 12, Col. 23)	383,000	3,921,000	1,090,000	5,394,000	4,400,000
6. Less discount for time value of money, if allowed (Schedule P, Part 1, Line 12, Col. 33)	X X X	X X X	X X X		
7. Total Schedule P reserves (Lines 3 + 4.4 + 5 - 6) (Schedule P, Part 1, Line 12, Col. 34)	X X X	X X X	X X X	127,627,303	98,488,999
8. Statutory premium reserve at year end (Part 1B, Line 2.6)	X X X	X X X	X X X	118,560,434	102,532,805
9. Aggregate of other reserves required by law (Page 3, Line 3)	X X X	X X X	X X X		
10. Supplemental reserve (a) (Lines 7 - (3 + 8 + 9))	X X X	X X X	X X X		

(a) If the sum of Lines 3 + 8 + 9 is greater than Line 7, place a "0" in this Line.

OPERATIONS AND INVESTMENT EXHIBIT  
PART 3 – EXPENSES

	Title and Escrow Operating Expenses				5  Unallocated Loss Adjustment Expenses	6  Other Operations	7  Investment Expenses	Totals	
	1  Direct Operations	Agency Operations		4  Total  (Cols. 1 + 2 + 3)				8  Current Year (Cols. 4 + 5 + 6 + 7)	9  Prior Year
		2  Non-affiliated Agency Operations	3  Affiliated Agency Operations						
1. Personnel costs:									
1.1 Salaries	64,697,944	11,768,387	3,743,574	80,209,905				80,209,905	59,052,415
1.2 Employee relations and welfare	4,324,977	1,802,313	573,323	6,700,613				6,700,613	5,650,380
1.3 Payroll taxes	4,334,148	1,151,056	366,156	5,851,360				5,851,360	4,436,006
1.4 Other personnel costs	2,979,334	571,202	181,702	3,732,238				3,732,238	1,942,749
1.5 Total personnel costs	76,336,403	15,292,958	4,864,755	96,494,116				96,494,116	71,081,550
2. Amounts paid to or retained by title agents		295,129,626	103,830,990	398,960,616				398,960,616	287,863,273
3. Production services (purchased outside):									
3.1 Searches, examinations and abstracts	11,700,520	63,369	20,158	11,784,047				11,784,047	8,839,146
3.2 Surveys	375			375				375	400
3.3 Other	13,354,859	753,512	239,695	14,348,066				14,348,066	5,606,487
4. Advertising	60,699	4,339	1,380	66,418				66,418	138,498
5. Boards, bureaus and associations	31,037	231,420	73,616	336,073				336,073	286,539
6. Title plant rent and maintenance	8,469,742	512,288	162,961	9,144,991				9,144,991	4,815,259
7. Claim adjustment services	X X X	X X X	X X X	X X X	491,750	X X X	X X X	491,750	470,000
8. Amounts charged off, net of recoveries	646,359	1,264,453	402,228	2,313,040				2,313,040	1,276,140
9. Marketing and promotional expenses	705,485	320,928	102,089	1,128,502				1,128,502	873,760
10. Insurance	4,795	308,189	98,036	411,020				411,020	437,053
11. Directors' fees									
12. Travel and travel items	746,688	725,799	230,880	1,703,367				1,703,367	3,616,426
13. Rent and rent items	5,067,144	1,294,063	411,647	6,772,854				6,772,854	5,843,551
14. Equipment	587,181	130,900	41,640	759,721				759,721	1,185,105
15. Cost or depreciation of EDP equipment and software	2,167,261	2,984,986	949,537	6,101,784				6,101,784	4,922,562
16. Printing, stationery, books and periodicals	617,084	135,209	43,011	795,304				795,304	765,447
17. Postage, telephone, messengers and express	2,655,611	342,006	108,794	3,106,411				3,106,411	1,922,812
18. Legal and auditing	268,347	110,159	35,042	413,548				413,548	654,167
19. Totals (Lines 1.5 to 18)	123,419,590	319,604,204	111,616,459	554,640,253	491,750			555,132,003	400,598,175
20. Taxes, licenses and fees:									
20.1 State and local insurance taxes	2,063,455	5,447,628	1,732,914	9,243,997				9,243,997	6,644,418
20.2 Insurance department licenses and fees	22,317	186,449	59,310	268,076				268,076	267,153
20.3 Gross guaranty association assessments									
20.4 All other (excluding federal income and real estate)	7,944	1,151,334	366,244	1,525,522				1,525,522	1,158,299
20.5 Total taxes, licenses and fees (Lines 20.1 + 20.2 + 20.3 + 20.4)	2,093,716	6,785,411	2,158,468	11,037,595				11,037,595	8,069,870
21. Real estate expenses									
22. Real estate taxes									
23. Aggregate write-ins for other expenses	27,352	6,371	2,027	35,750			82,820	118,570	248,660
24. Total expenses incurred (Lines 19 + 20.5 + 21 + 22 + 23)	125,540,658	326,395,986	113,776,954	565,713,598	491,750		82,820	(a) 566,288,168	408,916,705
25. Less unpaid expenses - current year	3,456,663	14,540,184	4,625,294	22,622,141	5,394,000			28,016,141	18,114,224
26. Add unpaid expenses - prior year	2,095,534	7,467,333	2,374,861	11,937,728	4,400,000			16,337,728	13,038,000
27. TOTAL EXPENSES PAID (Lines 24 - 25 + 26)	124,179,529	319,323,135	111,526,521	555,029,185	(502,250)		82,820	554,609,755	403,840,481

DETAILS OF WRITE-IN LINES									
2301. MISCELLANEOUS EXPENSES	58,355			58,355				58,355	115,891
2302. INVESTMENT & SVO							82,820		76,431
2303. BANK CHARGES	(31,003)	6,371	2,027	(22,605)				(22,605)	56,338
2398. Summary of remaining write-ins for Line 23 from overflow page									
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)	27,352	6,371	2,027	35,750			82,820	118,570	248,660

(a) Includes management fees of \$ 0 to affiliates and \$ 0 to non-affiliates.

OPERATIONS AND INVESTMENT EXHIBIT  
PART 4 – NET OPERATING GAIN/LOSS EXHIBIT

	1	Agency Operations		4	5	Totals	
		2	3			6	7
	Direct Operations	Non-affiliated Agency Operations	Affiliated Agency Operations	Total (Cols. 1 + 2 + 3 )	Other Operations	Current Year (Cols. 4 + 5)	Prior Year
1. Title insurance and related income (Part 1):							
1.1 Title insurance premiums earned (Part 1B, Line 3, Col. 1)	84,765,971	355,808,782	111,478,276	552,053,029	X X X	552,053,029	387,588,654
1.2 Escrow and settlement services (Part 1A, Line 2)	54,272,191	5,756,817	697,481	60,726,489	X X X	60,726,489	34,655,865
1.3 Other title fees and service charges (Part 1A, Lines 3 through 6)	572,045	12,254,875		12,826,920	X X X	12,826,920	7,596,660
2. Aggregate write-ins for other operating income	X X X	X X X	X X X	X X X	501,509	501,509	1,072,822
3. Total Operating Income (Lines 1.1 through 1.3 + 2)	139,610,207	373,820,474	112,175,757	625,606,438	501,509	626,107,947	430,914,001
DEDUCT:							
4. Losses and loss adjustment expenses incurred (Part 2A, Line 10, Col. 4)	596,439	9,768,960	2,691,403	13,056,802	X X X	13,056,802	13,000,993
5. Operating expenses incurred (Part 3, Line 24, Cols. 1 to 3 and 6)	125,540,658	326,395,986	113,776,954	565,713,598		565,713,598	408,370,274
6. Total Operating Deductions (Lines 4 + 5)	126,137,097	336,164,946	116,468,357	578,770,400		578,770,400	421,371,267
7. Net operating gain or (loss) (Lines 3 minus 6)	13,473,110	37,655,528	(4,292,600)	46,836,038	501,509	47,337,547	9,542,734

DETAILS OF WRITE-IN LINES							
0201. PREMIUMS WRITTEN ON NON-AFFILIATED UNDERWRITER	X X X	X X X	X X X	X X X	501,509	501,509	1,072,822
0202.	X X X	X X X	X X X	X X X			
0203.	X X X	X X X	X X X	X X X			
0298. Summary of remaining write-ins for Line 02 from overflow page	X X X	X X X	X X X	X X X			
0299. Total (Lines 0201 through 0203 plus 0298) (Line 02 above)	X X X	X X X	X X X	X X X	501,509	501,509	1,072,822

EXHIBIT OF NET INVESTMENT INCOME

	1	2
	Collected	Earned
	During Year	During Year
1. U.S. Government bonds	(a) 262,120	251,762
1.1 Bonds exempt from U.S. tax	(a)	
1.2 Other bonds (unaffiliated)	(a) 349,816	324,590
1.3 Bonds of affiliates	(a)	
2.1 Preferred stocks (unaffiliated)	(b) 401	401
2.11 Preferred stocks of affiliates	(b)	
2.2 Common stocks (unaffiliated)		
2.21 Common stocks of affiliates		
3. Mortgage loans	(c)	
4. Real estate	(d)	
5. Contract loans		
6. Cash, cash equivalents and short-term investments	(e) 227,202	225,891
7. Derivative instruments	(f)	
8. Other invested assets	11,419,627	11,419,627
9. Aggregate write-ins for investment income		
10. Total gross investment income	12,259,166	12,222,271
11. Investment expenses		(g) 82,820
12. Investment taxes, licenses and fees, excluding federal income taxes		(g)
13. Interest expense		(h)
14. Depreciation on real estate and other invested assets		(i)
15. Aggregate write-ins for deductions from investment income		
16. Total deductions (Lines 11 through 15)		82,820
17. Net investment income (Line 10 minus Line 16)		12,139,451

DETAILS OF WRITE-IN LINES		
0901.	NONE	
0902.		
0903.		
0998. Summary of remaining write-ins for Line 09 from overflow page		
0999. Totals (Lines 0901 through 0903 plus 0998) (Line 09 above)		
1501.	NONE	
1502.		
1503.		
1598. Summary of remaining write-ins for Line 15 from overflow page		
1599. Totals (Lines 1501 through 1503 plus 1598) (Line 15 above)		

- (a) Includes \$ 18,749 accrual of discount less \$ 76,910 amortization of premium and less \$ 19,232 paid for accrued interest on purchases.
- (b) Includes \$ 0 accrual of discount less \$ 0 amortization of premium and less \$ 0 paid for accrued dividends on purchases.
- (c) Includes \$ 0 accrual of discount less \$ 0 amortization of premium and less \$ 0 paid for accrued interest on purchases.
- (d) Includes \$ 0 for company's occupancy of its own buildings; and excludes \$ 0 interest on encumbrances.
- (e) Includes \$ 0 accrual of discount less \$ 18 amortization of premium and less \$ 136 paid for accrued interest on purchases.
- (f) Includes \$ 0 accrual of discount less \$ 0 amortization of premium.
- (g) Includes \$ 0 investment expenses and \$ 0 investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.
- (h) Includes \$ 0 interest on surplus notes and \$ 0 interest on capital notes.
- (i) Includes \$ 0 depreciation on real estate and \$ 0 depreciation on other invested assets.

EXHIBIT OF CAPITAL GAINS (LOSSES)

	1	2	3	4	5
	Realized	Other	Total Realized	Change in Unrealized	Change in Unrealized
	Gain (Loss)	Realized	Capital Gain (Loss)	Capital Gain (Loss)	Foreign Exchange
	on Sales or	Adjustments	(Columns 1 + 2)		Capital Gain (Loss)
	Maturity				
1. U.S. Government bonds	57,822		57,822	(4,794)	
1.1 Bonds exempt from U.S. tax					
1.2 Other bonds (unaffiliated)	17,955		17,955		
1.3 Bonds of affiliates					
2.1 Preferred stocks (unaffiliated)				(120)	
2.11 Preferred stocks of affiliates					
2.2 Common stocks (unaffiliated)					
2.21 Common stocks of affiliates					
3. Mortgage loans					
4. Real estate					
5. Contract loans					
6. Cash, cash equivalents and short-term investments	2		2	807,798	
7. Derivative instruments					
8. Other invested assets					
9. Aggregate write-ins for capital gains (losses)					
10. Total capital gains (losses)	75,779		75,779	802,884	

DETAILS OF WRITE-IN LINES					
0901.	NONE				
0902.					
0903.					
0998. Summary of remaining write-ins for Line 09 from overflow page					
0999. Totals (Lines 0901 through 0903 plus 0998) (Line 09 above)					

EXHIBIT OF NONADMITTED ASSETS

	1	2	3
	Current Year Total Nonadmitted Assets	Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D)			
2. Stocks (Schedule D):			
2.1 Preferred stocks			
2.2 Common stocks			
3. Mortgage loans on real estate (Schedule B):			
3.1 First leins			
3.2 Other than first leins	10,793	10,793	
4. Real estate (Schedule A):			
4.1 Properties occupied by the company			
4.2 Properties held for the production of income			
4.3 Properties held for sale			
5. Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA)			
6. Contract loans			
7. Derivatives (Schedule DB)			
8. Other invested assets (Schedule BA)			
9. Receivables for securities			
10. Securities lending reinvested collateral assets (Schedule DL)			
11. Aggregate write-ins for invested assets			
12. Subtotals, cash and invested assets (Lines 1 to 11)	10,793	10,793	
13. Title plants (for Title insurers only)			
14. Investment income due and accrued			
15. Premiums and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection		274,187	274,187
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due			
15.3 Accrued retrospective premiums and contracts subject to redetermination			
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers			
16.2 Funds held by or deposited with reinsured companies			
16.3 Other amounts receivable under reinsurance contracts			
17. Amounts receivable relating to uninsured plans			
18.1 Current federal and foreign income tax recoverable and interest thereon			
18.2 Net deferred tax asset	1,565,755	1,426,757	(138,998)
19. Guaranty funds receivable or on deposit			
20. Electronic data processing equipment and software	1,665,339	2,001,450	336,111
21. Furniture and equipment, including health care delivery assets	1,304,015	1,163,894	(140,121)
22. Net adjustment in assets and liabilities due to foreign exchange rates			
23. Receivables from parent, subsidiaries and affiliates			
24. Health care and other amounts receivable			
25. Aggregate write-ins for other-than-invested assets	1,650,080	977,638	(672,442)
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	6,195,982	5,854,719	(341,263)
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			
28. Total (Lines 26 and 27)	6,195,982	5,854,719	(341,263)

DETAILS OF WRITE-IN LINES			
1101.	NONE		
1102.			
1103.			
1198. Summary of remaining write-ins for Line 11 from overflow page			
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)			
2501. Prepays	1,285,080	917,638	(367,442)
2502. Unsecured N/R	365,000	60,000	(305,000)
2503.			
2598. Summary of remaining write-ins for Line 25 from overflow page			
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	1,650,080	977,638	(672,442)

NOTES TO FINANCIAL STATEMENTS

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The accompanying financial statements have been prepared in accordance with the NAIC Accounting Practices and Procedures manual. There were no departures from net income or surplus calculations under South Carolina law. The timely preparation of financial statements in conformity with statutory accounting practices requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Actual results could differ from those estimates.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of SC is shown below:

	2020	2019
	Y/E12/31	Y/E12/31
<b>NET INCOME</b>		
(1) WFG National Title Insurance Company state basis	\$48,636,696	\$13,020,620
(2) State Prescribed Practices that increase (decrease) NAIC SAP	\$0	\$0
(3) State Permitted Practices that increase (decrease) NAIC SAP	\$0	\$0
(4) NAIC SAP	\$48,636,696	\$13,020,620
<b>SURPLUS</b>		
(5) WFG National Title Insurance Company state basis	\$85,178,004	\$43,184,194
(6) State Prescribed Practices that increase (decrease) NAIC SAP	\$0	\$0
(7) State Permitted Practices that increase (decrease) NAIC SAP	\$0	\$0
(8) NAIC SAP	\$85,178,004	\$43,184,194

Short-term investments are stated at cost. Bonds are recorded at amortized cost using the pro-rata method. Preferred and common stocks are recorded at market value based on quoted prices. Unrealized gains and losses on equities are included as a component of unassigned surplus, as is the change in net deferred tax assets.

The Company computed additions to the statutory premium reserve at 5% of premiums written for all states. Both of these methods are greater than the amount calculated under the domiciliary State of South Carolina statutory guidelines. The known claim reserve is based on specific claims opened and the circumstances involved in each as to the potential loss and expense to be incurred. The information on SPR and KCR are updated monthly.

2. ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS

None

3. BUSINESS COMBINATIONS AND GOODWILL

None

4. DISCONTINUED OPERATIONS

None

5. INVESTMENTS

A-C No transactions

D. Loan-Backed Securities

- (1) Prepayment assumptions for mortgage-backed/loan backed and structured securities were obtained from broker-dealer survey values.
- (2) There is no OTTI recognized on any mortgage-backed/loan backed and structured securities during any quarter of 2020. (Aggregate)
- (3) There is no OTTI recognized on any mortgage-backed/loan backed and structured securities during any quarter of 2020. (Detail)
- (4) All impaired securities (fair value is less than cost or amortized cost) for which an OTTI has not been recognized in earnings as a realized loss:
- a. The aggregate amount of unrealized losses

	(1)
Less than 12 months	\$ 12,597
12 Months or Longer	\$ 1,167

- b. The aggregate related fair value of securities with unrealized losses:

Less than 12 months	\$ 219,062
12 Months or Longer	\$ 71,532

NOTES TO FINANCIAL STATEMENTS

E-K No transactions

L Restricted Assets

(1). Restricted Assets (Including Pledged)

Restricted Asset Category	1	2	3	4	5	6	7
	Total Gross (Admitted & Nonadmitted) Restricted from Current Year	Total Gross (Admitted & Nonadmitted) Restricted From Prior Year	Increase / (Decrease) (1 minus 2)	Total Current Year Nonadmitted Restricted	Total Current Year Admitted Restricted (1 minus 4)	Gross (Admitted & Nonadmitted) Restricted to Total Assets (a)	Admitted Restricted to Total Admitted Assets (b)
j. On deposit with states	\$ 10,256,999	10,238,172	18,827	0	10,256,999	7.422%	7.754%
n. Other restricted Assets	\$ 0	0	0	0	0	0.000%	0.000%
o. Total Restricted Assets	\$ 10,256,999	10,238,172	18,827	0	10,256,999	7.422%	7.754%

(a) Column 1 divided by Asset Page, Column 1, Line 28

(b) Column 5 divided by Asset Page, Column 3, Line 28

(2). There Are No Assets Pledged as Collateral

M-Q No transactions

6. JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES

Summary Financial Information as of December 31, 2020

	Statutory Investment BOY	Net Income	Amortization Goodwill	Cash Distributed	Statutory Investment EOY
12/31/2019 WFG National WA	\$10,473,369	\$5,448,419	(\$73,417)	\$5,420,008	\$10,428,363
12/31/2020 WFG National WA	\$10,473,369	\$12,293,316	(\$70,685)	\$11,419,627	\$11,276,373

7. INVESTMENT INCOME

No income due and accrued has been excluded.

8. DERIVATIVE INSTRUMENTS

None

9. INCOME TAXES

A 1 The components of the net deferred tax asset/(liability) at December 31 are as follows:							
			12/31/2020			12/31/2019	
		(1)	(2)	(3)	(4)	(5)	(6)
		Ordinary	Capital	(Col 1+2) Total	Ordinary	Capital	(Col 4+5) Total
	(a) Gross Deferred Tax Assets	\$ 5,022,843	\$ 25	\$ 5,022,868	\$ 4,001,947	\$ 13,263	\$ 4,015,210
	(b) Statutory Valuation Allowance Adjustment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	(c) Adjusted Gross Deferred Tax Assets (1a-1b)	\$ 5,022,843	\$ 25	\$ 5,022,868	\$ 4,001,947	\$ 13,263	\$ 4,015,210
	(d) Deferred Tax Assets Nonadmitted	\$ 1,565,755	\$ -	\$ 1,565,755	\$ 1,426,757	\$ -	\$ 1,426,757
	(e) Subtotal Net Admitted Deferred Tax Asset (1c-1d)	\$ 3,457,088	\$ 25	\$ 3,457,114	\$ 2,575,190	\$ 13,263	\$ 2,588,453
	(f) Deferred Tax Liabilities	\$ 949,160	\$ -	\$ 949,160	\$ 636,995	\$ -	\$ 636,995
	(g) Net Admitted Deferred Tax Assets (Net Deferred Tax Asset)	\$ 2,507,928	\$ 25	\$ 2,507,953	\$ 1,938,195	\$ 13,263	\$ 1,951,458
			Change				
		(7)	(8)	(9)			
		(Col 1-4) Ordinary	(Col 2-5) Capital	(Col 7+8) Total			
	(a) Gross Deferred Tax Assets	\$ 1,020,896	\$ (13,238)	\$ 1,007,659			
	(b) Statutory Valuation Allowance Adjustment	\$ -	\$ -	\$ -			
	(c) Adjusted Gross Deferred Tax Assets (1a-1b)	\$ 1,020,896	\$ (13,238)	\$ 1,007,659			
	(d) Deferred Tax Assets Nonadmitted	\$ 138,998	\$ -	\$ 138,998			
	(e) Subtotal Net Admitted Deferred Tax Asset (1c-1d)	\$ 881,899	\$ (13,238)	\$ 868,661			
	(f) Deferred Tax Liabilities	\$ 312,165	\$ -	\$ 312,165			
	(g) Net Admitted Deferred Tax Assets (Net Deferred Tax Asset)	\$ 569,733	\$ (13,238)	\$ 556,496			

NOTES TO FINANCIAL STATEMENTS

2 Admission Calculation Components SSAP No. 101:							
		12/31/2020			12/31/2019		
		(1)	(2)	(3)	(4)	(5)	(6)
		Ordinary	Capital	(Col 1+2) Total	Ordinary	Capital	(Col 4+5) Total
(a) Federal Income Taxes Paid in Prior Years Recoverable Through Loss Carrybacks		\$ 2,060,169	\$ 25	\$ 2,060,194	\$ 1,570,799	\$ -	\$ 1,570,799
(b) Adjusted Gross Deferred Tax Assets Expected to be Realized (Excluding the Amount of Deferred Tax Assets from 2(a) above) after application of the Threshold Limitation (The Lesser of 2(b)1 and 2(b)2 Below)		\$ 447,760	\$ 0	\$ 447,760	\$ 367,396	\$ 13,263	\$ 380,659
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date		\$ 447,760	\$ 0	\$ 447,760	\$ 367,396	\$ 13,263	\$ 380,659
2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold.		XXXX	XXXX	\$ 12,050,883	XXXX	XXXX	\$ 6,016,936
(c) Adjusted Gross Deferred Tax Assets (Excluding the Amount of Deferred Tax Assets from 2(a) and 2(b) Above) Offset by Gross Deferred Tax Liabilities.		\$ 949,160	\$ -	\$ 949,160	\$ 636,995	\$ -	\$ 636,995
(d) Deferred Tax Assets Admitted as the Result of Application of SSAP No. 101: (Total 2(a) + 2(b) + 2(c))		\$ 3,457,088	\$ 25	\$ 3,457,114	\$ 2,575,190	\$ 13,263	\$ 2,588,453
		Change					
		(7)	(8)	(9)			
		(Col 1-4) Ordinary	(Col 2-5) Capital	(Col 7+8) Total			
(a) Federal Income Taxes Paid in Prior Years Recoverable Through Loss Carrybacks		\$ 489,370	\$ 25	\$ 489,395			
(b) Adjusted Gross Deferred Tax Assets Expected to be Realized (Excluding the Amount of Deferred Tax Assets from 2(a) above) after application of the Threshold Limitation (The Lesser of 2(b)1 and 2(b)2 Below)		\$ 80,364	\$ (13,263)	\$ 67,101			
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date		\$ 80,364	\$ (13,263)	\$ 67,101			
2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold.		XXXX	XXXX	\$ 6,033,947			
(c) Adjusted Gross Deferred Tax Assets (Excluding the Amount of Deferred Tax Assets from 2(a) and 2(b) Above) Offset by Gross Deferred Tax Liabilities.		\$ 312,165	\$ -	\$ 312,165			
(d) Deferred Tax Assets Admitted as the Result of Application of SSAP No. 101: (Total 2(a) + 2(b) + 2(c))		\$ 881,899	\$ (13,238)	\$ 868,661			
3 Admission Thresholds:							
		12/31/2020	12/31/2019				
(a) Ratio Percentage Used to Determine Recovery Period and Threshold Limitation Amount		15.000%	15.000%				
(b) Amount of Adjusted Capital and Surplus Used to Determine Recovery Period and Threshold Limitation in 2(b)2 Above.		\$82,670,051	\$ 41,232,736				
4 Impact of Tax Planning Strategies:							
		12/31/2020		12/31/2019			
		(1)	(2)	(3)	(4)		
		Ordinary	Capital	Ordinary	Capital		
(a) Determination Of Adjusted Gross Deferred Tax Assets and Net Admitted Deferred Tax Assets. By Tax Character As A Percentage.							
1 Adjusted Gross DTAs Amount From Note 9A1(c)		\$ 5,022,843	\$ 25	\$ 4,001,947	\$ 13,263		
2 Percentage Of Adjusted Gross DTAs By Tax Character Attributable To the Impact Of Tax Planning Strategies		0.00%	0.00%	0.00%	0.00%		
3 Net Admitted Adjusted Gross DTAs Amount From Note 9A1(e)		\$ 3,457,088	\$ 25	\$ 2,575,190	\$ 13,263		
4 Percentage Of Net Admitted Adjusted Gross DTAs By Tax Character Admitted Because Of The Impact Of Tax Planning Strategies		0.00%	0.00%	0.00%	0.00%		
		Change					
		(5)	(6)				
		(Col 1-3) Ordinary	(Col 2-4) Capital				
1 Adjusted Gross DTAs Amount From Note 9A1(c)		\$ 1,020,896	\$ (13,238)				
2 Percentage Of Adjusted Gross DTAs By Tax Character Attributable To the Impact Of Tax Planning Strategies		0.00%	0.00%				
3 Net Admitted Adjusted Gross DTAs Amount From Note 9A1(e)		\$ 881,899	\$ (13,238)				
4 Percentage Of Net Admitted Adjusted Gross DTAs By Tax Character Admitted Because Of The Impact Of Tax Planning Strategies		0.00%	0.00%				
(b) Does the Company's Tax Planning Strategies Include the Use of Reinsurance?		Yes		No	X		
B Unrecognized Deferred Tax Liabilities: None							



NOTES TO FINANCIAL STATEMENTS

C	Current income taxes incurred and net deferred tax assets and liabilities consist of the following major components :						
		(1)	(2)	(3)			
		12/31/2020	12/31/2019	Col 1 - 2) Change			
1	Current Income Tax						
	(a) Federal	\$ 10,914,124	\$ 2,654,846	\$ 8,259,278			
	(b) Foreign	\$ -	\$ -	\$ -			
	(c) Subtotal	\$ 10,914,124	\$ 2,654,846	\$ 8,259,278			
	(d) Federal income tax on net capital gains	\$ 15,914	\$ (3,992)	\$ 19,906			
	(e) Utilization of capital loss carryforwards	\$ (11,745)	\$ -	\$ (11,745)			
	(f) Other			\$ -			
	(g) Federal and foreign income taxes incurred	\$ 10,918,292	\$ 2,650,854	\$ 8,267,438			
		(1)	(2)	(3)			
		12/31/2020	12/31/2019	Col 1 - 2) Change			
2	Deferred Tax Assets:						
	(a) Ordinary						
	1 Discounting of unpaid losses	\$ 42,478	\$ 37,198	\$ 5,280			
	2 Unearned premium reserve	\$ -		\$ -			
	3 Policyholder reserves	\$ 3,702,689	\$ 2,948,489	\$ 754,200			
	4 Investments	\$ 2,267	\$ 2,267	\$ -			
	5 Deferred acquisition costs	\$ -	\$ -	\$ -			
	6 Policyholder dividends accrual	\$ -		\$ -			
	7 Fixed assets	\$ 137,602	\$ 190,120	\$ (52,518)			
	8 Compensation and benefits accrual	\$ 239,242	\$ 107,113	\$ 132,129			
	9 Pension accrual	\$ -		\$ -			
	10 Receivables - nonadmitted	\$ 744,672	\$ 537,604	\$ 207,068			
	11 Net operating loss carry-forward	\$ -		\$ -			
	12 Tax credit carry-forward	\$ -		\$ -			
	13 Other (including items <5% of total ordinary tax as	\$ 153,892	\$ 179,155	\$ (25,263)			
	Subtotal	\$ 5,022,843	\$ 4,001,947	\$ 1,020,896			
	(b) Statutory valuation allowance adjustment	\$ -	\$ -	\$ -			
	(c) Nonadmitted deferred tax assets	\$ 1,565,755	\$ 1,426,757	\$ 138,998			
	(d) Admitted ordinary deferred tax assets (2a - 2b -	\$ 3,457,088	\$ 2,575,190	\$ 881,899			
	(e) Capital:						
	1 Investments	\$ 25	\$ -	\$ 25			
	2 Net capital loss carry-forward	\$ -	\$ 13,263	\$ (13,263)			
	3 Real estate	\$ -	\$ -	\$ -			
	4 Other (including items <5% of total capital tax as	\$ -	\$ -	\$ -			
	Subtotal	\$ 25	\$ 13,263	\$ (13,238)			
	(f) Statutory valuation allowance adjustment	\$ -	\$ -	\$ -			
	(g) Nonadmitted deferred tax assets	\$ -	\$ -	\$ -			
	(h) Admitted capital deferred tax assets (2e - 2f - 2g)	\$ 25	\$ 13,263	\$ (13,238)			
	(i) Admitted deferred tax assets (2d + 2h)	\$ 3,457,114	\$ 2,588,453	\$ 868,661			
3	Deferred Tax Liabilities:						
	(a) Ordinary						
	1 Investments	\$ (0)		\$ (0)			
	2 Fixed assets	\$ 409,214	\$ 153,716	\$ 255,498			
	3 Deferred and uncollected premium	\$ -		\$ -			
	4 Policyholder reserves	\$ -		\$ -			
	5 Title plants	\$ 539,946	\$ 483,278	\$ 56,667			
	6 Other (including items <5% of total ordinary tax l	\$ -		\$ -			
	Subtotal	\$ 949,160	\$ 636,995	\$ 312,165			
	(b) Capital:						
	1 Investments	\$ -	\$ -	\$ -			
	2 Real estate	\$ -	\$ -	\$ -			
	3 Other (including items <5% of total capital tax lia	\$ -	\$ -	\$ -			
	Subtotal	\$ -	\$ -	\$ -			
	(c) Deferred tax liabilities (3a +3b)	\$ 949,160	\$ 636,995	\$ 312,165			
4	Net deferred tax assets/liabilities (2i-3c)	\$ 2,507,953	\$ 1,951,458	\$ 556,496			

The change in net deferred income taxes is comprised of the following (this analysis is exclusive of nonadmitted assets as the Change in Nonadmitted Assets is reported separately from the Change in Net Deferred Income Taxes in the surplus section of the Annual Statement):						
		(1)	(2)	(3)		
		12/31/2020	12/31/2019	Change		
	Adjusted gross deferred tax asset	5,022,868	4,015,210	1,007,659		
	Total deferred tax liabilities	(949,160)	(636,995)	(312,165)		
	Net deferred tax asset	4,073,708	3,378,215	695,493		
	Tax effect of unrealized (gains)/losses	25	-	25		
	Change in net deferred income tax	4,073,683	3,378,215	695,468		

NOTES TO FINANCIAL STATEMENTS

D The provision for federal income taxes is different from that which would be obtained by applying the enacted federal income tax rate to income before taxes. The significant items causing these differences are as follows:			
Statutory net gain/(loss) from operations	59,479,209		
Realized net capital gain/(loss)	75,779		
Total statutory gain/(loss)	59,554,988		
Statutory gain/(loss) taxed at enacted rate	12,506,547	21.00%	
Nondeductible Expenses	141,568	0.24%	
Nondeductible Parking	17,928	0.03%	
Dividends Received (net of proration)	(2,398,122)	-4.03%	
Nonadmitted assets (incr)/decr	(42,476)	-0.07%	
Prior year true-up & Other	(2,621)	0.00%	
Total effective tax	10,222,824	17.17%	
Current federal income tax incurred	10,918,292	18.33%	
(Increase) or decrease in net DTAs	(695,468)	-1.17%	
Total effective tax	10,222,824	17.17%	
E Operating Loss and Tax Credit Carryforwards and Protective Tax Deposits			
1 The Company has Federal net operating loss carryforwards of the following: None			
2 The following are income taxes incurred in the current and prior years that will be available for recoupment in the event of future losses:			
	Year	Amount	
	12/31/2019	\$ 2,626,233	
	12/31/2020	\$ 10,912,572	
	Total	\$ 13,538,805	
3 The aggregate amount of deposits admitted under Section 6603 of the Internal Revenue Service Code was - 0 - at December 31, 2020			
F Consolidated Federal Income Tax Return			
The Company is included in a consolidated federal income tax return with its parent company, Williston Financial Group, LLC. Allocation is based upon separate return calculations using a fixed federal income tax rate, with current credit for net operating losses if usable in the consolidated return.			
G Federal and Foreign Federal Income Tax Loss Contingencies			
The Company did not have any material Federal or foreign income tax loss contingencies.			
H Repatriation Transition Tax (RTT)			
Not applicable.			
I Alternative Minimum Tax (AMT) Credit			
Not applicable.			

10. INFORMATION CONCERNING PARENT, SUBSIDIARIES, AND AFFILIATES

The SC Department of Insurance approved the acquisition of the Company by Williston Financial Group, LLC on February 2, 2010. Closing occurred on February 10th. Subsequent to that the name was changed to WFG National Title Insurance Company,

The Company is now licensed in 49 states and DC.

As described in Note 6, the Company owns 100% of WFGNT-WA, a Washington state title agency.

NOTES TO FINANCIAL STATEMENTS

At December 31, the Company had intercompany amounts due from (to) the Parent and affiliates as follows

	2020	2019
Page 2 Line 23 Receivable from parent	\$1,570,565	\$2,050,069
Page 3 Line 18 Payable to parent	\$0	\$0
Total due from (to)	\$1,570,565	\$2,050,069

The Company does not hold any investments in its parent or affiliates. The Company does not own, directly or indirectly, shares of an upstream intermediate or ultimate parent company. There are no guarantees or undertakings for the benefit of an affiliate, which would result in a material contingent exposure of the Company assets to liability.

Transactions with parent, subsidiaries, and affiliates are as follows:

Received from	Relationship	2020	2019	Trans type
WFG Lender Services	NIA	\$7,938,863	\$6,734,697	Title production services
WFG National Title of CA	NIA	\$7,241,000	\$1,998,212	Title production services
WFG Lender Services of TX	NIA	\$2,206,560	\$1,658,785	Title production services
WFG National Title of WA	DS	\$2,088,677	\$1,017,520	Title production services
WFG National Title of TX	NIA	\$263,011	\$201,996	Title production services
WFG National Title of Clark County	DS	\$193,119	\$115,480	Title production services
ITC Holdings	NIA	\$52,353		Admin services
Universal Title Partners	NIA	\$32,100	\$28,850	Title production services
Alliance Title Agency	NIA	\$26,570		Title production services
Total		\$20,042,253	\$11,755,540	

  

Paid to	Relationship	2020	2019	Trans type
WFG Lender Services	NIA	\$6,285,981	\$2,217,832	Title production services
Williston Enterprise Solutions & Technology	NIA	\$5,546,219	\$5,516,527	Management services
Williston Financial Group	UDP	\$4,417,300	\$3,927,139	Management services
WFG National Title of CA	NIA	\$2,276,885	\$1,361,632	Title production services
WFG National Title of CA	NIA	\$96,706		Admin services
		\$18,623,092	\$13,023,130	
		\$1,419,161	(\$1,267,590)	

11. DEBT  
None

12. RETIREMENT PLANS, DEFERRED COMPENSATION, AND OTHER POSTRETIREMENT BENEFITS  
None

13. CAPITAL AND SURPLUS, DIVIDEND RESTRICTIONS AND QUASI-RE-ORGANIZATIONS

The Company has 100,000 common shares authorized, 15,000 shares issued and outstanding. There are no preferred shares authorized or issued. The Company is regulated by the insurance holding company statute of the State of SC, which requires, among other things, that annual dividends shall not exceed the larger, of 1) 10% of statutory surplus at the previous year end, or 2) net income (excluding realized gains) for the previous year, without approval of the Insurance Commissioner.

The Company paid a dividend of \$7,800,000 in the 4<sup>th</sup> quarter of 2020. The maximum dividend payable in 2021 would be approximately \$48,600,000, subject to minimum policyholder surplus requirements of various states. There are no other restrictions on unassigned surplus funds. No stock is held for special purposes. There are no surplus debentures or similar obligations. The Company does not pay policyholder dividends.

The portion of unassigned surplus represented by or (reduced by) cumulative unrealized gains and losses as of December 31:

	2020	2019
100% owned subsidiary (WA)	\$1,663,678	\$1,029,304
Unrealized gain on bonds, net of tax	(\$758,521)	(\$331,668)
Total	\$905,157	\$697,636

14. CONTINGENCIES

None. No surplus funds have been committed. There is no material pending or threatened litigation beyond the ordinary course of business.

NOTES TO FINANCIAL STATEMENTS

15. LEASES

The Company leases office space under various noncancelable lease agreements that run through 2027. Rental expense for 2020 and 2019 was approximately \$5,481,000 and \$4,986,000 respectively.

The Company leases office equipment under various noncancelable lease agreements that run through 2025. Equipment rental expense for 2020 and 2019 was approximately \$634,000 and \$905,000 respectively.

At December 31, 2020, the minimum aggregate lease commitments are as follows:

Year Ending December 31,	<u>Office Rental</u>	<u>Office Equipment</u>	<u>Total</u>
2021	\$3,743,299	\$279,989	\$4,023,288
2022	\$2,734,839	\$215,411	\$2,950,250
2023	\$2,020,585	\$71,816	\$2,092,402
2024	\$766,531	\$14,292	\$780,823
2025	\$378,846	\$7,856	\$386,702
Thereafter	\$364,504	\$0	\$364,504
Total	<u>\$10,008,605</u>	<u>\$589,364</u>	<u>\$10,597,970</u>

The Company is not involved in any sales-leaseback transactions.

16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATION OF CREDIT RISK  
None

17. SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES  
None

18. GAINS OR LOSSES TO THE REPORTING ENTITY FROM UNINSURED A&H PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS  
N/A Title companies

19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS  
N/A Title companies

20. FAIR VALUE MEASUREMENT  
All assets are reported at historical costs except bonds which are recorded at amortized cost.

21. OTHER ITEMS  
None

22. EVENTS SUBSEQUENT  
None

23. REINSURANCE

Beginning January 1, 2016, WFG National Title Insurance Company joined six other title insurers in a risk purchasing group called the American Title Reinsurance Association (“ATRA”). Through an arrangement between insurance brokers located in the United States and the United Kingdom, ATRA executed individual treaties with five Lloyd’s syndicates. In 2018 the number in the ATRA group increased to 9, who

NOTES TO FINANCIAL STATEMENTS

are listed on Schedule F. There were no changes in coverage.

Under these treaties:

- WFG National Title Insurance Company (WFGNTIC) retains the first \$3,000,000 of risk under each policy.
- The amount in excess of the \$3,000,000 retained by WFGNTIC up to \$10,000,000 is reinsured.
- ATRA has also executed individual treaties with the same five Lloyd’s syndicates and 2 additional Lloyd’s syndicates in order to reinsure any risk from \$10,000,000 to \$20,000,000.
- Additional facultative placements are available to reinsure any risk above
- The Excess Reinsurance Agreement with Connecticut Attorneys Title Insurance Company (“CATIC”) was mutually dissolved as of 5/31/20. Due to growth in our surplus this coverage was no longer required. The agreement was limited to the states of MI and RI.

There is no reinsurance recoverable in dispute. There is no assumed reinsurance

24. RETROSPECTIVELY RATED CONTRACTS & CONTRACTS SUBJECT TO RE-DETERMINATION  
N/A Title companies

25. CHANGES IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES  
None

26. INTER-COMPANY POOLING ARRANGEMENTS  
N/A Title companies

27. STRUCTURED SETTLEMENTS  
None

28. SUPPLEMENTAL RESERVE  
None

GENERAL INTERROGATORIES

PART 1 – COMMON INTERROGATORIES

GENERAL

1.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes ☒ No ☐

If yes, complete Schedule Y, Parts 1, 1A and 2.

1.2

If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations?

Yes ☒ No ☐ N/A ☐

1.3

State Regulating?

South Carolina

1.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes ☐ No ☒

1.5

If the response to 1.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒

2.2

If yes, date of change:

3.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2015

3.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2015

3.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

04/06/2017

3.4

By what department or departments?  
SC DOI

3.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with departments?

Yes ☐ No ☐ N/A ☒

3.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes ☒ No ☐ N/A ☐

4.1

During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity) receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:

4.11 sales of new business?

Yes ☐ No ☒

4.12 renewals?

Yes ☐ No ☒

4.2

During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:

4.21 sales of new business?

Yes ☐ No ☒

4.22 renewals?

Yes ☐ No ☒

5.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes ☐ No ☒

If yes, complete and file the merger history data file with the NAIC.

GENERAL INTERROGATORIES

5.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

6.1 Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]

6.2 If yes, give full information:  
.....  
.....  
.....  
.....

7.1 Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity? Yes [ ] No [X]

7.2 If yes,  
7.21 State the percentage of foreign control. \_\_\_\_\_ %  
7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact).

1 Nationality	2 Type of Entity

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
.....  
.....  
.....  
.....

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]

8.4 If response to 8.3 is yes, please provide the names and locations (city and state of the main office) of any affiliates regulated by a federal financial regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

9. What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?  
BDO, 1888 Century Park East, 4th Floor, Los Angeles, CA 90067  
.....  
.....  
.....

10.1 Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation? Yes [ ] No [X]

10.2 If response to 10.1 is yes, provide information related to this exemption:  
.....  
.....  
.....  
.....

GENERAL INTERROGATORIES

10.3 Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 18A of the Model Regulation, or substantially similar state law or regulation? Yes [ ] No [X]

10.4 If response to 10.3 is yes, provide information related to this exemption:

.....

.....

.....

10.5 Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws? Yes [X] No [ ] N/A [ ]

10.6 If the response to 10.5 is no or n/a, please explain.

.....

.....

.....

11. What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?  
Arthur R. Randolph, Pinnacle Actuarial Resources, One Glendale Parkway, Suite 1285, Atlanta, GA 30328-3448  
Consulting Actuary

.....

.....

12.1 Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly? Yes [ ] No [X]

12.11	Name of real estate holding company	_____
12.12	Number of parcels involved	_____
12.13	Total book/adjusted carrying value	\$ _____

12.2 If yes, provide explanation:

.....

.....

.....

13. FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:

13.1 What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?

.....

.....

.....

13.2 Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located? Yes [ ] No [X]

13.3 Have there been any changes made to any of the trust indentures during the year? Yes [ ] No [X]

13.4 If answer to (13.3) is yes, has the domiciliary or entry state approved the changes? Yes [ ] No [X] N/A [ ]

14.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

a. Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

b. Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

c. Compliance with applicable governmental laws, rules, and regulations;

d. The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

e. Accountability for adherence to the code.

Yes [X] No [ ]

14.11 If the response to 14.1 is no, please explain:

.....

.....

.....



GENERAL INTERROGATORIES

14.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

14.21 If the response to 14.2 is yes, provide information related to amendment(s).

14.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

14.31 If the response to 14.3 is yes, provide the nature of any waiver(s).

15.1 Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List? Yes [ ] No [X]

15.2 If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount

BOARD OF DIRECTORS

16. Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof? Yes [X] No [ ]

17. Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof? Yes [X] No [ ]

18. Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict or is likely to conflict with the official duties of such person? Yes [X] No [ ]

FINANCIAL

19. Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)? Yes [ ] No [X]

20.1 Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):  
20.11 To directors or other officers \$  
20.12 To stockholders not officers \$  
20.13 Trustees, supreme or grand (Fraternal only) \$

20.2 Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):  
20.21 To directors or other officers \$  
20.22 To stockholders not officers \$  
20.23 Trustees, supreme or grand (Fraternal only) \$

21.1 Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement? Yes [ ] No [X]

21.2 If yes, state the amount thereof at December 31 of the current year:  
21.21 Rented from others \$  
21.22 Borrowed from others \$  
21.23 Leased from others \$  
21.24 Other \$

GENERAL INTERROGATORIES

22.1 Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments?

Yes ☐ No ☒

22.2 If answer is yes:

22.21 Amount paid as losses or risk adjustment

\$

22.22 Amount paid as expenses

\$

22.23 Other amounts paid

\$

23.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes ☒ No ☐

23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$

1,570,565

INVESTMENT

24.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03)

Yes ☒ No ☐

24.02 If no, give full and complete information, relating thereto:

24.03 For security lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided)

24.04 For the reporting entity's securities lending program, report amount of collateral for conforming programs as outlined in the Risk Based Capital Instructions.

\$

24.05 For the reporting entity's securities lending program report amount of collateral for other programs.

\$

24.06 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract?

Yes ☐ No ☐ N/A ☒

24.07 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%?

Yes ☐ No ☐ N/A ☒

24.08 Does the reporting entity or the reporting entity's securities lending agent utilize the Master Securities Lending Agreement (MSLA) to conduct securities lending?

Yes ☐ No ☐ N/A ☒

24.09 For the reporting entity's security lending program, state the amount of the following as of December 31 of the current year:

24.091 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$

24.092 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$

24.093 Total payable for securities lending reported on the liability page

\$

25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03).

Yes ☐ No ☒

GENERAL INTERROGATORIES

25.2 If yes, state the amount thereof at December 31 of the current year:

25.21	Subject to repurchase agreements	\$	
25.22	Subject to reverse repurchase agreements	\$	
25.23	Subject to dollar repurchase agreements	\$	
25.24	Subject to reverse dollar repurchase agreements	\$	
25.25	Placed under option agreements	\$	
25.26	Letter stock or securities restricted as to sale - excluding FHLB Capital Stock	\$	
25.27	FHLB Capital Stock	\$	
25.28	On deposit with states	\$	
25.29	On deposit with other regulatory bodies	\$	
25.30	Pledged as collateral - excluding collateral pledged to an FHLB	\$	
25.31	Pledged as collateral to FHLB - including assets backing funding agreements	\$	
25.32	Other	\$	

25.3 For category (25.26) provide the following:

1 Nature of Restriction	2 Description	3 Amount

26.1 Does the reporting entity have any hedging transactions reported on Schedule DB? Yes [ ] No [X]

26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ] N/A [X]  
If no, attach a description with this statement.

LINES 26.3 through 26.5 : FOR LIFE/FRATERNAL REPORTING ENTITIES ONLY:

26.3 Does the reporting entity utilize derivatives to hedge variable annuity guarantees subject to fluctuations as a result of interest rate sensitivity? Yes [ ] No [X]

26.4 If the response to 26.3 is YES, does the reporting entity utilize:

26.41	Special accounting provision of SSAP No. 108	Yes [ ] No [X]
26.42	Permitted accounting practice	Yes [ ] No [X]
26.43	Other accounting guidance	Yes [ ] No [X]

26.5 By responding YES to 26.41 regarding utilizing the special accounting provisions of SSAP No. 108, the reporting entity attests to the following: Yes [ ] No [X]

- The reporting entity has obtained explicit approval from the domiciliary state.
- Hedging strategy subject to the special accounting provisions is consistent with the requirements of VM-21.
- Actuarial certification has been obtained which indicates that the hedging strategy is incorporated within the establishment of VM-21 reserves and provides the impact of the hedging strategy within the Actuarial Guideline Conditional Tail Expectation Amount.
- Financial Officer Certification has been obtained which indicates that the hedging strategy meets the definition of a Clearly Defined Hedging Strategy within VM-21 and that the Clearly Defined Hedging Strategy is the hedging strategy being used by the company in its actual day-to-day risk mitigation efforts.

27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? Yes [ ] No [X]

27.2 If yes, state the amount thereof at December 31 of the current year. \$

28. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No [ ]

GENERAL INTERROGATORIES

28.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
Wells Fargo Institutional Retirement Trust	1300 SW Fifth Ave, Portland, OR 97201
Union Bank Global Custody Services	350 California St, 6th Floor, San Francisco, CA 94104
Bank of the West	13300 Crossroads Parkway North, City of Industry, CA 91746

28.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year? Yes [ ] No [X]

28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

28.05 Investment management - Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["... that have access to the investment accounts"; "...handle securities"]

1 Name Firm or Individual	2 Affiliation
BNY Mellon Wealth Management	U
Michael Thomas Gallaher, CFO	I

28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [ ] No [X]

28.0598 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [ ] No [X]

28.06 For those firms or individuals listed in the table 28.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Name Firm or Individual	2 Central Registration Depository Number	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
BYN Mellon Wealth Management	105764	4EP6JBYBTPQTQ47LZ0B67	SEC	OS

29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D – Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5 (b) (1)])? Yes [ ] No [X]

29.2 If yes, complete the following schedule:

1 CUSIP #	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value

GENERAL INTERROGATORIES

1	2	3
CUSIP #	Name of Mutual Fund	Book/Adjusted Carrying Value
29.2999 TOTAL		

29.3 For each mutual fund listed in the table above, complete the following schedule:

1	2	3	4
Name of Mutual Fund (from above table)	Name of Significant Holding of the Mutual Fund	Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	Date of Valuation

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1	2	3
	Statement (Admitted) Value	Fair Value	Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds	22,097,702	23,057,855	960,153
30.2 Preferred stocks	149,880	149,880	
30.3 Totals	22,247,582	23,207,735	960,153

30.4 Describe the sources or methods utilized in determining the fair values:

Clearwater Analytics third party service provider

31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? Yes [ X ] No [ ]

31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? Yes [ X ] No [ ]

31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:

32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [ X ] No [ ]

32.2 If no, list exceptions:

33 By self-designating 5GI securities, the reporting entity is certifying the following elements of each self-designated 5GI security:

a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.

b. Issuer or obligor is current on all contracted interest and principal payments.

c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? Yes [ ] No [ X ]

GENERAL INTERROGATORIES

34 By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- a. The security was purchased prior to January 1, 2018.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as an NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? Yes [ ] No [X]

35. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- a. The shares were purchased prior to January 1, 2019.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- d. The fund only or predominantly holds bonds in its portfolio.
- e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [X]

36. By rolling/renewing short-term or cash-equivalent investments with continued reporting on Schedule DA, part 1 or Schedule E Part 2 (identified through a code (%) in those investment schedules), the reporting entity is certifying to the following:

- a. The investment is a liquid asset that can be terminated by the reporting entity on the current maturity date.
- b. If the investment is with a nonrelated party or nonaffiliate, then it reflects an arms-length transaction with renewal completed at the discretion of all involved parties.
- c. If the investment is with a related party or affiliate, then the reporting entity has completed robust re-underwriting of the transaction for which documentation is available for regulator review.
- d. Short-term and cash equivalent investments that have been renewed/rolled from the prior period that do not meet the criteria in 36.a - 36.c are reported as long-term investments.

Has the reporting entity rolled/renewed short-term or cash equivalent investments in accordance with these criteria? Yes [ ] No [X] N/A [ ]

OTHER

37.1 Amount of payments to trade associations, service organizations and statistical or Rating Bureaus, if any? \$ 247,570

37.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid
ALTA	\$ 109,045
	\$
	\$

38.1 Amount of payments for legal expenses, if any? \$ 137,204

38.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid
Wolfe & Wyman LLP	\$ 42,735
	\$
	\$

39.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any? \$

39.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid
	\$
	\$
	\$

GENERAL INTERROGATORIES

PART 2 – TITLE INTERROGATORIES

1.

Did any persons while an officer, director, trustee, or employee receive directly or indirectly, during the period covered by this statement, any compensation in addition to his/her regular compensation on account of the reinsurance transactions of the reporting entity?

Yes [ ☐ ] No [ ☒ ]

2.

Largest net aggregate amount insured in any one risk.

\$ \_\_\_\_\_

3.1

Has this reporting entity reinsured any risk with any other entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk or portion thereof, reinsured?

Yes [ ☐ ] No [ ☒ ]

3.2

If yes, give full information

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

4.

If the reporting entity has assumed risk from another entity, there should be charged on account of such reinsurances a reserve equal to that which the original entity would have been required to charge had it retained the risks. Has this been done?

Yes [ ☐ ] No [ ☒ ]

5.1

Has this reporting entity guaranteed policies issued by any other entity and now in force?

Yes [ ☐ ] No [ ☒ ]

5.2

If yes, give full information

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

6.

Uncompleted building construction loans:

6.1 Amount already loaned

\$ \_\_\_\_\_

6.2 Balance to be advanced

\$ \_\_\_\_\_

6.3 Total amount to be loaned

\$ \_\_\_\_\_

7.1

Does the reporting entity issue bonds secured by certificates of participation in building construction loans prior to the completion of the buildings?

Yes [ ☐ ] No [ ☒ ]

7.2

If yes, give total amount of such bonds or certificates of participation issued and outstanding.

\$ \_\_\_\_\_

8.

What is the aggregate amount of mortgage loans owned by the reporting entity that consist of co-ordinate interest in first liens?

\$ \_\_\_\_\_

9.1

Reporting entity assets listed on Page 2 include the following segregated assets of the Statutory Premium Reserve or other similar statutory reserves:

9.11 Bonds

\$ \_\_\_\_\_ 22,097,702

9.12 Short-term investments

\$ \_\_\_\_\_

9.13 Mortgages

\$ \_\_\_\_\_

9.14 Cash

\$ \_\_\_\_\_ 96,462,732

9.15 Other admissible invested assets

\$ \_\_\_\_\_

9.16 Total

\$ \_\_\_\_\_ 118,560,434

9.2

List below segregate funds held for others by the reporting entity, set apart in special accounts and excluded from entity assets and liabilities. (These funds are also included in Schedule E – Part 1D Summary, and the “From Separate Accounts, Segregated Accounts and Protected Cell Accounts” line on Page 2 except for escrow funds held by Title insurers).

9.21 Custodial funds not included in this statement were held pursuant to the governing agreements of custody in the amount of:

\$ \_\_\_\_\_ 121,702,506

These funds consist of:

9.22 In cash on deposit

\$ \_\_\_\_\_ 121,702,506

9.23 Other forms of security

\$ \_\_\_\_\_

FIVE – YEAR HISTORICAL DATA

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e. 17.6.

	1	2	3	4	5
	2020	2019	2018	2017	2016
Source of Direct Title Premiums Written (Part 1A)					
1. Direct operations (Part 1A, Line 1, Col. 1)	86,974,987	48,605,551	33,351,050	35,531,040	37,597,007
2. Non-affiliated agency operations (Part 1A, Line 1, Col. 2)	365,729,588	274,791,860	262,163,196	275,000,217	251,359,525
3. Affiliated agency operations (Part 1A, Line 1, Col. 3)	116,340,133	73,546,628	67,072,167	62,217,334	59,853,818
4. Total	569,044,708	396,944,039	362,586,413	372,748,591	348,810,350
Operating Income Summary (Page 4 & Part 1)					
5. Premiums earned (Part 1B, Line 3)	552,053,029	387,588,654	354,124,145	358,897,588	333,706,267
6. Escrow and settlement service charges (Part 1A, Line 2)	60,726,489	34,655,865	27,403,357	26,040,224	26,390,526
7. Title examinations (Part 1A, Line 3)					
8. Searches and abstracts (Part 1A, Line 4)	12,796,920	7,596,660	4,262,931	4,446,324	3,656,522
9. Surveys (Part 1A, Line 5)					
10. Aggregate write-ins for service charges (Part 1A, Line 6)					
11. Aggregate write-ins for other operating income (Page 4, Line 2)	501,509	1,072,822	1,014,033	677,566	680,948
12. Total operating income (Page 4, Line 3)	626,077,947	430,914,001	386,804,466	390,061,702	364,434,263
Statement of Income (Page 4)					
13. Net operating gain or (loss) (Line 8)	47,337,596	9,542,736	(4,846,296)	(1,132,233)	2,176,028
14. Net investment gain or (loss) (Line 11)	12,213,224	6,132,730	4,858,049	3,575,153	2,501,117
15. Total other income (Line 12)					
16. Federal and foreign income taxes incurred (Line 14)	10,914,124	2,654,846	(1,187,768)	169,228	1,292,975
17. Net income (Line 15)	48,636,696	13,020,620	1,199,521	2,273,692	3,384,170
Balance Sheet (Pages 2 and 3)					
18. Title insurance premiums and fees receivable (Page 2, Line 15, Col. 3)	4,805,303	2,742,041	2,757,015	2,474,475	3,187,045
19. Total admitted assets excluding segregated accounts (Page 2, Line 26, Col. 3)	240,584,841	170,606,744	143,864,039	132,525,254	118,004,385
20. Known claims reserve (Page 3, Line 1)	9,827,303	9,486,999	10,479,794	6,185,231	5,254,863
21. Statutory premium reserve (Page 3, Line 2)	118,560,434	102,532,805	94,110,447	86,450,847	72,945,693
22. Total liabilities (Page 3, Line 23)	155,406,837	127,422,550	114,949,161	103,604,064	90,366,494
23. Capital paid up (Page 3, Lines 25 + 26)	2,025,000	2,025,000	2,025,000	2,025,000	2,025,000
24. Surplus as regards policyholders (Page 3, Line 32)	85,178,004	43,184,194	28,914,878	28,921,190	27,637,891
Cash Flow (Page 5)					
25. Net cash from operations (Line 11)	74,848,302	26,110,372	11,470,942	16,222,988	21,153,599
Percentage Distribution of Cash, Cash-Equivalents and Invested Assets (Page 2, Col. 3) (Item divided by Page 2, Line 12, Col. 3) x 100.0					
26. Bonds (Line 1)	9.8	15.8	19.6	20.7	21.5
27. Stocks (Lines 2.1 & 2.2)	0.1				
28. Mortgage loans on real estate (Line 3.1 and 3.2)					
29. Real estate (Lines 4.1, 4.2 & 4.3)				0.0	0.0
30. Cash, cash equivalents and short-term investments (Line 5)	85.1	77.6	72.4	74.8	74.0
31. Contract loans (Line 6)					
32. Derivatives (Line 7)					
33. Other invested assets (Line 8)	5.0	6.6	7.9	4.4	4.6
34. Receivable for securities (Line 9)		0.0			
35. Securities lending reinvested collateral assets (Line 10)					
36. Aggregate write-ins for invested assets (Line 11)					
37. Subtotals cash, cash equivalents and invested assets (Line 12)	100.0	100.0	100.0	100.0	100.0
Investments in Parent, Subsidiaries and Affiliates					
38. Affiliated bonds (Sch. D Summary, Line 12, Col. 1)					
39. Affiliated preferred stocks (Sch. D, Summary, Line 18, Col. 1)					
40. Affiliated common stocks (Sch. D, Summary, Line 24, Col. 1)					
41. Affiliated short-term investments (subtotals included in Schedule DA Verification, Col. 5, Line 10)					
42. Affiliated mortgage loans on real estate					
43. All other affiliated	11,276,373	10,473,369	10,518,375	5,422,434	4,880,829
44. Total of above Lines 38 to 43	11,276,373	10,473,369	10,518,375	5,422,434	4,880,829
45. Total investment in parent included in Lines 38 to 43 above					
46. Percentage of investments in parent, subsidiaries and affiliates to surplus as regards policyholders (Line 44 above divided by Page 3, Line 32, Col. 1 x 100.0)	13.2	24.3	36.4	18.7	17.7



FIVE – YEAR HISTORICAL DATA  
(Continued)

	1	2	3	4	5
	2020	2019	2018	2017	2016
Capital and Surplus Accounts (Page 4)					
47. Net unrealized capital gains or (losses) (Line 18)	802,909	(45,006)	95,941	541,605	18,295
48. Change in nonadmitted assets (Line 21)	(341,263)	1,212,815	(1,030,634)	206,212	(812,613)
49. Dividends to stockholders (Line 28)	(7,800,000)				
50. Change in surplus as regards policyholders for the year (Line 31)	41,993,810	14,269,316	(6,312)	1,283,299	3,097,792
Losses Paid and Incurred (Part 2A)					
51. Net payments (Line 5, Col. 4)	12,224,748	13,523,788	9,600,343	8,721,182	8,923,166
52. Losses and allocated LAE incurred (Line 8, Col. 4)	12,565,052	12,530,993	13,894,906	9,651,550	10,568,926
53. Unallocated LAE incurred (Line 9, Col. 4)	491,750	470,000	513,500	405,850	440,500
54. Losses and loss adjustment expenses incurred (Line 10, Col. 4)	13,056,802	13,000,993	14,408,406	10,057,400	11,009,426
Operating Expenses to Total Operating Income (Part 3)(%) (Line item divided by Page 4, Line 3 x 100.0)					
55. Personnel costs (Part 3, Line 1.5, Col. 4)	15.4	16.5	16.5	15.5	14.0
56. Amounts paid to or retained by title agents (Part 3, Line 2, Col. 4)	63.7	66.8	70.4	71.8	71.1
57. All other operating expenses (Part 3, Lines 24 minus 1.5 minus 2, Col. 4)	11.2	11.5	10.6	10.4	11.3
58. Total (Lines 55 to 57)	90.4	94.8	97.6	97.7	96.4
Operating Percentages (Page 4) (Line item divided by Page 4, Line 3 x 100.0)					
59. Losses and loss adjustment expenses incurred (Line 4)	2.1	3.0	3.7	2.6	3.0
60. Operating expenses incurred (Line 5)	90.4	94.8	97.5	97.7	96.4
61. Aggregate write-ins for other operating deductions (Line 6)					
62. Total operating deductions (Line 7)	92.4	97.8	101.3	100.3	99.4
63. Net operating gain or (loss) (Line 8)	7.6	2.2	(1.3)	(0.3)	0.6
Other Percentages (Line item divided by Part 1B, Line 1.4 x 100.0)					
64. Losses and loss expenses incurred to net premiums written (Page 4, Line 4)	2.3	3.3	4.0	2.7	3.2
65. Operating expenses incurred to net premiums written (Page 4, Line 5)	99.6	103.1	104.3	102.3	100.8
One-Year Schedule P Part 2 Development (\$000 omitted)					
66. Development in estimated losses and ALAE on policies effective before current year (Schedule P, Part 2, Line 22, Col. 11)	(7,131)	(1,746)	(1,752)	(5,880)	(1,652)
67. Percent of such development to policyholders' surplus of prior year-end (Line 66 above divided by Page 4, Line 16, Col. 1 x 100.0)	(16.5)	(6.0)	(6.1)	(21.3)	(6.7)
One-Year Schedule P Part 3 Development (\$000 omitted)					
68. Development in estimated losses and ALAE for claims reported before curr year (Schedule P, Part 3, Line 12, Col. 11)	1,946	3,145	6,074	3,586	4,917
69. Percent of such development to policyholders' surplus of prior year-end (Line 68 above divided by Page 4, Line 16, Col. 1 x 100.0)	4.5	10.9	21.0	13.0	20.0
Two-Year Schedule P Part 2 Development (\$000 omitted)					
70. Development in estimated losses and ALAE on policies effective before prior year-end (Schedule P, Part 2, Line 22, Col. 12)	(8,877)	(4,748)	(6,882)	(5,761)	(3,519)
71. Percent of development of losses and loss expenses incurred to reported policyholders' surplus of second prior year-end (Line 70 above divided by Page 4, Line 16, Col. 2 x 100.0)	(30.7)	(16.4)	(24.9)	(23.5)	(18.6)
Two-Year Schedule P Part 3 Development (\$000 omitted)					
72. Development in estimated losses and ALAE for claims reported before prior year-end (Schedule P, Part 3, Line 12, Col. 12)	3,817	6,347	5,686	5,426	4,300
73. Percent of such development to policyholders' surplus of second prior year-end (Line 72 above divided by Page 4, Line 16, Col. 2 x 100.0)	13.2	21.9	20.6	22.1	22.7

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors?

Yes [ ] No [X]

If no, please explain:

N/A



51152202045059100

EXHIBIT OF PREMIUMS AND LOSSES  
DIRECT BUSINESS IN THE STATE OF TOTAL DURING THE YEAR 2020

NAIC Group Code 4736

NAIC Company Code 51152

Type of Business		1  Number of Policies Issued During The Year	2  Direct Amount of Insurance Written in Millions (\$000,000 Omitted)	3  Net Premiums Written	4  Other Income on Policies Issued for the Type of Business	5  Amounts Paid to or Retained by Title Agents	6  Taxes Licenses and Fees Incurred	7  Net Premiums Earned	8  Direct Losses Paid	9  Direct Allocated Loss Adjustment Expenses Paid	10  Direct Losses and Allocated Loss Adjustment Expenses Incurred	11  Direct Known Claim Reserve
1.	Experience for Policies Having Type of Rate Code: R											
1.01	Residential Policies Issued Directly	4,126	1,073	3,956,869	4,426,509	X X X	X X X	X X X	X X X	X X X	X X X	X X X
1.02	Non-residential Policies Issued Directly					X X X	X X X	X X X	X X X	X X X	X X X	X X X
1.03	Subtotal Policies Issued Directly	4,126	1,073	3,956,869	4,426,509	X X X	X X X	X X X	X X X	X X X	X X X	X X X
1.04	Residential Policies Issued by Non-Affiliated Agents	183,941	49,165	131,401,259	9,629,369	105,855,539	X X X	X X X	X X X	X X X	X X X	X X X
1.05	Non-residential Policies Issued By Non-Affiliated Agents	1,616	990	2,735,378		2,193,700	X X X	X X X	X X X	X X X	X X X	X X X
1.06	Subtotal Policies Issued By Non-Affiliated Agents	185,557	50,155	134,136,637	9,629,369	108,049,239	X X X	X X X	X X X	X X X	X X X	X X X
1.07	Residential Policies Issued By Affiliated Agents	19,024	4,835	9,376,167	583,080	8,161,513	X X X	X X X	X X X	X X X	X X X	X X X
1.08	Non-residential Policies Issued By Affiliated Agents						X X X	X X X	X X X	X X X	X X X	X X X
1.09	Subtotal Policies Issued By Affiliated Agents	19,024	4,835	9,376,167	583,080	8,161,513	X X X	X X X	X X X	X X X	X X X	X X X
1.10	All Other	X X X	X X X	X X X		X X X	X X X	X X X	X X X	X X X	X X X	X X X
1.11	Subtotal for Type of Rate Code	208,707	56,063	147,469,673	14,638,958	116,210,752	X X X	X X X	X X X	X X X	X X X	X X X
2.	Experience for Policies Having Type of Rate Code: RSX											
2.01	Residential Policies Issued Directly	32,326	12,061	41,862,903	29,295,129	X X X	X X X	X X X	X X X	X X X	X X X	X X X
2.02	Non-residential Policies Issued Directly					X X X	X X X	X X X	X X X	X X X	X X X	X X X
2.03	Subtotal Policies Issued Directly	32,326	12,061	41,862,903	29,295,129	X X X	X X X	X X X	X X X	X X X	X X X	X X X
2.04	Residential Policies Issued by Non-Affiliated Agents	59,603	13,668	50,789,031	1,449,188	42,783,931	X X X	X X X	X X X	X X X	X X X	X X X
2.05	Non-residential Policies Issued By Non-Affiliated Agents	849	383	1,259,465		1,089,958	X X X	X X X	X X X	X X X	X X X	X X X
2.06	Subtotal Policies Issued By Non-Affiliated Agents	60,452	14,051	52,048,496	1,449,188	43,873,889	X X X	X X X	X X X	X X X	X X X	X X X
2.07	Residential Policies Issued By Affiliated Agents	45,649	21,884	36,991,440	21,095	33,309,573	X X X	X X X	X X X	X X X	X X X	X X X
2.08	Non-residential Policies Issued By Affiliated Agents	974	232	634,966		571,469	X X X	X X X	X X X	X X X	X X X	X X X
2.09	Subtotal Policies Issued By Affiliated Agents	46,623	22,116	37,626,406	21,095	33,881,042	X X X	X X X	X X X	X X X	X X X	X X X
2.10	All Other	X X X	X X X	X X X		X X X	X X X	X X X	X X X	X X X	X X X	X X X
2.11	Subtotal for Type of Rate Code	139,401	48,228	131,537,805	30,765,412	77,754,931	X X X	X X X	X X X	X X X	X X X	X X X
3.	Experience for Policies Having Type of Rate Code: RSXC											
3.01	Residential Policies Issued Directly				34,716	X X X	X X X	X X X	X X X	X X X	X X X	X X X
3.02	Non-residential Policies Issued Directly	3	103	124,044		X X X	X X X	X X X	X X X	X X X	X X X	X X X
3.03	Subtotal Policies Issued Directly	3	103	124,044	34,716	X X X	X X X	X X X	X X X	X X X	X X X	X X X
3.04	Residential Policies Issued by Non-Affiliated Agents	72,891	21,375	98,359,881	437,376	83,240,309	X X X	X X X	X X X	X X X	X X X	X X X
3.05	Non-residential Policies Issued By Non-Affiliated Agents	3,059	1,222	6,596,959		5,605,075	X X X	X X X	X X X	X X X	X X X	X X X
3.06	Subtotal Policies Issued By Non-Affiliated Agents	75,950	22,597	104,956,840	437,376	88,845,384	X X X	X X X	X X X	X X X	X X X	X X X
3.07	Residential Policies Issued By Affiliated Agents	11,238	3,226	11,113,340	77,050	9,511,459	X X X	X X X	X X X	X X X	X X X	X X X
3.08	Non-residential Policies Issued By Affiliated Agents	197	112	570,708		485,102	X X X	X X X	X X X	X X X	X X X	X X X
3.09	Subtotal Policies Issued By Affiliated Agents	11,435	3,338	11,684,048	77,050	9,996,561	X X X	X X X	X X X	X X X	X X X	X X X
3.10	All Other	X X X	X X X	X X X		X X X	X X X	X X X	X X X	X X X	X X X	X X X
3.11	Subtotal for Type of Rate Code	87,388	26,038	116,764,932	549,142	98,841,945	X X X	X X X	X X X	X X X	X X X	X X X
4.	Experience for All Types of Rate Codes Combined											
4.01	Residential Policies Issued Directly	108,085	46,110	86,850,943	54,840,861	X X X	X X X	X X X	X X X	X X X	X X X	X X X
4.02	Non-residential Policies Issued Directly	3	103	124,044		X X X	X X X	X X X	X X X	X X X	X X X	X X X
4.03	Subtotal Policies Issued Directly	108,088	46,213	86,974,987	54,840,861	X X X	X X X	84,765,971	991,413	272,332	568,774	135,696
4.04	Residential Policies Issued by Non-Affiliated Agents	382,883	103,813	352,977,156	18,015,442	284,726,583	X X X	X X X	X X X	X X X	X X X	X X X
4.05	Non-residential Policies Issued By Non-Affiliated Agents	7,006	3,119	12,752,432		10,403,042	X X X	X X X	X X X	X X X	X X X	X X X
4.06	Subtotal Policies Issued By Non-Affiliated Agents	389,889	106,932	365,729,588	18,015,442	295,129,625	X X X	355,808,782	4,154,879	4,957,045	9,629,177	7,584,050
4.07	Residential Policies Issued By Affiliated Agents	154,794	68,719	113,638,067	697,106	101,412,822	X X X	X X X	X X X	X X X	X X X	X X X
4.08	Non-residential Policies Issued By Affiliated Agents	2,021	904	2,702,066		2,418,169	X X X	X X X	X X X	X X X	X X X	X X X
4.09	Subtotal Policies Issued By Affiliated Agents	156,815	69,623	116,340,133	697,106	103,830,991	X X X	111,478,276	337,449	1,511,630	2,367,101	2,107,557
4.10	All Other	X X X	X X X	X X X		X X X	X X X	X X X	X X X	X X X	X X X	X X X
4.11	Subtotal for Type of Rate Codes Combined	654,792	222,768	569,044,708	73,553,409	398,960,616	X X X	552,053,029	5,483,741	6,741,007	12,565,052	9,827,303
5.	Aggregate Write-in for Line 05						11,037,595					
6.	Total	654,792	222,768	569,044,708	73,553,409	398,960,616	11,037,595	552,053,029	5,483,741	6,741,007	12,565,052	9,827,303
DETAILS OF WRITE-INS												
0501.	License Fees & Taxes						11,037,595					
0502.												
0503.												
0598.	Summary of remaining write-ins for Line 05 from overflow page											
0599.	Totals (Lines 0501 through 0503 plus 0598) (Line 05 above)						11,037,595					

SCHEDULE E – PART 1A – SEGREGATED FUNDS HELD  
FOR OTHERS AS NON-INTEREST EARNING CASH DEPOSITS

Showing all Banks, Trust Companies, Savings and Loan and Building and Loan Associations in which non-interest earning deposits of segregated funds held for others were maintained by the Company at any time during the year and the balances, if any (according to reporting entity's records) on December 31, of the current year.

1	2	3
Depository	Rate of Interest	Balance
OPEN DEPOSITORIES		
FEDERALLY INSURED DEPOSITORIES		
BANK OF AMERICA	BREA, CA	80,827
BANK OF THE WEST	PORTLAND, OR	26,599
WELLS FARGO	SAN FRANCISCO, CA	122,394,541
0199998 Deposits in ( ) 1) depositories which do not exceed the allowable limit in any one depository	X X X	53
0199999 Total Federally Insured Depositories	X X X	122,502,020
NON-FEDERALLY INSURED DEPOSITORIES		
0299999 Total Non-Federally Insured Depositories	X X X	
0399999 Total Open Depositories - Dec. 31st	X X X	122,502,020
SUSPENDED DEPOSITORIES		
0499999 Total Suspended Depositories - Dec. 31st	X X X	
0599999 Grand Total - All Depositories - Dec. 31st	X X X	122,502,020

1. Totals: Last day of January	74,284,310
2. February	86,955,898
3. March	169,334,892
4. April	154,676,149
5. May	197,512,887
6. June	375,903,650
7. July	253,980,765
8. August	348,350,755
9. September	297,467,954
10. October	327,566,960
11. November	396,891,923
12. December	122,502,021



**NONE      Schedule E - Part 1C**

SCHEDULE E – PART 1D – SUMMARY

Segregated Funds Held for Others			
Type	1 Non-Interest Earning	2 Interest Earning	3 Total (Cols. 1 + 2)
1. Open depositories	122,502,020	147,625	122,649,645
2. Suspended depositories			
3. Total segregated cash funds held for others (General Interrogatories-Part 2, Line 9.22)	122,502,020	147,625	122,649,645
4. Other forms of security held for others (General Interrogatories-Part 2, Line 9.23)			
5. Total all segregated funds held for others (General Interrogatories-Part 2, Line 9.21)	122,502,020	147,625	122,649,645
Company Funds on Hand and on Deposit			
General Funds			
6. Open depositories			179,190,977
7. Suspended depositories			
8. Total general funds			179,190,977
Reinsurance Reserve Funds			
9. Open depositories			
10. Suspended depositories			
11. Total reinsurance reserve funds			
Total Company Funds			
12. Open depositories			301,840,622
13. Suspended depositories			
14. Total company funds on deposit (Lines 8 & 11)			179,190,977
15. Company funds on hand			
16. Total company funds on hand and on deposit			179,190,977

SCHEDULE E – PART 1E – SUMMARY OF INTEREST EARNED

Interest Earned On	1 Interest Earned By Company	2 Average Monthly Balance of Non-Earning Deposits	3 Average Monthly Balance of Earning Deposits
Segregated Funds Held for Others			
17. Open depositories		233,785,680	160,487
18. Suspended depositories			
19. Total segregated funds held for others		233,785,680	160,487
Company Funds on Deposit			
20. Open depositories	96,944	125,793,298	17,105,289
21. Suspended depositories			
22. Total company funds on deposit	96,944	125,793,298	17,105,289
Total All Funds on Deposit			
23. Open depositories	96,944	359,578,978	17,265,776
24. Suspended depositories			
25. Total all funds on deposit	96,944	359,578,978	17,265,776

SCHEDULE E - PART 1F - FUNDS ON DEPOSIT - INTERROGATORIES

1.

Does the reporting entity require, at least annually, letters of representation from its directors and officers concerning conflicts of interest in relation to:
- 1.1

The supply of goods or paid provision of personal services to a reporting entity depository listed in Schedule E – Part 1, or its parent, subsidiaries, or any of its affiliates?

Yes ☒ No ☐
- 1.2

Real estate agreements, including, but not limited to lease, rental, mortgage, or purchase agreements with the reporting entity depository listed in Schedule E – Part 1, or its parent, subsidiaries, or any of its affiliates?

Yes ☒ No ☐
- 2.1

Is the reporting entity aware of any real estate agreements, including, but not limited to lease, rental, mortgage, or purchase agreements, existing between the reporting entity, its Parent, Subsidiaries, or any of its Affiliates, and any depository listed in Schedule E – Part 1, or its parent, subsidiaries or any of its affiliates?

Yes ☐ No ☒
- 2.2

If yes, give details below.
3.

Does the reporting entity maintain sufficient records of funds held as escrow or security deposits and reported in Exhibit Capital Gains (Losses) and Schedule E – Part 1A that will enable it to identify the funds on an individual basis?

Yes ☒ No ☐

**NONE      Schedule F - Part 1**



## SCHEDULE F – PART 2

Ceded Reinsurance as of December 31, Current Year (\$000 Omitted)

[illegible]

**NONE      Schedule F - Part 3**

**NONE      Schedule F - Part 4**

## SCHEDULE H - PART 1

Showing All Title Plants Owned at December 31 of Current Year and Basis of Valuation

[illegible]

(a) If the basis is other than cost, provide explanation to reason for deviating from the cost basis: \_\_\_\_\_

**NONE      Schedule H - Part 2**

## SCHEDULE H - PART 3

Showing All Title Plants Sold or Otherwise Disposed of During the Year

1  Permanent Identification Number	2  Form of Ownership	Title Plant		5  Date Sold	6  Name of Purchaser	7  Cost to Company	8  Prior Year Book Value	Change in Book Value		11  Book Value at Date of Sale (8 + 9 - 10)	12  Consideration	13  Profit and (Losses) on Sale
		Covering Period						9  Increase by Adjustment in Book Value During Year	10  Decrease by Adjustment in Book Value During Year			
		3  From	4  To									
					NONE							
9999999 Totals												

## SCHEDULE H – VERIFICATION BETWEEN YEARS

1. Book value, December 31, prior year	4,079,910
2. Increase by adjustment in book value:	
2.1 Totals, Part 1, Col. 9	
2.2 Totals, Part 3, Col. 9	
3. Cost of acquisition, Part 2, Col. 8	
4. Totals	4,079,910

5. Decrease by adjustment in book value:	
5.1 Totals, Part 1, Col. 10	_____
5.2 Totals, Part 3, Col. 10	_____
6. Consideration received on sales, Part 3, Col. 12	_____
7. Net profit (loss) on sales, Part 3, Col. 13	_____
8. Book value, December 31, current year	<u>4,079,910</u>

SCHEDULE H - PART 4

Showing Total Title Assets Held Directly or by Subsidiaries

Type of Title Plant Ownership	1 Title Plant Value Current Year	2 Title Plant Value Prior Year
1. Direct investment in title plant assets	4,079,910	4,079,910
2. Title plant assets held by subsidiaries (proportionate to ownership)	6,879,633	6,879,633
3. Total (Line 1 plus Line 2)	10,959,543	10,959,543

SCHEDULE P – PART 1 – SUMMARY  
(\$000 omitted)

Years in Which Policies Were Written	1 Amount of Insurance Written in Millions	Premiums Written and Other Income					Loss and Allocated Loss Adjustment Expenses Payments					
		2 Direct Premium	3 Assumed Premium	4 Other Income	5 Ceded Premium	6 Net (Cols. 2 + 3 + 4 - 5)	Loss Payments			Allocated LAE Payments		
							7 Direct	8 Assumed	9 Ceded	10 Direct	11 Assumed	12 Ceded
1. Prior	X X X	359,475	9	13,851	2,471	370,864	12,170		206	10,772		
2. 2011	26,411	68,275		5,039	110	73,204	1,673			1,820		
3. 2012	57,277	156,084		9,417	133	165,368	1,177			3,498		
4. 2013	78,632	232,755		13,363	226	245,892	2,295			4,751		
5. 2014	65,647	214,806		16,908	299	231,415	4,167			5,247		
6. 2015	98,773	306,159		26,371	249	332,281	4,677			4,668		
7. 2016	108,516	348,810		30,046	469	378,387	4,751			4,939		
8. 2017	110,161	372,749		30,487	346	402,890	3,741			3,667		
9. 2018	102,895	362,586		31,667	803	393,450	3,566			2,277		
10. 2019	127,233	396,944		42,253	933	438,264	2,754			1,725		
11. 2020	222,774	569,045		73,553	964	641,634	1,790			272		
12. Totals	X X X	3,387,688	9	292,955	7,003	3,673,649	42,761		206	43,636		

Years in Which Policies Were Written	13  Salvage and Subrogation Received	14  Unallocated Loss Expense Payments	15  Total Net Loss and Expense (Cols. 7 + 8 + 10 + 11 - 9 - 12 + 14)	16  Number of Claims Reported (Direct)	Loss and Allocated Loss Adjustment Expenses Unpaid						23  Unallocated Loss Expense Unpaid
					Known Claim Reserves			IBNR Reserves			
					17  Direct	18  Assumed	19  Ceded	20  Direct	21  Assumed	22  Ceded	
1. Prior	4,403	1,993	24,729	2,397	367			859			41
2. 2011	776	236	3,729	224	195			712			34
3. 2012	1,468	316	4,991	352	172			1,713			82
4. 2013	2,189	390	7,436	442	322			3,034			145
5. 2014	1,339	390	9,804	434	406			3,130			151
6. 2015	2,372	409	9,754	456	910			5,745			276
7. 2016	2,014	337	10,027	391	1,539			8,471			407
8. 2017	1,314	326	7,734	394	1,215			10,527			505
9. 2018	689	203	6,046	280	1,006			13,951			669
10. 2019	555	135	4,614	231	2,229			20,292			974
11. 2020	878	36	2,098	73	1,466			43,972			2,110
12. Totals	17,997	4,771	90,962	5,674	9,827			112,406			5,394

Years in Which Policies Were Written	24 Total Net Loss and LAE Unpaid (Cols. 17 + 18 + 21 - 19 - 22 + 23)	25 Number of Claims Outstanding (Direct)	Losses and Allocated Loss Expenses Incurred				Loss and LAE Ratio		32 Net Loss & LAE Per \$1000 of Coverage ([Cols. 29 + 14 + 23] / Col. 1)	33 Discount For Time Value of Money	34 Net Reserves After Discount (Cols. 24 - 33)
			26 Direct (Cols. 7 + 10 + 17 + 20)	27 Assumed (Cols. 8 + 11 + 18 + 21)	28 Ceded (Cols. 9 + 12 + 19 + 22)	29 Net	30 Direct ([Cols. 14 + 23 + 26] / Col. 2)	31 Net Basis ([Cols. 14 + 23 + 29] / [Cols. 6 - 4])			
			Direct	Assumed	Ceded	Net	Direct	Net Basis			
1. Prior	1,267	25	24,168		206	23,962	7.289	7.282	X X X		1,267
2. 2011	941	11	4,400			4,400	6.840	6.851	17.682		941
3. 2012	1,967	25	6,560			6,560	4.458	4.462	12.148		1,967
4. 2013	3,501	48	10,402			10,402	4.699	4.703	13.909		3,501
5. 2014	3,687	44	12,950			12,950	6.281	6.289	20.551		3,687
6. 2015	6,931	80	16,000			16,000	5.450	5.454	16.892		6,931
7. 2016	10,417	110	19,700			19,700	5.861	5.869	18.840		10,417
8. 2017	12,247	141	19,150			19,150	5.360	5.365	18.138		12,247
9. 2018	15,626	162	20,800			20,800	5.977	5.990	21.062		15,626
10. 2019	23,495	175	27,000			27,000	7.081	7.098	22.093		23,495
11. 2020	47,548	65	47,500			47,500	8.724	8.739	22.285		47,548
12. Totals	127,627	886	208,630		206	208,424	X X X	X X X	X X X		127,627

SCHEDULE P – PART 1A – POLICIES WRITTEN DIRECTLY  
(\$000 omitted)

Years in Which Policies Were Written	1 Amount of Insurance Written in Millions	Premiums Written and Other Income					Loss and Allocated Loss Adjustment Expenses Payments					
		2 Direct Premium	3 Assumed Premium	4 Other Income	5 Ceded Premium	6 Net (Cols. 2 + 3 + 4 - 5)	Loss Payments			Allocated LAE Payments		
							7 Direct	8 Assumed	9 Ceded	10 Direct	11 Assumed	12 Ceded
1. Prior	X X X	46,377		13,851		60,228	496			529		
2. 2011	3,713	8,566		5,039		13,605	178			48		
3. 2012	10,707	21,330		8,683		30,013	19			15		
4. 2013	10,051	22,017		11,628		33,645	(35)			295		
5. 2014	8,720	21,305		15,293		36,598	144			155		
6. 2015	14,151	32,097		23,389		55,486	120			116		
7. 2016	12,203	37,597		23,180		60,777	1,067			259		
8. 2017	13,392	35,531		23,102		58,633	299			228		
9. 2018	11,603	33,351		24,003		57,354	659			147		
10. 2019	21,078	48,605		31,430		80,035	43			70		
11. 2020	46,214	86,975		54,841		141,816	20			1		
12. Totals	X X X	393,751		234,439		628,190	3,010			1,863		

Years in Which Policies Were Written	13  Salvage and Subrogation Received	14  Unallocated Loss Expense Payments	15  Total Net Loss and Expense Paid (Cols. 7 + 8 + 10 + 11 - 9 - 12 + 14)	16  Number of Claims Reported (Direct)	Loss and Allocated Loss Adjustment Expenses Unpaid						23  Unallocated Loss Expense Unpaid
					Known Claim Reserves			IBNR Reserves			
					17  Direct	18  Assumed	19  Ceded	20  Direct	21  Assumed	22  Ceded	
1. Prior	28	53	1,078	184				97			3
2. 2011	7	17	243	11	20			81			2
3. 2012		25	59	19				194			6
4. 2013	172	29	289	31				344			10
5. 2014	10	30	329	25				355			11
6. 2015	152	33	269	22				651			20
7. 2016	112	28	1,354	21	25			960			29
8. 2017	11	25	552	29	23			1,193			36
9. 2018		15	821	23	33			1,581			47
10. 2019	49	8	121	13	34			2,299			69
11. 2020		2	23	4				4,983			150
12. Totals	541	265	5,138	382	135			12,738			383

Years in Which Policies Were Written	24 Total Net Loss and LAE Unpaid (Cols. 17 + 18 + 20 + 21 - 19 - 22 + 23)	25 Number of Claims Outstanding (Direct)	Losses and Allocated Loss Expenses Incurred				Loss and LAE Ratio		32 Net Loss & LAE Per \$1000 of Coverage ((Cols. 29 + 14 + 23) / Col. 1)	33 Discount For Time Value of Money	34 Net Reserves After Discount (Cols. 24 - 33)
			26 Direct (Cols. 7 + 10 + 17 + 20)	27 Assumed (Cols. 8 + 11 + 18 + 21)	28 Ceded (Cols. 9 + 12 + 19 + 22)	29 Net	30 Direct ((Cols. 14 + 23 + 26) / Col. 2)	31 Net Basis ((Cols. 14 + 23 + 29) / [Cols. 6 - 4])			
1. Prior	100	4	1,122			1,122	2.540	2.540	X X X		100
2. 2011	103	1	327			327	4.039	4.039	9.319		103
3. 2012	200		228			228	1.214	1.214	2.419		200
4. 2013	354		604			604	2.920	2.920	6.397		354
5. 2014	366	1	654			654	3.262	3.262	7.970		366
6. 2015	671	3	887			887	2.929	2.929	6.643		671
7. 2016	1,014	3	2,311			2,311	6.298	6.298	19.405		1,014
8. 2017	1,252	7	1,743			1,743	5.077	5.077	13.471		1,252
9. 2018	1,661	11	2,420			2,420	7.442	7.442	21.391		1,661
10. 2019	2,402	6	2,446			2,446	5.191	5.191	11.970		2,402
11. 2020	5,133	3	5,004			5,004	5.928	5.928	11.157		5,133
12. Totals	13,256	39	17,746			17,746	X X X	X X X	X X X		13,256



SCHEDULE P – PART 1B – POLICIES WRITTEN THROUGH AGENTS  
(\$000 omitted)

Years in Which Policies Were Written	1 Amount of Insurance Written in Millions	Premiums Written and Other Income					Loss and Allocated Loss Adjustment Expenses Payments					
		2 Direct Premium	3 Assumed Premium	4 Other Income	5 Ceded Premium	6 Net (Cols. 2 + 3 + 4 - 5)	Loss Payments			Allocated LAE Payments		
							7 Direct	8 Assumed	9 Ceded	10 Direct	11 Assumed	12 Ceded
1. Prior	X X X	313,098	9		2,471	310,636	11,674		206	10,243		
2. 2011	22,698	59,709			110	59,599	1,495			1,772		
3. 2012	46,570	134,754		734	133	135,355	1,158			3,483		
4. 2013	68,581	210,738		1,735	226	212,247	2,330			4,456		
5. 2014	56,927	193,501		1,615	299	194,817	4,023			5,092		
6. 2015	84,622	274,062		2,982	249	276,795	4,557			4,552		
7. 2016	96,313	311,213		6,866	469	317,610	3,684			4,680		
8. 2017	96,769	337,218		7,385	346	344,257	3,442			3,439		
9. 2018	91,292	329,235		7,664	803	336,096	2,907			2,130		
10. 2019	106,155	348,339		10,823	933	358,229	2,711			1,655		
11. 2020	176,560	482,070		18,712	964	499,818	1,770			271		
12. Totals	X X X	2,993,937	9	58,516	7,003	3,045,459	39,751		206	41,773		

Years in Which Policies Were Written	13  Salvage and Subrogation Received	14  Unallocated Loss Expense Payments	15  Total Net Loss and Expense Paid (Cols. 7 + 8 + 10 + 11 - 9 - 12 + 14)	16  Number of Claims Reported (Direct)	Loss and Allocated Loss Adjustment Expenses Unpaid						23  Unallocated Loss Expense Unpaid
					Known Claim Reserves			IBNR Reserves			
					17  Direct	18  Assumed	19  Ceded	20  Direct	21  Assumed	22  Ceded	
1. Prior	4,375	1,940	23,651	2,213	367			762			38
2. 2011	769	219	3,486	213	175			631			32
3. 2012	1,468	291	4,932	333	172			1,519			76
4. 2013	2,017	361	7,147	411	322			2,690			135
5. 2014	1,329	360	9,475	409	406			2,775			140
6. 2015	2,220	376	9,485	434	910			5,094			256
7. 2016	1,902	309	8,673	370	1,514			7,511			378
8. 2017	1,303	301	7,182	365	1,192			9,334			469
9. 2018	689	188	5,225	257	973			12,370			622
10. 2019	506	127	4,493	218	2,195			17,993			905
11. 2020	878	34	2,075	69	1,466			38,989			1,960
12. Totals	17,456	4,506	85,824	5,292	9,692			99,668			5,011

Years in Which Policies Were Written	24 Total Net Loss and LAE Unpaid (Cols. 17 + 18 + 19 - 22 + 23)	25 Number of Claims Outstanding (Direct)	Losses and Allocated Loss Expenses Incurred				Loss and LAE Ratio		32 Net Loss & LAE Per \$1000 of Coverage ((Cols. 29 + 14 + 23] / Col. 1)	33 Discount For Time Value of Money	34 Net Reserves After Discount (Cols. 24 - 33)
			26 Direct (Cols. 7 + 10 + 17 + 20)	27 Assumed (Cols. 8 + 11 + 18 + 21)	28 Ceded (Cols. 9 + 12 + 19 + 22)	29 Net	30 Direct Basis ((Cols. 14 + 23 + 26] / Col 2)	31 Net Basis ([Cols. 14 + 23 + 29] / [Cols. 6 - 4])			
1. Prior	1,167	21	23,046		206	22,840	7.992	7.989	X X X		1,167
2. 2011	838	10	4,073			4,073	7.242	7.255	19.050		838
3. 2012	1,767	25	6,332			6,332	4.971	4.976	14.385		1,767
4. 2013	3,147	48	9,798			9,798	4.885	4.890	15.010		3,147
5. 2014	3,321	43	12,296			12,296	6.613	6.623	22.478		3,321
6. 2015	6,260	77	15,113			15,113	5.745	5.750	18.606		6,260
7. 2016	9,403	107	17,389			17,389	5.808	5.817	18.768		9,403
8. 2017	10,995	134	17,407			17,407	5.390	5.396	18.784		10,995
9. 2018	13,965	151	18,380			18,380	5.829	5.843	21.020		13,965
10. 2019	21,093	169	24,554			24,554	7.345	7.365	24.102		21,093
11. 2020	42,415	62	42,496			42,496	9.229	9.247	25.198		42,415
12. Totals	114,371	847	190,884		206	190,678	X X X	X X X	X X X		114,371

SCHEDULE P – PART 2

POLICY YEAR INCURRED LOSS AND ALAE

Years in Which Policies Were Written	Incurred Losses and Allocated Expenses at Year - End (\$000 OMITTED)										Development	
	Including Known Claims and IBNR on Unreported Claims											
	1	2	3	4	5	6	7	8	9	10	11	12
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	One Year (Cols. 10 - 9)	Two Year (Cols. 10 - 8)
1. Prior	8,334	8,251	8,224	8,254	8,321	8,282	8,310	8,408	8,270	8,276	6	(132)
2. 2001	656	607	634	634	656	690	689	687	687	689	2	2
3. 2002	1,560	1,521	1,513	1,511	1,581	1,500	1,570	1,570	1,537	1,565	28	(5)
4. 2003	1,782	1,826	1,820	1,902	1,959	1,940	2,070	2,185	2,055	2,040	(15)	(145)
5. 2004	1,717	1,615	1,580	1,584	1,667	1,575	1,692	1,742	1,672	1,625	(47)	(117)
6. 2005	2,110	2,041	2,056	2,016	2,157	2,260	2,259	2,369	2,034	2,069	35	(300)
7. 2006	788	974	1,042	1,113	1,293	1,360	1,359	1,269	934	964	30	(305)
8. 2007	337	334	349	365	370	360	490	545	565	575	10	30
9. 2008	1,174	1,065	1,084	981	850	980	980	1,025	975	940	(35)	(85)
10. 2009	1,935	2,454	2,336	2,219	2,617	2,950	2,950	3,170	3,100	3,000	(100)	(170)
11. 2010	2,265	1,874	1,947	2,071	2,457	2,392	2,390	2,440	2,325	2,220	(105)	(220)
12. 2011	5,280	5,326	5,133	4,896	4,429	4,443	4,443	4,540	4,500	4,400	(100)	(140)
13. 2012	X X X	10,763	9,246	9,193	8,728	8,267	7,800	7,350	6,750	6,560	(190)	(790)
14. 2013	X X X	X X X	15,296	14,313	13,099	12,615	11,000	10,700	10,800	10,400	(400)	(300)
15. 2014	X X X	X X X	X X X	14,928	13,268	12,847	11,500	12,100	13,300	12,950	(350)	850
16. 2015	X X X	X X X	X X X	X X X	20,311	19,650	18,500	17,100	16,850	16,000	(850)	(1,100)
17. 2016	X X X	X X X	X X X	X X X	X X X	22,971	21,200	21,000	19,700	19,700		(1,300)
18. 2017	X X X	X X X	X X X	X X X	X X X	X X X	23,100	22,350	21,500	19,150	(2,350)	(3,200)
19. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	22,250	23,500	20,800	(2,700)	(1,450)
20. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	27,000	27,000		X X X
21. 2020	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	47,500	X X X	X X X
22. Totals											(7,131)	(8,877)

SCHEDULE P – PART 2A – POLICY YEAR PAID LOSS AND ALAE

Years in Which Policies Were Written	Cumulative Paid Losses and Allocated Expenses at Year - End (\$000 OMITTED)										11	12
	1	2	3	4	5	6	7	8	9	10	Number of Claims Closed With Loss Payment	Number of Claims Closed Without Loss Payment
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020		
1. Prior	8,056	8,135	8,144	8,185	8,241	8,266	8,298	8,328	8,235	8,250	611	515
2. 2001	605	607	614	617	618	620	682	687	687	687	50	38
3. 2002	1,355	1,375	1,398	1,420	1,483	1,459	1,533	1,537	1,537	1,546	45	55
4. 2003	1,506	1,620	1,627	1,689	1,766	1,802	1,962	2,106	2,005	2,005	52	68
5. 2004	1,498	1,520	1,523	1,528	1,537	1,575	1,596	1,637	1,624	1,625	57	84
6. 2005	1,744	1,769	1,829	1,900	1,920	2,079	2,122	2,180	1,914	1,985	56	144
7. 2006	567	772	878	945	1,114	1,140	1,304	1,145	859	892	42	155
8. 2007	227	249	274	306	309	322	334	479	503	527	24	59
9. 2008	726	797	841	846	850	846	846	856	860	860	21	35
10. 2009	1,012	1,626	1,773	1,835	1,850	2,433	2,447	2,489	2,557	2,577	34	90
11. 2010	635	880	1,139	1,506	1,770	1,827	1,747	1,803	1,807	1,783	38	99
12. 2011	478	1,411	1,674	2,089	2,593	2,827	3,001	3,364	3,449	3,493	109	104
13. 2012	X X X	79	1,282	2,330	3,258	3,886	3,994	4,639	4,415	4,675	148	179
14. 2013	X X X	X X X	468	1,913	3,120	5,289	5,414	5,583	6,626	7,044	192	202
15. 2014	X X X	X X X	X X X	466	2,448	4,259	5,393	6,341	8,546	9,414	198	191
16. 2015	X X X	X X X	X X X	X X X	319	3,007	5,892	8,510	9,104	9,345	180	197
17. 2016	X X X	X X X	X X X	X X X	X X X	482	3,209	5,283	8,022	9,690	113	168
18. 2017	X X X	X X X	X X X	X X X	X X X	X X X	1,066	2,846	6,313	7,408	85	168
19. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	627	4,143	5,843	49	69
20. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	759	4,479	38	18
21. 2020	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	2,062	6	2

SCHEDULE P – PART 2B –  
POLICY YEAR LOSS AND ALAE CASE BASIS RESERVES

Years in Which Policies Were Written	Case Basis Losses and Allocated Expenses Reserves at Year - End (\$000 OMITTED)									
	1	2	3	4	5	6	7	8	9	10
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
1. Prior	96	47	36	42	56	3	10	40	10	15
2. 2001	8		2	1	25	58	3			
3. 2002	71	33	3	10	43	3	3	6		
4. 2003	34	27	50	81	88	71	50	20	1	
5. 2004	11	6			2		16	14	2	
6. 2005	6	10	21	10	60	21	56	16	22	22
7. 2006	41	41	18	98	52	110	19	8	15	20
8. 2007	15	7	9	5	10	4	124	5	25	16
9. 2008	82	18	19	8		2	1	3	4	
10. 2009	161	223	145	129	228	2	72	155	147	140
11. 2010	131	154	276	23	79	50	200	180	164	154
12. 2011	475	125	107	126	193	144	355	88	200	195
13. 2012	X X X	259	76	732	740	279	247	414	305	172
14. 2013	X X X	X X X	46	516	635	575	398	733	501	322
15. 2014	X X X	X X X	X X X	550	559	817	834	1,299	748	406
16. 2015	X X X	X X X	X X X	X X X	839	1,826	1,770	924	818	910
17. 2016	X X X	X X X	X X X	X X X	X X X	1,290	1,637	3,663	1,960	1,539
18. 2017	X X X	X X X	X X X	X X X	X X X	X X X	390	2,127	1,859	1,215
19. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	785	1,740	1,006
20. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	966	2,229
21. 2020	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	1,466

SCHEDULE P – PART 2C –  
POLICY YEAR BULK RESERVES ON KNOWN CLAIMS

Years in Which Policies Were Written	Bulk Reserves on Known Claims at Year - End (\$000 OMITTED)									
	Loss and Allocated Loss Expense									
	1	2	3	4	5	6	7	8	9	10
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
1. Prior										
2. 2001										
3. 2002										
4. 2003										
5. 2004										
6. 2005										
7. 2006										
8. 2007										
9. 2008										
10. 2009										
11. 2010										
12. 2011										
13. 2012	X X X									
14. 2013	X X X	X X X								
15. 2014	X X X	X X X	X X X							
16. 2015	X X X	X X X	X X X	X X X						
17. 2016	X X X	X X X	X X X	X X X	X X X					
18. 2017	X X X	X X X	X X X	X X X	X X X	X X X				
19. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X			
20. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X		
21. 2020	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	

SCHEDULE P – PART 2D –  
POLICY YEAR IBNR RESERVES

Years in Which Policies Were Written	IBNR Reserves on Unreported Claims at Year - End (\$000 OMITTED)									
	Loss and Allocated Loss Expense									
	1	2	3	4	5	6	7	8	9	10
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
1. Prior	182	69	44	27	24	13	2	40	25	11
2. 2001	43		18	16	13	12	4			2
3. 2002	134	113	112	81	55	38	34	27		19
4. 2003	242	179	143	132	105	67	58	59	49	35
5. 2004	208	89	57	56	128		80	91	46	
6. 2005	360	262	206	106	177	160	81	173	98	62
7. 2006	180	161	146	70	127	110	36	116	60	52
8. 2007	95	78	66	54	51	34	32	61	37	32
9. 2008	366	250	224	127		132	133	166	111	80
10. 2009	762	605	418	255	539	515	431	526	396	283
11. 2010	1,499	840	532	542	608	515	443	457	354	283
12. 2011	4,327	3,790	3,352	2,681	1,643	1,472	1,087	1,088	851	712
13. 2012	X X X	10,425	7,888	6,131	4,730	4,102	3,559	2,297	2,030	1,713
14. 2013	X X X	X X X	14,782	11,884	9,344	6,751	5,188	4,384	3,673	3,034
15. 2014	X X X	X X X	X X X	13,912	10,261	7,771	5,273	4,460	4,006	3,130
16. 2015	X X X	X X X	X X X	X X X	19,153	14,817	10,838	7,666	6,928	5,745
17. 2016	X X X	X X X	X X X	X X X	X X X	21,199	16,354	12,054	9,718	8,471
18. 2017	X X X	X X X	X X X	X X X	X X X	X X X	21,644	17,377	13,328	10,527
19. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	20,838	17,617	13,951
20. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	25,275	20,292
21. 2020	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	43,972

SCHEDULE P – PART 3 –  
INCURRED LOSS AND ALAE BY YEAR OF FIRST REPORT

Years in Which Losses Were Incurred	Losses and Allocated Expenses at Year - End (\$000 OMITTED)										Development	
	Incurred Loss and ALAE on Known Claims and Bulk Reserves on Known Claims										11	12
	1	2	3	4	5	6	7	8	9	10	One - Year (Cols. 10 - 9)	Two - Year (Cols. 10 - 8)
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020		
1. Prior	17,649	18,358	18,339	18,465	18,634	18,581	18,596	18,416	18,167	18,164	(3)	(252)
2. 2011	1,891	2,710	3,005	3,149	3,326	3,331	3,207	3,183	3,080	3,075	(5)	(108)
3. 2012	X X X	722	1,050	1,317	1,383	1,383	1,227	1,352	1,377	1,377		25
4. 2013	X X X	X X X	1,878	3,447	4,323	4,630	4,635	4,630	4,254	4,260	6	(370)
5. 2014	X X X	X X X	X X X	3,528	5,392	6,281	6,188	6,466	6,846	6,975	129	509
6. 2015	X X X	X X X	X X X	X X X	3,764	7,533	8,395	8,899	8,259	8,229	(30)	(670)
7. 2016	X X X	X X X	X X X	X X X	X X X	5,635	8,712	10,114	9,371	9,292	(79)	(822)
8. 2017	X X X	X X X	X X X	X X X	X X X	X X X	6,065	10,039	12,018	12,362	344	2,323
9. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	7,821	10,693	11,003	310	3,182
10. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	9,385	10,659	1,274	X X X
11. 2020	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	10,621	X X X	X X X
12. Totals											1,946	3,817

SCHEDULE P – PART 3A –  
PAID LOSS AND ALAE BY YEAR OF FIRST REPORT

Years in Which Losses Were Incurred	Cumulative Paid Losses and Allocated Expenses at Year - End (\$000 OMITTED)										11	12
	1	2	3	4	5	6	7	8	9	10	Number of Claims Closed With Loss Payment	Number of Claims Closed Without Loss Payment
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020		
1. Prior	17,252	18,032	18,156	18,236	18,357	18,571	18,586	18,415	18,166	18,164	881	851
2. 2011	1,157	2,438	2,660	3,046	3,193	3,270	3,161	3,178	3,076	3,071	53	110
3. 2012	X X X	370	1,004	1,268	1,304	1,382	1,202	1,235	1,238	1,253	42	109
4. 2013	X X X	X X X	1,644	2,985	3,773	4,139	4,450	4,525	4,151	4,240	126	135
5. 2014	X X X	X X X	X X X	2,040	4,516	6,109	5,858	5,818	6,634	6,872	136	190
6. 2015	X X X	X X X	X X X	X X X	2,053	5,394	7,077	8,632	8,180	8,145	207	232
7. 2016	X X X	X X X	X X X	X X X	X X X	3,254	6,984	8,944	9,164	9,157	247	229
8. 2017	X X X	X X X	X X X	X X X	X X X	X X X	3,522	6,387	9,850	11,169	170	299
9. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	3,306	8,412	9,487	132	296
10. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	5,094	8,258	79	162
11. 2020	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	6,374	75	27

SCHEDULE P – PART 3B –  
LOSS AND ALAE CASE BASIS RESERVES BY  
YEAR OF FIRST REPORT

Years in Which Losses Were Incurred	Case Basis Losses and Allocated Expenses Reserves at Year - End (\$000 OMITTED)									
	1	2	3	4	5	6	7	8	9	10
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
1. Prior	397	326	183	229	260	10	10	1	1	
2. 2011	734	272	345	103	133	61	46	5	4	4
3. 2012	X X X	352	46	49	79	1	25	117	139	124
4. 2013	X X X	X X X	234	462	550	491	185	105	103	20
5. 2014	X X X	X X X	X X X	1,488	876	172	330	648	212	103
6. 2015	X X X	X X X	X X X	X X X	1,711	2,139	1,318	267	79	84
7. 2016	X X X	X X X	X X X	X X X	X X X	2,381	1,728	1,170	207	135
8. 2017	X X X	X X X	X X X	X X X	X X X	X X X	2,543	3,652	2,168	1,193
9. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	4,515	2,281	1,516
10. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	4,291	2,401
11. 2020	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	4,247

SCHEDULE P – PART 3C –  
BULK RESERVES ON KNOWN CLAIMS BY  
YEAR OF FIRST REPORT

Years in Which Losses Were Incurred	Bulk Reserves on Known Claims at Year - End (\$000 OMITTED)									
	Loss and Allocated Loss Expense									
	1	2	3	4	5	6	7	8	9	10
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
1. Prior					17					
2. 2011										
3. 2012	X X X									
4. 2013	X X X	X X X								
5. 2014	X X X	X X X	X X X							
6. 2015	X X X	X X X	X X X	X X X						
7. 2016	X X X	X X X	X X X	X X X	X X X					
8. 2017	X X X	X X X	X X X	X X X	X X X	X X X				
9. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X			
10. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X		
11. 2020	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	

SCHEDULE P – PART 4A - POLICY YEAR REPORTED CLAIM COUNTS

Years in Which Policies Were Written	Number of Claims Reported (Direct)									
	1	2	3	4	5	6	7	8	9	10
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
1. Prior	1,097	1,102	1,108	1,111	1,117	1,119	1,123	1,129	1,129	1,131
2. 2001	84	84	85	86	86	86	87	88	89	89
3. 2002	83	85	87	91	92	94	97	99	99	101
4. 2003	82	86	92	98	105	111	119	122	122	122
5. 2004	93	111	116	117	122	126	134	140	141	141
6. 2005	133	144	156	165	173	178	186	196	200	202
7. 2006	132	148	165	173	176	182	190	196	198	200
8. 2007	44	51	63	67	67	70	75	83	86	87
9. 2008	40	42	47	48	50	51	54	55	56	57
10. 2009	43	66	78	95	100	111	120	123	126	126
11. 2010	30	45	58	81	102	112	124	138	140	141
12. 2011	35	60	102	117	155	178	203	218	222	224
13. 2012	X X X	24	102	159	218	263	288	328	345	352
14. 2013	X X X	X X X	54	152	259	332	371	408	428	442
15. 2014	X X X	X X X	X X X	84	207	287	346	388	418	434
16. 2015	X X X	X X X	X X X	X X X	69	232	337	405	445	456
17. 2016	X X X	X X X	X X X	X X X	X X X	71	194	285	351	391
18. 2017	X X X	X X X	X X X	X X X	X X X	X X X	89	249	345	394
19. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	70	203	280
20. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	66	231
21. 2020	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	73

SCHEDULE P – PART 4B – POLICY YEAR CLAIM CLOSED WITH LOSS PAYMENT

Years in Which Policies Were Written	Number of Claims Closed With Loss Payment									
	1	2	3	4	5	6	7	8	9	10
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
1. Prior	596	597	602	603	604	608	609	610	611	611
2. 2001	46	48	48	48	48	48	48	50	50	50
3. 2002	39	39	43	43	43	44	44	44	44	45
4. 2003	35	36	37	38	41	44	50	51	52	52
5. 2004	43	46	48	49	50	52	52	52	57	57
6. 2005	45	46	47	49	50	51	51	54	55	56
7. 2006	29	30	35	37	39	40	41	42	42	42
8. 2007	10	11	12	20	20	20	21	23	24	24
9. 2008	10	11	15	17	19	19	19	20	20	21
10. 2009	9	17	25	30	30	31	31	32	34	34
11. 2010	4	13	18	21	23	31	32	36	37	38
12. 2011	2	11	51	66	79	93	96	104	108	109
13. 2012	X X X		18	51	76	111	124	133	144	148
14. 2013	X X X	X X X	9	60	93	136	166	181	190	192
15. 2014	X X X	X X X	X X X	13	69	114	150	173	190	198
16. 2015	X X X	X X X	X X X	X X X	7	73	126	156	173	180
17. 2016	X X X	X X X	X X X	X X X	X X X	13	52	85	101	113
18. 2017	X X X	X X X	X X X	X X X	X X X	X X X	9	42	66	85
19. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	6	29	49
20. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	5	38
21. 2020	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	6

SCHEDULE P – PART 4C – POLICY YEAR CLAIM CLOSED WITHOUT LOSS PAYMENT

Years in Which Policies Were Written	Number of Claims Closed Without Loss Payment									
	1	2	3	4	5	6	7	8	9	10
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
1. Prior	494	494	498	501	504	508	509	511	512	515
2. 2001	34	36	36	36	37	37	37	38	38	38
3. 2002	41	42	43	44	45	49	50	53	55	55
4. 2003	41	45	48	50	57	61	62	65	66	68
5. 2004	46	57	65	67	71	72	75	81	82	84
6. 2005	80	94	102	108	120	124	129	135	142	144
7. 2006	90	108	118	126	132	137	143	151	152	155
8. 2007	30	37	41	44	44	47	49	55	58	59
9. 2008	16	20	24	26	31	31	32	34	34	35
10. 2009	18	30	38	51	63	76	83	87	89	90
11. 2010	12	20	33	51	63	73	79	92	97	99
12. 2011	2	5	19	28	40	63	79	93	100	104
13. 2012	X X X	5	25	54	82	115	129	152	169	179
14. 2013	X X X	X X X	5	29	76	126	145	179	196	202
15. 2014	X X X	X X X	X X X	3	30	94	122	153	173	191
16. 2015	X X X	X X X	X X X	X X X	2	60	87	142	171	197
17. 2016	X X X	X X X	X X X	X X X	X X X	4	30	87	131	168
18. 2017	X X X	X X X	X X X	X X X	X X X	X X X	6	55	127	168
19. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	6	29	69
20. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	3	18
21. 2020	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	2

SCHEDULE P – PART 5A - REPORT YEAR REPORTED CLAIM COUNTS

Years in Which Claims Were First Reported	Number of Claims Reported (Direct)									
	1	2	3	4	5	6	7	8	9	10
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
1. Prior	1,732	1,732	1,732	1,732	1,732	1,732	1,732	1,732	1,732	1,732
2. 2011	164	164	164	164	164	164	164	164	164	164
3. 2012	X X X	152	152	152	152	152	152	152	152	152
4. 2013	X X X	X X X	265	265	265	265	265	265	265	265
5. 2014	X X X	X X X	X X X	331	331	331	331	331	331	331
6. 2015	X X X	X X X	X X X	X X X	454	454	454	454	454	454
7. 2016	X X X	X X X	X X X	X X X	X X X	505	505	505	505	505
8. 2017	X X X	X X X	X X X	X X X	X X X	X X X	534	534	534	534
9. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	583	583	583
10. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	489	489
11. 2020	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	465

SCHEDULE P – PART 5B – REPORT YEAR CLAIMS CLOSED WITH LOSS PAYMENT

Years in Which Claims Were First Reported	Number of Claims Closed With Loss Payment									
	1	2	3	4	5	6	7	8	9	10
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
1. Prior	859	864	877	879	880	881	881	881	881	881
2. 2011	9	23	49	52	53	53	53	53	53	53
3. 2012	X X X	18	39	39	40	42	42	42	42	42
4. 2013	X X X	X X X	43	104	112	121	124	126	126	126
5. 2014	X X X	X X X	X X X	71	120	130	133	135	135	136
6. 2015	X X X	X X X	X X X	X X X	86	167	186	196	206	207
7. 2016	X X X	X X X	X X X	X X X	X X X	134	213	233	245	247
8. 2017	X X X	X X X	X X X	X X X	X X X	X X X	89	149	163	170
9. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	79	123	132
10. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	58	79
11. 2020	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	75

SCHEDULE P – PART 5C – REPORT YEAR CLAIMS CLOSED WITHOUT LOSS PAYMENT

Years in Which Claims Were First Reported	Number of Claims Closed Without Loss Payment									
	1	2	3	4	5	6	7	8	9	10
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
1. Prior	835	842	845	846	848	849	849	850	850	851
2. 2011	69	87	104	104	106	108	109	109	109	110
3. 2012	X X X	64	96	101	105	108	109	109	109	109
4. 2013	X X X	X X X	50	96	109	122	125	131	131	135
5. 2014	X X X	X X X	X X X	71	142	178	183	188	189	190
6. 2015	X X X	X X X	X X X	X X X	87	194	211	222	229	232
7. 2016	X X X	X X X	X X X	X X X	X X X	118	163	185	202	229
8. 2017	X X X	X X X	X X X	X X X	X X X	X X X	97	221	263	299
9. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	154	253	296
10. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	89	162
11. 2020	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	27

SCHEDULE P INTERROGATORIES

1.1

Title insurance losses should include all losses on any transaction for which a title insurance premium, rate or charge was made or contemplated. Escrow losses for which the company is contractually obligated should be included. Losses arising from defalcations for which the reporting entity is contractually obligated should be included. Are the title insurance losses reported in Schedule P defined in conformance with the above definition?

Yes [X] No [ ]

1.2

If not, describe the types of losses reported.

1.3

If the types or basis of reporting has changed over time, please explain the nature of such changes.

2.1

Are paid loss and allocated loss adjustment expenses reduced on account of salvage or subrogation in accordance with the instructions?

Yes [X] No [ ]

2.2

If not, describe the basis of reporting.

2.3

If the basis of reporting has changed over time, please explain the nature of such changes.

3.1

Are sales of salvage at prices different from their book value recorded in accordance with the instructions?

Yes [X] No [ ]

3.2

If not, describe the basis of reporting.

3.3

If the basis of reporting has changed over time, please explain the nature of such changes.

4.1

Are the case basis reserves reported gross of anticipated salvage and subrogation in accordance with the instructions?

Yes [X] No [ ]

4.2

If not, please explain.

4.3

If the basis of reporting has changed over time, please explain the nature of such changes.

5.1

Do any of the reserves reported in Schedule P contain a provision for reserve discount, contingency margin, or any other element not providing for an estimation of ultimate liability?

Yes [ ] No [X]

5.2

If so, please explain.

6.1

Does the company IBNR reserves in Schedule P reconcile to the IBNR reserves prepared on a GAAP basis?

Yes [X] No [ ]

6.2

If not, please explain.

7.1

Are allocated loss adjustment expenses recorded in accordance with the instructions?

Yes [X] No [ ]

7.2

If not, please explain which items are not in conformity.

7.3

If the basis of reporting has changed over time, please explain the nature of such changes.

8.1

The unallocated loss adjustment expenses paid during the most recent calendar year should be distributed to the various policy years in which the policy was issued as follows: (1) 10% to the most recent policy year, (2) 20% to the next most recent policy year, (3) 10% to the succeeding policy year, (4) 5% to each of the next two succeeding policy years, and (5) the balance to all policy years, including the most recent policy year, in proportion to the amount of loss payments paid for each policy year during the most recent calendar year. Are they so reported?

Yes [ ] No [X]

8.2

If estimates were used prior to 1996, please explain the basis of such estimates.  
Allocated based on number of cliams opened and cloesed by year written

9.

Indicate the basis of determining claim counts:

9.1

Are policies having multiple claims shown in Schedule P as a single claim?

Yes [X] No [ ]

9.2

Are claims closed without payment removed from the claim count?

Yes [ ] No [X]

9.3

If the definition of claim count has changed over time, please explain the nature of such changes.

10.1

Have there been any portfolio reinsurance transfers or other accounting conventions that have caused a mismatch of premiums, other income, loss or ALAE?

Yes [ ] No [X]

10.2

If so, please explain.

11.1

Have there been any excess of loss or stop loss reinsurance treaties or other accounting conventions that have caused a mismatch of premiums, other loss or ALAE?

Yes [ ] No [X]

11.2

If so, please explain.

12.1

Have there been any major mergers or acquisitions, either with respect to an insurer or an agent, that had a material impact on operations or claims development?

Yes [ ] No [X]

12.2

If so, please explain.

13.1

Were any estimates or allocations used to complete this data request?

Yes [ ] No [X]

13.2

If so, please explain the nature of the estimate or allocation, the assumptions made and the data used to support your assumptions.

14.

Are there any especially significant events, coverage, retention or accounting changes which have occurred which must be considered when making an analysis of the information provided?

Yes [ ] No [X]



SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN  
By States and Territories

States, Etc.		1	2	Direct Premiums Written		6	7	8	9	10		
		Active Status (a)	Premium Rate (b)	3	Agency Operations		Other Income	Net Premiums Earned	Direct Losses and Allocated Loss Adjustment Expenses Paid	Direct Losses and Allocated Loss Adjustment Expenses Incurred	Direct Known Claim Reserve	
				Direct Operations	4 Non-affiliated Agencies	5 Affiliated Agencies						
1. Alabama	AL	L			1,491,729	159,311	165,663	1,667,374	32,568	(6,831)	16,441	
2. Alaska	AK	L					5,525					
3. Arizona	AZ	L		3,747,755	1,317,546	2,201,660	4,747,798	7,307,141	75,441	50,130	42,688	
4. Arkansas	AR	L			172,391	393,374	119,177	577,013	5,660	2,650	3,807	
5. California	CA	L		33,229,546	4,053,725	54,150,958	17,942,770	88,512,505	1,693,715	1,126,365	1,201,447	
6. Colorado	CO	L		7,741,235	1,182,926		3,834,458	8,834,429	32,491	35,311	2,820	
7. Connecticut	CT	L		209,114	176,690		36,553	383,997				
8. Delaware	DE	L			438,685	96,908	110,958	502,577	220	5,000	6,903	
9. District of Columbia	DC	L			3,538,866	52,936	379,478	3,597,229	(47,135)	(24,717)	60,042	
10. Florida	FL	L		60,390	60,857,660	3,202,402	5,071,101	59,730,483	1,476,524	1,153,353	1,314,641	
11. Georgia	GA	L			5,589,660	987,392	719,809	6,618,167	366,058	(387)	244,920	
12. Hawaii	HI	L					400					
13. Idaho	ID	L			620,130		50	626,903				
14. Illinois	IL	L			5,682,912	300,153	751,568	5,740,968	381,284	358,389	184,692	
15. Indiana	IN	L			3,172,369	319,585	491,719	3,231,553	(681)	(109,543)	34,806	
16. Iowa	IA	N			26,058			28,868				
17. Kansas	KS	L			698,066	112,069	47,078	810,376				
18. Kentucky	KY	L			3,657,545	55,171	1,038,076	3,686,508	32,224	72,922	41,698	
19. Louisiana	LA	L			18,872,677	111,144	410,525	18,585,623	225,446	175,182	315,437	
20. Maine	ME	L			3,948		1,626	3,907				
21. Maryland	MD	L			18,849,036	481,361	1,497,926	19,366,710	7,851	17,695	34,808	
22. Massachusetts	MA	L			5,212,839	313,723	51,446	5,610,992	214,079	504,283	428,609	
23. Michigan	MI	L			23,510,972	239,584	70,692	23,550,667	32,908	67,516	58,411	
24. Minnesota	MN	L			1,967,955	253,540	180,946	2,356,895	306,340	350,056	77,261	
25. Mississippi	MS	L			358,015	37,325	33,010	400,352	102,056	231,745	159,031	
26. Missouri	MO	L			1,253,331	56,443	410,651	1,075,411	33,122	33,695	37,371	
27. Montana	MT	L			106,895	85,932	10,970	195,448				
28. Nebraska	NE	L			446,477	45,504	49,795	488,412				
29. Nevada	NV	L		8,012,004	1,534,284	661,722	6,062,639	10,190,554	243,467	241,923	225,888	
30. New Hampshire	NH	L			2,457,404	78,370	697,759	2,516,150	365,208	373,774	8,566	
31. New Jersey	NJ	L			16,553,503	527,347	1,008,870	16,858,796	473,383	259,696	333,333	
32. New Mexico	NM	L			5,114,903		104,070	4,994,542	13,170	(75,441)	3,407	
33. New York	NY	L		124,044	19,910,338	154,672	38,537	19,442,885	829,044	1,113,018	519,643	
34. North Carolina	NC	L			21,512,826	435,721	97,225	20,496,673	284,143	317,859	132,118	
35. North Dakota	ND	L			409,123	40,945	28,125	452,025	14,027	14,027		
36. Ohio	OH	L			12,073,804	236,429	836,144	11,407,145	(2,346)	22,444	48,588	
37. Oklahoma	OK	L			2,784,334			2,782,758	9,858	76,209	70,080	
38. Oregon	OR	L		33,850,899	4,517,893		21,893,840	37,159,677	71,648	286,029	231,674	
39. Pennsylvania	PA	L			14,190,702	1,532,827	1,729,385	15,474,210	309,965	492,921	318,478	
40. Rhode Island	RI	L			538,038	28,660	13,975	575,402	10,619	42,645	36,634	
41. South Carolina	SC	L			12,456,714	982,172	646,857	13,548,360	178,934	237,963	445,140	
42. South Dakota	SD	L										
43. Tennessee	TN	L			1,569,663	475,560	297,120	2,056,313	52,962	59,002	6,040	
44. Texas	TX	L			73,341,147	10,093,401	2,650	82,272,359	3,826,745	3,907,660	2,299,044	
45. Utah	UT	L			2,176,271	286,472	79,734	2,461,151	123,475	734,431	610,956	
46. Vermont	VT	L										
47. Virginia	VA	L			9,726,568	919,470	182,710	10,736,801	86,201	(516)	62,929	
48. Washington	WA	L			143,278	35,898,926	1,552,520	33,329,175	214,616	259,189	196,954	
49. West Virginia	WV	L			247,273	185,264	38,556	436,576	56,763	64,904	10,192	
50. Wisconsin	WI	L			1,212,419	145,700	62,925	1,370,969	92,695	94,501	1,806	
51. Wyoming	WY	L										
52. American Samoa	AS	N										
53. Guam	GU	N										
54. Puerto Rico	PR	N										
55. U.S. Virgin Islands	VI	N										
56. Northern Mariana Islands	MP	N										
57. Canada	CAN	N										
58. Aggregate Other Alien	OT	X X X	X X X									
59. Totals		X X X	X X X		86,974,987	365,729,588	116,340,133	73,553,409	552,053,029	12,224,748	12,565,052	9,827,303

DETAILS OF WRITE-INS										
58001.	X X X									
58002.	X X X									
58003.	X X X									
58998. Summary of remaining write-ins for Line 58 from overflow page	X X X	X X X								
58999. Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)	X X X	X X X								

(a) Active Status Counts

L – Licensed or Chartered - Licensed insurance carrier or domiciled RRG    50  
E – Eligible - Reporting entities eligible or approved to write surplus lines in \_\_\_\_\_  
R - Registered - Non-domiciled RRGs \_\_\_\_\_  
Q - Qualified - Qualified or accredited reinsurer \_\_\_\_\_  
N – None of the above - Not allowed to write business in the state    7

(b) Each type of rate must be coded with a combination of the five Activity Codes (R, S, X, C, and/or E) listed in the instructions. Use the code combination corresponding to the State's statutory definitions of title insurance premium. If more than one combination of activities is indicated in the statutory definition, all relevant combinations must be listed. See the Schedule T Instructions.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
David Dominik (sole member of GGCOF Management, LLC)					
GGCOF Management, LLC	DE		46-4477754	David Dominik	100
Golden Gate Private Equity, Inc.	DE		94-3361271	GGCOF Management, LLC	100
GGC Fund III	CYM				100
Nassau NAMCO Blocker LLC	CYM			Golden Gate Capital Opportunity Fund-A, L.P	Contract
Nassau NAMCO Splitter, L.P.	CYM			GGC Opportunity Fund Management, L.P.	Contract
Nassau Saybrus Blocker LLC	CYM			Golden Gate Capital Opportunity Fund-A, L.P	Contract
Nassau Saybrus Splitter, L.P.	CYM			GGC Opportunity Fund Management, L.P.	Contract
GGCOF Nassau Investments, L.P.	CYM		98-1472055	Golden Gate Capital Opportunity Fund, L.P.	Contract
GGCOF Executive Co-Invest, L.P.	CYM			Individuals	Contract
GGCOF Executive Co-Invest Holdings, Ltd.	CYM			Individuals	Contract
NRH, L.P.	CYM		98-1250709	GGCOF Executive Co-Invest Holdings, Ltd.	Contract
Nassau UK Ltd.	GBR		98-1269725	NRH, L.P.	100
Nassau Cayman Ltd.	CYM		N/A	Nassau UK Ltd.	100
Nassau Re (Cayman) Ltd.	CYM		N/A	NRH, L.P.	100
Nassau TRF SPV Ltd.	CYM		N/A	Nassau Re (Cayman) Ltd.	100
Nassau Financial Group GP Ltd.	CYM		98-1421610	Individuals	Contract
Nassau Financial Group, L.P.	CYM		98-1421752	Nassau Financial Group GP Ltd.	Contract
Nassau Asset Management LLC	DE		81-0773412	Nassau Financial Group, L.P.	100
Nassau CorAmerica LLC	DE		26-4145792	Nassau Asset Management LLC	100
Nassau CorAmerica Loan Company LLC	DE		26-4145792	Nassau CorAmerica LLC	100
Nassau CorAmerica Advisors LLC	DE		46-3781541	Nassau CorAmerica LLC	100
Nassau Corporate Credit LLC	DE		35-2586068	Nassau Asset Management LLC	100
NCC CLO Manager LLC	DE		N/A	Nassau Corporate Credit LLC	100
NCC Management LLC	DE		82-4813430	Nassau Corporate Credit LLC	100
Nassau Private Credit LLC	DE		83-2636471	Nassau Corporate Credit LLC	100

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Nassau Private Credit GP LLC	DE		83-2654128	Nassau Corporate Credit LLC	100
Nassau Private Credit Onshore Fund LP	DE		83-2670988	Nassau Private Credit GP LLC	Contract
Nassau Private Credit Master Fund LP	CYM		N/A	Nassau Private Credit GP LLC	Contract
Nassau Private Credit Offshore Fund LP	CYM		98-1476251	Nassau Private Credit GP LLC	Contract
NPC SGP LLC	DE		84-2253380	Nassau Corporate Credit LLC	100
Nassau NCC Blocker (UK) Ltd.	CYM			Nassau Corporate Credit LLC	100
NCC Management (UK) Ltd.	GBR			Nassau Corporate Credit LLC	100
Nassau Corporate Credit (UK) LLP	GBR			Nassau NCC Blocker (UK) Ltd.	99
Nassau Alternative Investments LLC	DE		83-2559102	Nassau Asset Management LLC	100
NAMCO Services LLC	DE		84-3366256	Nassau Asset Management LLC	100
NSRE Saybrus Holdings, LLC	DE		81-3180396	Nassau Financial Group, L.P.	100
Saybrus Partners, LLC	DE		27-0671327	NSRE Saybrus Holdings, LLC	86.2
Dedicated Distribution Partners, LLC	DE		83-3163443	Saybrus Partners, LLC	100
Saybrus Management Holding Company Inc.	DE		37-1872368	Management; NSRE Saybrus Holdings, LLC	Contract
Saybrus Holdings, LLC	DE		27-1629423	Saybrus Partners, LLC	100
Saybrus Equity Services, LLC	DE		27-1869321	Saybrus Holdings, LLC	100
Nassau Insurance Group Holdings GP, LLC	DE		47-5299012	Nassau Financial Group GP Ltd.	Contract
Nassau Insurance Group Holdings, L.P.	DE		38-3981572	Nassau Insurance Group Holdings GP, LLC	Contract
The Nassau Companies	DE		47-5128985	Nassau Insurance Group Holdings, L.P.	100
Nassau Life and Annuity Company	CT	93734	43-1240953	The Nassau Companies	100
Lynbrook Re, Inc.	VT	16585	83-4356685	Nassau Life and Annuity Company	100
Nassau Life Insurance Company of Kansas	KS	68284	48-0557726	Nassau Life and Annuity Company	100
Sunrise Re, Inc.	VT		84-3945103	The Nassau Companies	100
Nassau Re/Imagine LLC	DE			Nassau Insurance Group Holdings, L.P.	100
The Nassau Companies of New York	DE		06-1599088	The Nassau Companies	100
Nassau CLO SPV-I LLC	DE		82-3502154	The Nassau Companies of New York	56
Nassau CLO SPV-II LLC	DE		83-1785900	The Nassau Companies of New York	54.34
Nassau Employee Co-Invest Fund I LLC	DE		84-3684885	The Nassau Companies of New York	51.26
Nassau Life Insurance Company	NY	67814	06-0493340	The Nassau Companies of New York	100

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

PM Holdings, Inc.	CT		06-1065485	Nassau Life Insurance Company	100
Phoenix Founders, Inc.	CT		06-0860167	PM Holdings, Inc.	100
Nassau 2019 CFO LLC	DE		84-2346847	Nassau Life Insurance Company	76.73
Nassau 2019 CFO Fund LLC	DE		83-2511417	Nassau 2019 CFO LLC	100
Foresters Financial Holding Company, Inc.	DE		13-2608329	Nassau Life Insurance Company	100
PHL Delaware LLC	DE			Nassau Insurance Group Holdings, L.P.	100
DSM Sands LLC	DE		N/A	PHL Delaware LLC	100
PHL Variable Insurance Company	CT	93548	06-1045829	PHL Delaware LLC	100
Concord Re, Inc	CT		84-3620646	PHL Variable Insurance Company	100
Westgate Delaware LLC.	DE			PHL Delaware LLC	100
Magni Re (Cayman) Ltd.	CYM			Westgate Delaware LLC	100
Nassau Reinsurance LLC	DE		47-3724102	Nassau Insurance Group Holdings, L.P.	100
NSRE BD Holdco LLC	DE		83-2726475	Nassau Reinsurance LLC	100
1851 Securities, Inc.	DE		27-1619945	NSRE BD Holdco LLC	100
Nassau Cayman Brac Holding Company	DE		83-1443085	Nassau Insurance Group Holdings, L.P.	100
GGC Opportunity Fund Management GP, Ltd.	CYM			GGC Opportunity Fund Management GP, Ltd.	Board
GGC Opportunity Fund Management, L.P.	CYM		98-0546997	GGC Opportunity Fund Management GP, Ltd.	Contract
GGC Opportunity Fund Entities	CYM			GGC Opportunity Fund Management, L.P.	Contract
Financial American Holdings Corporation	DE		27-2822251	GGC Opportunity Fund Entities	87.6
Warranty TopCo, Inc.	DE		26-3854933	Golden Gate Fund I and II Entities	41.6
Warranty TopCo, Inc.	DE		26-3854933	GGCOF Entities	42.3
Interstate National Corporation	DE		20-4197367	Warranty TopCo, Inc.	100
DRG, Inc. d/b/a Payment Insured Plan, Inc.	OR		93-1160837	Interstate National Corporation	100
PIPCO Reinsurance Company, Ltd.	TCA		98-0159153	Interstate National Corporation	100
National Warranty Corporation	OR		93-1198148	Interstate National Corporation	100
Interstate National Dealer Services, Inc.	DE		11-3078398	Interstate National Corporation	100
Interstate National Dealer Services of Florida, Inc.	FL		11-3284019	Interstate National Dealer Services, Inc.	100
Interstate Administrative Services, Inc.	DE		20-1549705	Interstate National Dealer Services, Inc.	100
Warranty Direct, Inc.	DE		11-3272124	Interstate National Dealer Services, Inc.	100
Lasas Technologies, Inc.	FL		65-0868022	Interstate National Dealer Services, Inc.	100

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Williston Holdings LLC	DE		27-1434376	GGC Opportunity Fund Entities	96.0
Williston Financial Group LLC	DE		27-1434397	Williston Holdings LLC	100
Valustrust Solutions, LLC	KS		27-3972891	Williston Financial Group LLC	100
WFG Lender Services, LLC	MN		20-5836762	Williston Financial Group LLC	100
WFG Lender Services, LLC	AL		80-0936767	WFG Lender Services, LLC	100
WFG Lender Services, LLC	AR		80-0766075	WFG Lender Services, LLC	100
WFG Lender Services, LLC	TX		26-4627021	WFG Lender Services, LLC	100
WFGLS Title Agency of Utah, LLC	UT		20-8801713	WFG Lender Services, LLC	100
WFG National Title Company of Texas, LLC	TX		27-0890944	Williston Financial Group LLC	100
Universal Title Partners, LLC	TX		27-1273851	WFG National Title Company of Texas, LLC	51.0
Universal Title Partners, LLC	TX		27-1273851	Newmark Homes Houston, LLC	49.0
WFG National Title Insurance Company	SC	51152	57-0575396	Williston Financial Group LLC	100
WFG National Title Company of Washington, LLC	WA		26-0522240	WFG National Title Insurance Company	100
Homer Recording District Joint Title Plant Company LLC	AK		47-2160319	Kachemak Bay Title Agency, Inc.	90.0
Homer Recording District Joint Title Plant Company LLC	AK		47-2160319	WFG National Title Insurance Company	10.0
Williston Enterprise Solutions & Technology, LLC	DE		45-2654474	Williston Financial Group LLC	100
ITC Holdings, Inc.	CA		80-0268853	Williston Financial Group LLC	100
WFG National Title Company of California	CA		95-3621359	ITC Holdings, Inc.	100
Alliance Title Agency, LLC	AZ		85-1213894	ITC Holdings, Inc.	40%
WFG National Title Company of Clark County WA, LLC	WA		38-4008723	Williston Financial Group LLC	100
19th Street Palm Harbor LLC	DE			Williston Financial Group LLC	100

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
4736	GGC Group	0	06-1599088		000112903		The Nassau Companies of New York	DE	UDP	The Nassau Companies	Ownership	100.000	David Dominik	N	
4736	GGC Group	0					DSM Sands LLC	DE	NIA	PHL Delaware LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	82-3502154				Nassau CLO SP-V LLC	DE	NIA	The Nassau Companies of New York	Ownership	56.000	David Dominik	N	
4736	GGC Group	67814	06-0493340		000115956		Nassau Life Insurance Company	NY	RE	The Nassau Companies of New York	Ownership	100.000	David Dominik & GGCOF Managemen	N	
4736	GGC Group	0	06-1065485				PM Holdings, Inc.	CT	DS	Nassau Life Insurance Company	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	27-1619945		000148293		1851 Securities, Inc.	DE	DS	NSRE BD Holdco LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	06-0860167				Phoenix Founders, Inc.	CT	DS	PM Holdings, Inc.	Ownership	100.000	David Dominik	N	
4736	GGC Group	93548	06-1045829		000103122		PHL Variable Insurance Company	CT	IA	PHL Delaware LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	93734	43-1240953		000131182		Nassau Life and Annuity Company	CT	IA	The Nassau Companies	Ownership	100.000	David Dominik	N	
4736	GGC Group	0					GGC Opportunity Fund Management GP, Ltd.	CYM	UIP	GGC Opportunity Fund Management GP, Ltd.	Board of Directors			N	
4736	GGC Group	0	98-0546997				GGC Opportunity Fund Management, L.P.	CYM	UIP	GGC Opportunity Fund Management, GP, Ltd.	Contract		GGC Opportunity Fund Management	N	
4736	GGC Group	0					GGC Opportunity Fund Entities	CYM	UIP	GGC Opportunity Fund Management, L.P.	Contract		GGC Opportunity Fund Management	N	
4736	GGC Group	0	27-2822251				Financial American Holdings Corporation	DE	NIA	GGC Opportunity Fund Entities	Ownership	87.600	GGC Opportunity Fund Management	N	
4736	GGC Group	0	26-3854933				Warranty TopCo, Inc.	DE	NIA	Golden Gate Fund I and II Entities	Ownership	41.600	GGC Opportunity Fund Management	N	
4736	GGC Group	0	26-3854933				Warranty TopCo, Inc.	DE	NIA	GGCOF Entities	Ownership	42.300	GGC Opportunity Fund Management	N	
4736	GGC Group	0	20-4197367				Interstate National Corporation	DE	NIA	Warranty TopCo, Inc.	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	11-3078398				Interstate National Dealer Services, Inc.	DE	NIA	Interstate National Corporation	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	11-3284019				Interstate National Dealer Services of Florida, Inc.	FL	NIA	Interstate National Dealer Services, Inc.	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	20-1549705				Interstate Administrative Services, Inc.	DE	NIA	Interstate National Dealer Services, Inc.	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	65-0868022				Lassas Technologies, Inc.	FL	NIA	Interstate National Dealer Services, Inc.	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	93-1160837				DRG, Inc. d/b/a Payment Insured Plan, Inc.	OR	NIA	Interstate National Corporation	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	98-0159153				PIPCO Reinsurance Company, Ltd.	TCA	IA	Interstate National Corporation	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	93-1198148				National Warranty Corporation	OR	NIA	Interstate National Corporation	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	27-1434376				Williston Holdings LLC	DE	NIA	GGC Opportunity Fund Entities	Ownership	96.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	27-1434397				Williston Financial Group LLC	DE	NIA	Williston Holdings LLC	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	27-3972891				Valutrust Solutions, LLC	KS	NIA	Williston Financial Group LLC	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	20-5836762				WFG Lender Services, LLC	MN	NIA	Williston Financial Group LLC	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	80-0936767				WFG Lender Services, LLC	AL	NIA	WFG Lender Services, LLC	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	80-0766075				WFG Lender Services, LLC	AR	NIA	WFG Lender Services, LLC	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	26-4627021				WFG Lender Services, LLC	TX	NIA	WFG Lender Services, LLC	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	20-8801713				WFGLS Title Agency of Utah, LLC	UT	NIA	WFG Lender Services, LLC	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	27-0890944				WFG National Title Company of Texas, LLC	TX	NIA	Williston Financial Group LLC	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	27-1273851				Universal Title Partners, LLC	TX	NIA	WFG National Title Company of Texas, LLC	Ownership	51.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	27-1273851				Universal Title Partners, LLC	TX	NIA	Newmark Homes Houston, LLC	Ownership	49.000	Unknown (not affiliated with the GGC	N	
4736	GGC Group	51152	57-0575396				WFG National Title Insurance Company	SC	IA	Williston Financial Group LLC	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	26-0522240				WFG National Title Company of Washington, LLC	WA	NIA	WFG National Title Insurance Company	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	47-2160319				Homer Recording District Joint Title Plant Company LLC	AK	NIA	WFG National Title Insurance Company	Ownership	10.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	47-2160319				Homer Recording District Joint Title Plant Company LLC	AK	NIA	Kachemak Bay Title Agency, Inc.	Ownership	90.000	Unknown (not affiliated with the GGC	N	
4736	GGC Group	0	45-2654474				Williston Enterprise Solutions & Technology, LLC	DE	NIA	Williston Financial Group LLC	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	80-0268853				ITC Holdings, Inc.	CA	NIA	Williston Financial Group LLC	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	95-3621359				WFG National Title Company of California	CA	NIA	ITC Holdings, Inc.	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	38-4008723				WFG National Title Company of Clark County WA, LLC	WA	NIA	Williston Financial Group LLC	Ownership	100.000	GGC Opportunity Fund Management	N	
4736	GGC Group	0	46-4477754				GGCOF Management, LLC	DE	NIA	David Dominik	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	94-3361271				Golden Gate Private Equity, Inc.	DE	NIA	GGCOF Management, LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	N/A				GGC Fund III	CYM	NIA		Ownership	100.000		N	
4736	GGC Group	0	98-1250709				NRH, L.P.	CYM	NIA	GGCOF Executive Co-Invest Holdings, Ltd.	Contract			N	
4736	GGC Group	0	98-1269725				Nassau UK Ltd.	GBR	NIA	NRH, L.P.	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	N/A				Nassau Cayman Ltd.	CYM	NIA	Nassau UK Ltd.	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	N/A				Nassau Re (Cayman) Ltd.	CYM	NIA	NRH, L.P.	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	N/A				Magni Re (Cayman) Ltd.	CYM	NIA	NRH, L.P.	Contract		David Dominik	N	
4736	GGC Group	0	47-5299012				Nassau Insurance Group Holdings GP, LLC	DE	NIA	Nassau Financial Group, GP Ltd.	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	38-3981572				Nassau Insurance Group Holdings, L.P.	DE	UIP	Nassau Insurance Group Holdings GP, LLC	Contract		David Dominik	N	
4736	GGC Group	0	47-5128985				The Nassau Companies	DE	NIA	Nassau Insurance Group Holdings, L.P.	Ownership	100.000	David Dominik	N	
4736	GGC Group	68284	48-0557726				Nassau Life Insurance Company of Kansas	KS	IA	Nassau Life and Annuity Company	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	81-3180396				NSRE Saybrus Holdings, LLC	DE	NIA	Nassau Financial Group, L.P.	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	27-0671327				Saybrus Partners, LLC	DE	NIA	NSRE Saybrus Holdings, LLC	Ownership	86.200	David Dominik	N	
4736	GGC Group	0	37-1872368				Saybrus Management Holding Company Inc.	DE	NIA	Management, NSRE Saybrus Holdings, LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	27-1629423				Saybrus Holdings, LLC	DE	NIA	Saybrus Partners, LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	27-1869321				Saybrus Equity Services, LLC	DE	NIA	Saybrus Holdings, LLC	Ownership	100.000	David Dominik	N	

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
4736	GGC Group	0	47-3724102				Nassau Reinsurance LLC	DE	NIA	Nassau Insurance Group Holdings, L.P.	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	83-2726475				NSRE BD Holdco LLC	DE	NIA	Nassau Reinsurance LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	81-0773412				Nassau Asset Management LLC	DE	NIA	Nassau Financial Group, L.P.	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	26-4145792				Nassau CorAmerica LLC	DE	NIA	Nassau Asset Management LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	26-4145792				Nassau CorAmerica Loan Company LLC	DE	NIA	Nassau CorAmerica LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	46-3781541				Nassau CorAmerica Advisors LLC	DE	NIA	Nassau CorAmerica LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	35-2586068				Nassau Corporate Credit LLC	DE	NIA	Nassau Asset Management LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	N/A				NCC CLO Manager LLC	DE	NIA	Nassau Corporate Credit LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	82-4813430				NCC Management LLC	DE	NIA	Nassau Corporate Credit LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	83-1443085				Nassau Cayman Brac Holding Company	DE	NIA	Nassau Insurance Group Holdings, LP	Ownership	100.000	David Dominik	N	
4736	GGC Group	0					Nassau TRF SPV Ltd.	CYM	NIA	Nassau Re (Cayman) Ltd	Ownership	100.000	David Dominik	N	
4736	GGC Group	0					Nassau NAMCO Blocker LLC	CYM	NIA	Golden Gate Capital Opportunity Fund-A, L.P	Ownership	100.000	David Dominik	N	
4736	GGC Group	0					Nassau NAMCO Splitter, L.P.	CYM	NIA	GGC Opportunity Fund Management, L.P.	Ownership	100.000	David Dominik	N	
4736	GGC Group	0					Nassau Saybrus Blocker LLC	CYM	NIA	Golden Gate Capital Opportunity Fund-A, L.P	Ownership	100.000	David Dominik	N	
4736	GGC Group	0					Nassau Saybrus Splitter, L.P.	CYM	NIA	GGC Opportunity Fund Management, L.P.	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	98-1421610				Nassau Financial Group GP Ltd.	CYM	NIA	Individuals	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	98-1421752				Nassau Financial Group, L.P.	CYM	NIA	Nassau Financial Group GP Ltd.	Ownership	100.000	David Dominik	N	
4736	GGC Group	0					PHL Delaware LLC	DE	NIA	Nassau Insurance Group Holdings, L.P.	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	83-2559102				Nassau Alternative Investments LLC	DE	NIA	Nassau Asset Management LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	83-2636471				Nassau Private Credit LLC	DE	NIA	Nassau Corporate Credit LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	83-2654128				Nassau Private Credit GP LLC	DE	NIA	Nassau Corporate Credit LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	83-2670988				Nassau Private Credit Onshore Fund LP	DE	NIA	Nassau Private Credit GP LLC	Contract		David Dominik	N	
4736	GGC Group	0	N/A				Nassau Private Credit Master Fund LP	CYM	NIA	Nassau Private Credit GP LLC	Contract		David Dominik	N	
4736	GGC Group	0	98-1476251				Nassau Private Credit Offshore Fund LP	CYM	NIA	Nassau Private Credit GP LLC	Contract		David Dominik	N	
4736	GGC Group	0	83-1785900				Nassau CLO SPV-II LLC	DE	NIA	The Nassau Companies of New York	Ownership	54.340	David Dominik	N	
4736	GGC Group	0	84-2346847				Nassau 2019 CFO LLC	DE	NIA	Nassau Life Insurance Company	Ownership	73.760	David Dominik	N	
4736	GGC Group	0	84-2253380				NPC SGP LLC	DE	NIA	Nassau Corporate Credit LLC	Contract		David Dominik	N	
4736	GGC Group	16585	83-4356685				Lynbrook Re, Inc.	VT	IA	Nassau Life and Annuity Company	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	84-3366256				NAMCO Services LLC	DE	NIA	Nassau Asset Management LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	83-2511417				Nassau 2019 CFO Fund LLC	DE	NIA	Nassau 2019 CFO LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0					Westgate Delaware LLC	DE	NIA	PHL Delaware LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	83-3163443				Dedicated Distribution Partners, LLC	DE	NIA	Saybrus Partners, LLC	Contract		David Dominik	N	
4736	GGC Group	0	84-3945103				Sunrise Re, Inc.	VT	IA	The Nassau Companies	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	84-3620646				Concord Re, Inc.	CT	IA	PHL Variable Insurance Company	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	84-3684885				Nassau Employee Co-Invest Fund I LLC	DE	NIA	The Nassau Companies of New York	Ownership	51.260	David Dominik	N	
4736	GGC Group	0	98-1472055				GGCOF Nassau Investments, L.P.	CYM	NIA	Golden Gate Capital Opportunity Fund, L.P.	Contract		David Dominik	N	
4736	GGC Group	0	85-1213894				Alliance Title Agency, LLC	AZ	NIA	ITC Holdings, Inc.	Ownership	40.000	David Dominik	N	
4736	GGC Group	0					Nassau NCC Blocker (UK) Ltd.	CYM	NIA	Nassau Corporate Credit LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0					NCC Management (UK) Ltd.	GRB	NIA	Nassau Corporate Credit LLC	Ownership	100.000	David Dominik	N	
4736	GGC Group	0					Nassau Corporate Credit (UK) LLP	GRB	NIA	Nassau NCC Blocker (UK) Ltd.	Ownership	99.000	David Dominik	N	
4736	GGC Group	0					Nassau Re/Imagine LLC	DE	NIA	Nassau Insurance Group Holdings, L.P.	Ownership	100.000	David Dominik	N	
4736	GGC Group	0	13-2608329				Foresters Financial Holding Company	DE	NIA	Nassau Life Insurance Company	Ownership	100.000	David Dominik	N	
4736	GGC Group	0					19th Street Palm Harbor LLC	DE	NIA	Williston Financial Group LLC	Ownership	100.000	David Dominik	N	

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

Asterik	Explanation
	NONE



## SCHEDULE Y

## PART 2 – SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

[illegible]

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
MARCH FILING	
1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?	YES
2. Will an actuarial opinion be filed by March 1?	YES
APRIL FILING	
3. Will Management's Discussion and Analysis be filed by April 1?	YES
4. Will the Supplemental Schedule of Business Written by Agency be filed with the state of domicile by April 1?	YES
5. Will the Supplemental Investment Risk Interrogatories be filed by April 1?	YES
JUNE FILING	
6. Will an audited financial report be filed by June 1?	YES
7. Will Accountants Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?	YES
AUGUST FILING	
8. Will the regulator-only (non pulic) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NIAC (as a regulator-only non-profit document) by August 1?	YES

The following supplemental reports are required to be filed as part of your statement filing if your company is engaged in the type of business covered by the supplement. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

MARCH FILING	
9. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1?	NO
10. Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?	NO
11. Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?	NO
12. Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?	NO
AUGUST FILING	
13. Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1?	NO

Explanation:

Explanation 9:	Not a public company
Explanation 10:	N/A
Explanation 11:	N/A
Explanation 12:	N/A
Explanation 13:	Exempt

Bar Code:



SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES



51152202022300000

OVERFLOW PAGE FOR WRITE-INS

Page 2 - Continuation

ASSETS

	Current Year			Prior Year
	1	2	3	4
REMAINING WRITE-INS AGGREGATED AT LINE 25 FOR OTHER THAN INVESTED ASSETS	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	Net Admitted Assets
2504. Miscellaneous AR	12,228		12,228	23,163
2597. Totals (Lines 2504 through 2596) (Page 2, Line 2598)	12,228		12,228	23,163

SUMMARY INVESTMENT SCHEDULE

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1	2	3	4	5	6
	Amount	Percentage of Column 1 Line 13	Amount	Securities Lending Reinvested Collateral Amount	Total (Col. 3 + 4) Amount	Percentage of Column 5 Line 13
1. Long-Term Bonds (Schedule D, Part 1):						
1.01 U.S. Governments	11,563,419	5.14	11,563,418		11,563,418	5.14
1.02 All other governments	74,952	0.03	74,952		74,952	0.03
1.03 U.S. states, territories and possessions, etc. guaranteed	75,123	0.03	75,123		75,123	0.03
1.04 U.S. political subdivisions of states, territories, and possessions, guaranteed	155,000	0.07	155,000		155,000	0.07
1.05 U.S. special revenue and special assessment obligations, etc. non-guaranteed	4,114,275	1.83	4,114,275		4,114,275	1.83
1.06 Industrial and miscellaneous	6,114,935	2.72	6,114,935		6,114,935	2.72
1.07 Hybrid securities						
1.08 Parent, subsidiaries and affiliates						
1.09 SVO identified funds						
1.10 Unaffiliated bank loans						
1.11 Total long-term bonds	22,097,704	9.83	22,097,703		22,097,703	9.83
2. Preferred stocks (Schedule D, Part 2, Section 1):						
2.01 Industrial and miscellaneous (Unaffiliated)	149,880	0.07	149,880		149,880	0.07
2.02 Parent, subsidiaries and affiliates						
2.03 Total preferred stocks	149,880	0.07	149,880		149,880	0.07
3. Common stocks (Schedule D, Part 2, Section 2):						
3.01 Industrial and miscellaneous Publicly traded (Unaffiliated)						
3.02 Industrial and miscellaneous Other (Unaffiliated)						
3.03 Parent, subsidiaries and affiliates Publicly traded						
3.04 Parent, subsidiaries and affiliates Other						
3.05 Mutual funds						
3.06 Unit investment trusts						
3.07 Closed-end funds						
3.08 Total common stocks						
4. Mortgage loans (Schedule B):						
4.01 Farm mortgages						
4.02 Residential mortgages	10,793	0.00				
4.03 Commercial mortgages						
4.04 Mezzanine real estate loans						
4.05 Total valuation allowance						
4.06 Total mortgage loans	10,793	0.00				
5. Real estate (Schedule A):						
5.01 Properties occupied by company						
5.02 Properties held for production of income						
5.03 Properties held for sale						
5.04 Total real estate						
6. Cash, cash equivalents and short-term investments:						
6.01 Cash (Schedule E, Part 1)	179,190,977	79.71	179,190,977		179,190,977	79.72
6.02 Cash equivalents (Schedule E, Part 2)	12,071,337	5.37	12,071,337		12,071,337	5.37
6.03 Short-term investments (Schedule DA)						
6.04 Total cash, cash equivalents and short-term investments	191,262,314	85.08	191,262,314		191,262,314	85.09
7. Contract loans						
8. Derivatives (Schedule DB)						
9. Other invested assets (Schedule BA)	11,276,373	5.02	11,276,373		11,276,373	5.02
10. Receivables for securities	451	0.00	451		451	0.00
11. Securities Lending (Schedule DL, Part 1)				X X X	X X X	X X X
12. Other invested assets (Page 2, Line 11)						
13. Total invested assets	224,797,515	100.00	224,786,721		224,786,721	100.00

SCHEDULE A - VERIFICATION BETWEEN YEARS

Real Estate

1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition (Part 2, Column 6)		
	2.2 Additional investment made after acquisition (Part 2, Column 9)		
3.	Current year change in encumbrances:		
	3.1 Totals, Part 1, Column 13		
	3.2 Totals, Part 3, Column 11		
4.	Total gain (loss) on disposals, Part 3, Column 18		
5.	Deduct amounts received on disposals, Part 3, Column 15		
6.	Total foreign exchange change in book/adjusted carrying value:		
	6.1 Totals, Part 1, Column 15		
	6.2 Totals, Part 3, Column 13		
7.	Deduct current year's other-than-temporary impairment recognized:		
	7.1 Totals, Part 1, Column 12		
	7.2 Totals, Part 3, Column 10		
8.	Deduct current year's depreciation:		
	8.1 Totals, Part 1, Column 11		
	8.2 Totals, Part 3, Column 9		
9.	Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8)		
10.	Deduct total nonadmitted amounts		
11.	Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION BETWEEN YEARS

Mortgage Loans

1.	Book value/recorded investment excluding accrued interest, December 31 of prior year		10,793
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisitions (Part 2, Column 7)		
	2.2 Additional investment made after acquisitions (Part 2, Column 8)		
3.	Capitalized deferred interest and other:		
	3.1 Totals, Part 1, Column 12		
	3.2 Totals, Part 3, Column 11		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease):		
	5.1 Totals, Part 1, Column 9		
	5.2 Totals, Part 3, Column 8		
6.	Total gain (loss) on disposals, Part 3, Column 18		
7.	Deduct amounts received on disposals, Part 3, Column 15		
8.	Deduct amortization of premium and mortgage interest points and commitment fees		
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest:		
	9.1 Totals, Part 1, Column 13		
	9.2 Totals, Part 3, Column 13		
10.	Deduct current year's other-than-temporary impairment recognized:		
	10.1 Totals, Part 1, Column 11		
	10.2 Totals, Part 3, Column 10		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		10,793
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus Line 12)		10,793
14.	Deduct total nonadmitted amounts		10,793
15.	Statement value of mortgages owned at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year		10,473,369
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition (Part 2, Column 8)		
	2.2 Additional investment made after acquisition (Part 2, Column 9)		
3.	Capitalized deferred interest and other:		
	3.1 Totals, Part 1, Column 16		
	3.2 Totals, Part 3, Column 12		
4.	Accrual of discount		90,149
5.	Unrealized valuation increase (decrease):		
	5.1 Totals, Part 1, Column 13	873,690	
	5.2 Totals, Part 3, Column 9		873,690
6.	Total gain (loss) on disposals, Part 3, Column 19		
7.	Deduct amounts received on disposals, Part 3, Column 16		
8.	Deduct amortization of premium and depreciation		160,834
9.	Total foreign exchange change in book/adjusted carrying value:		
	9.1 Totals, Part 1, Column 17		
	9.2 Totals, Part 3, Column 14		
10.	Deduct current year's other-than-temporary impairment recognized:		
	10.1 Totals, Part 1, Column 15		
	10.2 Totals, Part 3, Column 11		
11.	Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		11,276,374
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)		11,276,374

SCHEDULE D - VERIFICATION BETWEEN YEARS

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year		24,969,798
2.	Cost of bonds and stocks acquired, Part 3, Column 7		6,579,954
3.	Accrual of discount		18,749
4.	Unrealized valuation increase (decrease):		
	4.1 Part 1, Column 12	3,413	
	4.2 Part 2, Section 1, Column 15	(120)	
	4.3 Part 2, Section 2, Column 13		
	4.4 Part 4,Column 11	(8,207)	(4,914)
5.	Total gain (loss) on disposals, Part 4, Column 19		75,777
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7		9,319,272
7.	Deduct amortization of premium		76,910
8.	Total foreign exchange change in book/adjusted carrying value:		
	8.1 Part 1, Column 15		
	8.2 Part 2, Section 1, Column 19		
	8.3 Part 2, Section 2, Column 16		
	8.4 Part 4, Column 15		
9.	Deduct current year's other-than-temporary impairment recognized:		
	9.1 Part 1, Column 14		
	9.2 Part 2, Section 1, Column 17		
	9.3 Part 2, Section 2, Column 14		
	9.4 Part 4, Column 13		
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees. Notes 5Q, Line 2		4,401
11.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9 + 10)		22,247,583
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)		22,247,583

SCHEDULE D - SUMMARY BY COUNTRY

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1	2	3	4
		Book/Adjusted Carrying Value	Fair Value	Actual Cost	Par Value of Bonds
<b>BONDS</b>  Governments (Including all obligations guaranteed by governments)	1. United States	11,563,418	11,965,877	11,559,034	11,462,386
	2. Canada	74,952	81,141	74,924	75,000
	3. Other Countries				
	4. Totals	11,638,370	12,047,018	11,633,958	11,537,386
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals	75,123	79,452	75,230	75,000
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals	155,000	155,638	161,340	155,000
U.S. Special revenue and special assessment obligations and all non-guaranteed obligations of agencies and authorities of governments and their political subdivisions	7. Totals	4,114,275	4,263,453	4,161,949	4,013,552
Industrial and Miscellaneous, SVO Identified Funds, Unaffiliated Bank Loans and Hybrid Securities (unaffiliated)	8. United States	5,324,244	5,670,924	5,371,664	5,246,316
	9. Canada	200,329	220,784	200,548	200,000
	10. Other Countries	590,363	620,585	590,011	590,000
	11. Totals	6,114,936	6,512,293	6,162,223	6,036,316
Parent, Subsidiaries and Affiliates	12. Totals				
	13. Total Bonds	22,097,704	23,057,854	22,194,700	21,817,254
<b>PREFERRED STOCKS</b>  Industrial and Miscellaneous (unaffiliated)	14. United States	149,880	149,880	150,000	
	15. Canada				
	16. Other Countries				
	17. Totals	149,880	149,880	150,000	
Parent, Subsidiaries and Affiliates	18. Totals				
	19. Total Preferred Stocks	149,880	149,880	150,000	
<b>COMMON STOCKS</b>  Industrial and Miscellaneous (unaffiliated)	20. United States				
	21. Canada				
	22. Other Countries				
	23. Totals				
Parent, Subsidiaries and Affiliates	24. Totals				
	25. Total Common Stocks				
	26. Total Stocks	149,880	149,880	150,000	
	27. Total Bonds and Stocks	22,247,584	23,207,734	22,344,700	



SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	NAIC Designation	1	2	3	4	5	6	7	8	9	10	11	12
		1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 11.7	Total from Col. 7 Prior Year	% From Col. 8 Prior Year	Total Publicly Traded	Total Privately Placed (a)
505	<b>1. U.S. Governments</b>												
	1.1 NAIC 1	3,516,576	6,052,518	1,821,822	170,807	1,694	X X X	11,563,418	52.329	13,644,580	54.644	11,563,418	
	1.2 NAIC 2						X X X						
	1.3 NAIC 3						X X X						
	1.4 NAIC 4						X X X						
	1.5 NAIC 5						X X X						
	1.6 NAIC 6						X X X						
	1.7 Totals	3,516,576	6,052,518	1,821,822	170,807	1,694	X X X	11,563,418	52.329	13,644,580	54.644	11,563,418	
	<b>2. All Other Governments</b>												
	2.1 NAIC 1		74,952				X X X	74,952	0.339	74,937	0.300	74,952	
	2.2 NAIC 2						X X X						
	2.3 NAIC 3						X X X						
	2.4 NAIC 4						X X X						
	2.5 NAIC 5						X X X						
	2.6 NAIC 6						X X X						
	2.7 Totals		74,952				X X X	74,952	0.339	74,937	0.300	74,952	
	<b>3. U.S. States, Territories and Possessions, etc., Guaranteed</b>												
	3.1 NAIC 1	25,004	50,119				X X X	75,123	0.340	496,948	1.990	75,123	
	3.2 NAIC 2						X X X						
	3.3 NAIC 3						X X X						
	3.4 NAIC 4						X X X						
	3.5 NAIC 5						X X X						
	3.6 NAIC 6						X X X						
	3.7 Totals	25,004	50,119				X X X	75,123	0.340	496,948	1.990	75,123	
	<b>4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed</b>												
	4.1 NAIC 1	65,000	90,000				X X X	155,000	0.701	295,979	1.185	155,000	
	4.2 NAIC 2						X X X						
	4.3 NAIC 3						X X X						
	4.4 NAIC 4						X X X						
	4.5 NAIC 5						X X X						
	4.6 NAIC 6						X X X						
	4.7 Totals	65,000	90,000				X X X	155,000	0.701	295,979	1.185	155,000	
	<b>5. U.S. Special Revenue &amp; Special Assessment Obligations, etc., Non-Guaranteed</b>												
	5.1 NAIC 1	373,046	1,972,756	1,375,563	392,909		X X X	4,114,275	18.619	4,224,194	16.917	4,114,275	
	5.2 NAIC 2						X X X						
	5.3 NAIC 3						X X X						
	5.4 NAIC 4						X X X						
	5.5 NAIC 5						X X X						
	5.6 NAIC 6						X X X						
	5.7 Totals	373,046	1,972,756	1,375,563	392,909		X X X	4,114,275	18.619	4,224,194	16.917	4,114,275	

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	NAIC Designation	1	2	3	4	5	6	7	8	9	10	11	12
		1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 11.7	Total from Col. 7 Prior Year	% From Col. 8 Prior Year	Total Publicly Traded	Total Privately Placed (a)
906	6. Industrial & Miscellaneous (unaffiliated)												
	6.1 NAIC 1	647,853	1,624,666	516,687	42,653		X X X	2,831,858	12.815	3,447,774	13.808	2,831,858	
	6.2 NAIC 2	44,765	1,593,114	1,161,671	483,527		X X X	3,283,077	14.857	2,785,385	11.155	3,213,206	69,870
	6.3 NAIC 3						X X X						
	6.4 NAIC 4						X X X						
	6.5 NAIC 5						X X X						
	6.6 NAIC 6						X X X						
	6.7 Totals	692,617	3,217,779	1,678,358	526,180		X X X	6,114,935	27.672	6,233,160	24.963	6,045,065	69,870
	7. Hybrid Securities												
	7.1 NAIC 1					NONE	X X X						
	7.2 NAIC 2						X X X						
	7.3 NAIC 3						X X X						
	7.4 NAIC 4						X X X						
	7.5 NAIC 5						X X X						
	7.6 NAIC 6						X X X						
	7.7 Totals						X X X						
	8. Parent, Subsidiaries and Affiliates												
	8.1 NAIC 1					NONE	X X X						
	8.2 NAIC 2						X X X						
	8.3 NAIC 3						X X X						
	8.4 NAIC 4						X X X						
	8.5 NAIC 5						X X X						
	8.6 NAIC 6						X X X						
	8.7 Totals						X X X						
	9. SVO Identified Funds												
	9.1 NAIC 1	X X X	X X X	X X X	X X X	X X X	NONE						
	9.2 NAIC 2	X X X	X X X	X X X	X X X	X X X							
	9.3 NAIC 3	X X X	X X X	X X X	X X X	X X X							
	9.4 NAIC 4	X X X	X X X	X X X	X X X	X X X							
	9.5 NAIC 5	X X X	X X X	X X X	X X X	X X X							
	9.6 NAIC 6	X X X	X X X	X X X	X X X	X X X							
	9.7 Totals	X X X	X X X	X X X	X X X	X X X							
	10. Unaffiliated Bank Loans												
	10.1 NAIC 1					NONE	X X X						
	10.2 NAIC 2						X X X						
	10.3 NAIC 3						X X X						
	10.4 NAIC 4						X X X						
	10.5 NAIC 5						X X X						
	10.6 NAIC 6						X X X						
	10.7 Totals						X X X						

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	1		2	3	4	5	6	7	8	9	10	11	12
	1 Year or Less		Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 11.7	Total from Col. 8 Prior Year	% From Col. 7 Prior Year	Total Publicly Traded	Total Privately Placed (a)
11. Total Bonds Current Year													
11.1 NAIC 1	(d)	4,627,479	9,865,011	3,714,073	606,369	1,694		18,814,625	85.143	X X X	X X X	18,814,625	
11.2 NAIC 2	(d)	44,765	1,593,114	1,161,671	483,527			3,283,077	14.857	X X X	X X X	3,213,206	69,870
11.3 NAIC 3	(d)									X X X	X X X		
11.4 NAIC 4	(d)									X X X	X X X		
11.5 NAIC 5	(d)									X X X	X X X		
11.6 NAIC 6	(d)									X X X	X X X		
11.7 Totals		4,672,244	11,458,124	4,875,744	1,089,896	1,694		22,097,702	100.000	X X X	X X X	22,027,832	69,870
11.8 Line 11.7 as a % of Col. 7		21.144	51.852	22.064	4.932	0.008		100.000	X X X	X X X	X X X	99.684	0.316
12. Total Bonds Prior Year													
12.1 NAIC 1		6,343,839	11,822,478	3,724,243	289,666	4,186		X X X	X X X	22,184,412	88.845	22,184,412	
12.2 NAIC 2		54,969	1,057,418	1,258,561	414,437			X X X	X X X	2,785,385	11.155	2,785,385	
12.3 NAIC 3								X X X	X X X				
12.4 NAIC 4								X X X	X X X				
12.5 NAIC 5								X X X	X X X	(c)			
12.6 NAIC 6								X X X	X X X	(c)			
12.7 Totals		6,398,808	12,879,896	4,982,804	704,104	4,186		X X X	X X X	(b) 24,969,797	100.000	24,969,798	
12.8 Line 12.7 as a % of Col. 9		25.626	51.582	19.955	2.820	0.017		X X X	X X X	100.000	X X X	100.000	
13. Total Publicly Traded Bonds													
13.1 NAIC 1		4,627,479	9,865,011	3,714,073	606,369	1,694		18,814,625	85.143	22,184,412	88.845	18,814,625	X X X
13.2 NAIC 2		44,765	1,593,114	1,161,671	413,657			3,213,206	14.541	2,785,385	11.155	3,213,206	X X X
13.3 NAIC 3													X X X
13.4 NAIC 4													X X X
13.5 NAIC 5													X X X
13.6 NAIC 6													X X X
13.7 Totals		4,672,244	11,458,124	4,875,744	1,020,026	1,694		22,027,832	99.684	24,969,798	100.000	22,027,832	X X X
13.8 Line 13.7 as a % of Col. 7		21.211	52.017	22.134	4.631	0.008		100.000	X X X	X X X	X X X	100.000	X X X
13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11		21.144	51.852	22.064	4.616	0.008		99.684	X X X	X X X	X X X	99.684	X X X
14. Total Privately Placed Bonds													
14.1 NAIC 1												X X X	
14.2 NAIC 2					69,870			69,870	0.316			X X X	69,870
14.3 NAIC 3												X X X	
14.4 NAIC 4												X X X	
14.5 NAIC 5												X X X	
14.6 NAIC 6												X X X	
14.7 Totals					69,870			69,870	0.316			X X X	69,870
14.8 Line 14.7 as a % of Col. 7					100.000			100.000	X X X	X X X	X X X	X X X	100.000
14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11					0.316			0.316	X X X	X X X	X X X	X X X	0.316

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(a) Includes \$ 69,870 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.

(b) Includes \$ 100,000 current year of bonds with Z designations, and \$ 1,128,924 prior year of bonds with Z designations and \$ 0 current year. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement.

(c) Includes \$ 0 current year of bonds with 5GI designations, \$ 0 prior year of bonds with 5GI designations and \$ 0 current year, \$ 0 prior year of bonds with 6\* designations. "5\*" means the NAIC designation was assigned by the SVO in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6\*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

(d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 0; NAIC 2 \$ 0; NAIC 3 \$ 0; NAIC 4 \$ 0; NAIC 5 \$ 0; NAIC 6 \$ 0.

SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

	Distribution by Type											
	1	2	3	4	5	6	7	8	9	10	11	12
	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 11.7	Total from Col. 7 Prior Year	% From Col. 8 Prior Year	Total Publicly Traded	Total Privately Placed
1. U.S. Governments												
1.01 Issuer Obligations	3,381,840	5,703,280	1,524,795			X X X	10,609,914	48.014	12,612,718	50.512	10,609,914	
1.02 Residential Mortgage-Backed Securities	24,144	30,433	30,719	46,337	1,694	X X X	133,326	0.603	142,249	0.570	133,326	
1.03 Commercial Mortgage-Backed Securities						X X X						
1.04 Other Loan-Backed and Structured Securities	110,593	318,806	266,309	124,470		X X X	820,177	3.712	889,613	3.563	820,177	
1.05 Totals	3,516,576	6,052,518	1,821,822	170,807	1,694	X X X	11,563,418	52.329	13,644,580	54.644	11,563,418	
2. All Other Governments												
2.01 Issuer Obligations		74,952				X X X	74,952	0.339	74,937	0.300	74,952	
2.02 Residential Mortgage-Backed Securities						X X X						
2.03 Commercial Mortgage-Backed Securities						X X X						
2.04 Other Loan-Backed and Structured Securities						X X X						
2.05 Totals		74,952				X X X	74,952	0.339	74,937	0.300	74,952	
3. U.S. States, Territories and Possessions, Guaranteed												
3.01 Issuer Obligations	25,004	50,119				X X X	75,123	0.340	496,948	1.990	75,123	
3.02 Residential Mortgage-Backed Securities						X X X						
3.03 Commercial Mortgage-Backed Securities						X X X						
3.04 Other Loan-Backed and Structured Securities						X X X						
3.05 Totals	25,004	50,119				X X X	75,123	0.340	496,948	1.990	75,123	
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.01 Issuer Obligations	65,000	90,000				X X X	155,000	0.701	295,979	1.185	155,000	
4.02 Residential Mortgage-Backed Securities						X X X						
4.03 Commercial Mortgage-Backed Securities						X X X						
4.04 Other Loan-Backed and Structured Securities						X X X						
4.05 Totals	65,000	90,000				X X X	155,000	0.701	295,979	1.185	155,000	
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.01 Issuer Obligations	160,000	929,578	1,043,845	369,502		X X X	2,502,925	11.327	2,160,014	8.651	2,502,925	
5.02 Residential Mortgage-Backed Securities	136,465	295,102	116,470	23,408		X X X	571,444	2.586	781,783	3.131	571,444	
5.03 Commercial Mortgage-Backed Securities	65,608	704,184	209,763			X X X	979,555	4.433	1,221,991	4.894	979,555	
5.04 Other Loan-Backed and Structured Securities	10,973	43,892	5,487			X X X	60,352	0.273	60,407	0.242	60,352	
5.05 Totals	373,046	1,972,756	1,375,563	392,909		X X X	4,114,275	18.619	4,224,194	16.917	4,114,275	
6. Industrial and Miscellaneous												
6.01 Issuer Obligations	682,823	3,178,829	1,565,894	526,180		X X X	5,953,727	26.943	6,062,388	24.279	5,883,856	69,870
6.02 Residential Mortgage-Backed Securities						X X X						
6.03 Commercial Mortgage-Backed Securities						X X X						
6.04 Other Loan-Backed and Structured Securities	9,794	38,950	112,464			X X X	161,208	0.730	170,772	0.684	161,208	
6.05 Totals	692,618	3,217,779	1,678,358	526,180		X X X	6,114,935	27.672	6,233,160	24.963	6,045,065	69,870
7. Hybrid Securities												
7.01 Issuer Obligations						X X X						
7.02 Residential Mortgage-Backed Securities						X X X						
7.03 Commercial Mortgage-Backed Securities						X X X						
7.04 Other Loan-Backed and Structured Securities						X X X						
7.05 Totals						X X X						
8. Parent, Subsidiaries and Affiliates												
8.01 Issuer Obligations						X X X						
8.02 Residential Mortgage-Backed Securities						X X X						
8.03 Commercial Mortgage-Backed Securities						X X X						
8.04 Other Loan-Backed and Structured Securities						X X X						
8.05 Affiliated Bank Loans – Issued						X X X						
8.06 Affiliated Bank Loans – Acquired						X X X						
8.07 Totals						X X X						

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NONE

NONE

SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type												
	1	2	3	4	5	6	7	8	9	10	11	12
	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 11.7	Total from Col. 7 Prior Year	% From Col. 8 Prior Year	Total Publicly Traded	Total Privately Placed
9. SVO Identified Funds												
9.01 Exchange Traded Funds Identified by the SVO	X X X	X X X	X X X	X X X	X X X							
9.02 Bond Mutual Funds Identified by the SVO	X X X	X X X	X X X	X X X	X X X							
9.03 Totals	X X X	X X X	X X X	X X X	X X X							
10. Unaffiliated Bank Loans												
10.01 Unaffiliated Bank Loans - Issued						X X X						
10.02 Unaffiliated Bank Loans - Acquired						X X X						
10.03 Totals						X X X						
11. Total Bonds Current Year												
11.01 Issuer Obligations	4,314,667	10,026,758	4,134,533	895,682		X X X	19,371,640	87.664	X X X	X X X	19,301,770	69,870
11.02 Residential Mortgage-Backed Securities	160,609	325,534	147,188	69,744	1,694	X X X	704,770	3.189	X X X	X X X	704,770	
11.03 Commercial Mortgage-Backed Securities	65,608	704,184	209,763			X X X	979,555	4.433	X X X	X X X	979,555	
11.04 Other Loan-Backed and Structured Securities	131,360	401,648	384,260	124,470		X X X	1,041,737	4.714	X X X	X X X	1,041,737	
11.05 SVO - Identified Securities	X X X	X X X	X X X	X X X	X X X				X X X	X X X		
11.06 Affiliated Bank Loans						X X X			X X X	X X X		
11.07 Unaffiliated Bank Loans						X X X			X X X	X X X		
11.08 Totals	4,672,244	11,458,124	4,875,744	1,089,896	1,694		22,097,702	100.000	X X X	X X X	22,027,832	69,870
11.09 Line 11.08 as a % of Col. 7	21.144	51.852	22.064	4.932	0.008		100.000	X X X	X X X	X X X	99.684	0.316
12. Total Bonds Prior Year												
12.01 Issuer Obligations	6,038,969	11,406,702	3,842,876	414,437		X X X	X X X	X X X	21,702,983	86.917	21,702,983	
12.02 Residential Mortgage-Backed Securities	172,034	407,933	226,150	113,729	4,186	X X X	X X X	X X X	924,032	3.701	924,032	
12.03 Commercial Mortgage-Backed Securities	57,404	685,443	479,143			X X X	X X X	X X X	1,221,991	4.894	1,221,991	
12.04 Other Loan-Backed and Structured Securities	130,401	379,818	434,636	175,937		X X X	X X X	X X X	1,120,792	4.489	1,120,792	
12.05 SVO - Identified Securities	X X X	X X X	X X X	X X X	X X X		X X X	X X X				
12.06 Affiliated Bank Loans						X X X	X X X	X X X				
12.07 Unaffiliated Bank Loans						X X X	X X X	X X X				
12.08 Totals	6,398,808	12,879,896	4,982,804	704,104	4,186		X X X	X X X	24,969,798	100.000	24,969,798	
12.09 Line 12.08 as a % of Col. 9	25.626	51.582	19.955	2.820	0.017		X X X	X X X	100.000%	X X X	100.000	
13. Total Publicly Traded Bonds												
13.01 Issuer Obligations	4,314,667	10,026,758	4,134,533	825,812		X X X	19,301,770	87.347	21,702,983	86.917	19,301,770	X X X
13.02 Residential Mortgage-Backed Securities	160,609	325,534	147,188	69,744	1,694	X X X	704,770	3.189	924,032	3.701	704,770	X X X
13.03 Commercial Mortgage-Backed Securities	65,608	704,184	209,763			X X X	979,555	4.433	1,221,991	4.894	979,555	X X X
13.04 Other Loan-Backed and Structured Securities	131,360	401,648	384,260	124,470		X X X	1,041,737	4.714	1,120,792	4.489	1,041,737	X X X
13.05 SVO - Identified Securities	X X X	X X X	X X X	X X X	X X X							X X X
13.06 Affiliated Bank Loans						X X X						X X X
13.07 Unaffiliated Bank Loans						X X X						X X X
13.08 Totals	4,672,244	11,458,124	4,875,744	1,020,026	1,694		22,027,832	99.684	24,969,798	100.000	22,027,832	X X X
13.09 Line 13.08 as a % of Col. 7	21.211	52.017	22.134	4.631	0.008		100.000	X X X	X X X	X X X	100.000	X X X
13.10 Line 13.08 as a % of Line 11.08, Col. 7, Section 11	21.144	51.852	22.064	4.616	0.008		99.684	X X X	X X X	X X X	99.684	X X X
14. Total Privately Placed Bonds												
14.01 Issuer Obligations				69,870		X X X	69,870	0.316			X X X	69,870
14.02 Residential Mortgage-Backed Securities						X X X					X X X	
14.03 Commercial Mortgage-Backed Securities						X X X					X X X	
14.04 Other Loan-Backed and Structured Securities						X X X					X X X	
14.05 SVO - Identified Securities	X X X	X X X	X X X	X X X	X X X						X X X	
14.06 Affiliated Bank Loans						X X X					X X X	
14.07 Unaffiliated Bank Loans						X X X					X X X	
14.08 Totals				69,870			69,870	0.316			X X X	69,870
14.09 Line 14.08 as a % of Col. 7				100.000			100.000	X X X	X X X	X X X	X X X	100.000
14.10 Line 14.08 as a % of Line 11.08, Col. 7, Section 11				0.316			0.316	X X X	X X X	X X X	X X X	0.316

SCHEDULE DA - VERIFICATION BETWEEN YEARS

Short-Term Investments

	1	2	3	4	5
	Total	Bonds	Mortgage Loans	Other Short-Term Investment Assets (a)	Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year					
2. Cost of short-term investments acquired	40,378	40,378			
3. Accrual of discount					
4. Unrealized valuation increase (decrease)					
5. Total gain (loss) on disposals	2	2			
6. Deduct consideration received on disposals	40,363	40,363			
7. Deduct amortization of premium	18	18			
8. Total foreign exchange change in book/adjusted carrying value					
9. Deduct current year's other-than-temporary impairment recognized					
10. Book adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	(1)	(1)			
11. Deduct total nonadmitted amounts					
12. Statement value at end of current period (Line 10 minus Line 11)	(1)	(1)			

\$10

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

- NONE Schedule DB - Part A and B Verification
- NONE Schedule DB - Part C - Section 1
- NONE Schedule DB - Part C - Section 2
- NONE Schedule DB - Verification

SCHEDULE E - PART 2 - VERIFICATION BETWEEN YEARS  
(Cash Equivalents)

	1	2	3	4
	Total	Bonds	Money Market Mutual Funds	Other (a)
1. Book/adjusted carrying value, December 31 of prior year	10,178,639		30,562	10,148,077
2. Cost of cash equivalents acquired	7,807,217		364,607	7,442,610
3. Accrual of discount				
4. Unrealized valuation increase (decrease)				
5. Total gain (loss) on disposals				
6. Deduct consideration received on disposals	5,914,520		351,478	5,563,042
7. Deduct amortization of premium				
8. Total foreign exchange change in book/adjusted carrying value				
9. Deduct current year's other-than-temporary impairment recognized				
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	12,071,336		43,691	12,027,645
11. Deduct total nonadmitted amounts				
12. Statement value at end of current period (Line 10 minus Line 11)	12,071,336		43,691	12,027,645

(a) Indicate the category of such investments, for example, joint ventures, transportation equipment:



**NONE      Schedule A - Part 1**

**NONE      Schedule A - Part 2**

**NONE      Schedule A - Part 3**

SCHEDULE B - PART 1

Showing All Mortgage Loans OWNED December 31 of Current Year

1  Loan Number	2  Code	Location		5  Loan Type	6  Date Acquired	7  Rate of Interest	8  Book Value/ Recorded Investment Excluding Accrued Interest	Change in Book Value/Recorded Investment					14  Value of Land and Buildings	15  Date of Last Appraisal or Valuation
		3  City	4  State					9  Unrealized Valuation Increase (Decrease)	10  Current Year's (Amortization)/ Accretion	11  Current Year's Other-Than- Temporary Impairment Recognized	12  Capitalized Deferred Interest and Other	13  Total Foreign Exchange Change in Book Value		
NONE		CHICAGO	IL		05/25/2007		10,793							05/25/2007
1099999 Restructured Mortgages - Residential Mortgages - Insured or Guaranteed *								10,793						X X X
1699999 Total Restructured Mortgages								10,793						X X X
E04														
3399999 Total Mortgages								10,793						X X X

General Interrogatory:

1. Mortgages in good standing \$ 0 unpaid taxes \$ 0 interest due and unpaid.
2. Restructured mortgages \$ 0 unpaid taxes \$ 0 interest due and unpaid.
3. Mortgages with overdue interest over 90 days not in process of foreclosure \$ 0 unpaid taxes \$ 0 interest due and unpaid.
4. Mortgages in process of foreclosure \$ 0 unpaid taxes \$ 0 interest due and unpaid.

**NONE      Schedule B - Part 2**

**NONE      Schedule B - Part 3**

SCHEDULE BA - PART 1

Showing Other Long-Term Invested Assets OWNED December 31 of Current Year

1	2	3	Location		6	7	8	9	10	11	12	Change in Book/Adjusted Carrying Value					18	19	20
			4	5								13	14	15	16	17			
CUSIP Identification	Name or Description	Code	City	State	Name of Vendor or General Partner	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Date Originally Acquired	Type and Strategy	Actual Cost	Fair Value	Book/Adjusted Carrying Value Less Encumbrances	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization)/ Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Foreign Exchange Change in B./A.C.V.	Investment Income	Commitment for Additional Investment	Percentage of Ownership
	WFG NATIONAL TITLE OF WA		BELLEVUE	WA	WFG NATIONAL TITLE INSURANC		07/01/2011		9,170,452	11,763,738	11,276,373	873,690	(70,685)				11,419,627		100.000
2699999	Joint Venture, Partnership or Limited Liability Interests - Other - Affiliated								9,170,452	11,763,738	11,276,373	873,690	(70,685)				11,419,627		X X X
4999999	Subtotal Affiliated								9,170,452	11,763,738	11,276,373	873,690	(70,685)				11,419,627		X X X
5099999	Totals								9,170,452	11,763,738	11,276,373	873,690	(70,685)				11,419,627		X X X

Book/Adjusted Carrying Value by NAIC Designation Category Footnote:

E07

1A \$	1B \$	1C \$	1D \$	1E \$	1F \$	1G \$
2A \$	2B \$	2C \$				
3A \$	3B \$	3C \$				
4A \$	4B \$	4C \$				
5A \$	5B \$	5C \$				
6 \$						

**NONE      Schedule BA - Part 2**

**NONE      Schedule BA - Part 3**

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	F o r e i g n	Bond CHAR	NAIC Designation, NAIC Designation Modifier and SVO Administrativ Symbol	Actual Cost	Rate Used To Obtain Fair Value	Fair Value	Par Value	Book / Adjusted Carrying Value	Unrealized Valuation Increase / (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other -Than- Temporary Impairment Recognized	Total Foreign Exchange Change in B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date
912828-2D-1	UNITED STATES TREASURY				1.A	232,252	103.266	237,512	230,000	232,293		(527)			1.375	0.991	FA	1,075	2,475	04/27/2020	08/31/2023
912828-2N-9	UNITED STATES TREASURY				1.A	76,161	106.832	80,124	75,000	75,842		(226)			2.125	1.800	JJ	667	1,594	07/30/2019	07/31/2024
912828-2Y-5	UNITED STATES TREASURY				1.A	204,859	107.047	214,094	200,000	203,707		(954)			2.125	1.613	MS	1,086	4,250	10/15/2019	09/30/2024
912828-3D-0	UNITED STATES TREASURY				1.A	375,923	107.664	392,974	365,000	373,350		(2,099)			2.250	1.631	AO	1,407	8,213	10/15/2019	10/31/2024
912828-3J-7	UNITED STATES TREASURY				1.A	102,348	107.293	107,293	100,000	101,842		(453)			2.125	1.637	MN	187	2,125	11/18/2019	11/30/2024
912828-4A-5	UNITED STATES TREASURY				1.A	49,695	105.363	52,682	50,000	49,858		63			2.625	2.761	FA	446	1,313	05/22/2018	02/28/2023
912828-4H-0	UNITED STATES TREASURY				1.A	115,418	110.377	133,061	120,552	119,987	1,416	244			0.625	0.840	AO	161	749	01/18/2019	04/15/2023
912828-4U-1	UNITED STATES TREASURY				1.A	87,889	106.156	90,233	85,000	86,828		(710)			2.625	1.741	JD	6	2,231	06/28/2019	06/30/2023
912828-4W-7	UNITED STATES TREASURY				1.A	300,305	101.639	304,917	300,000	300,067		(106)			2.750	2.713	FA	3,116	8,250	09/05/2018	08/15/2021
912828-5D-8	UNITED STATES TREASURY				1.A	49,699	107.426	53,713	50,000	49,826		60			2.875	3.008	MS	367	1,438	11/13/2018	09/30/2023
912828-5F-3	UNITED STATES TREASURY				1.A	1,005,700	102.152	1,031,735	1,010,000	1,008,815		1,472			2.875	3.027	AO	6,222	29,038	11/08/2018	10/15/2021
912828-5J-5	UNITED STATES TREASURY				1.A	49,723	112.727	56,364	50,000	49,802		38			3.000	3.089	AO	257	1,500	11/13/2018	10/31/2025
912828-5L-0	UNITED STATES TREASURY				1.A	500,645	102.375	511,875	500,000	500,194		(217)			2.875	2.830	MN	1,866	14,375	11/19/2018	11/15/2021
912828-5M-8	UNITED STATES TREASURY				1.A	46,786	118.398	53,279	45,000	46,464		(165)			3.125	2.664	MN	183	1,406	01/04/2019	11/15/2028
912828-5P-1	UNITED STATES TREASURY				1.A	188,639	107.867	199,554	185,000	187,301		(757)			2.875	2.429	MN	468	5,319	06/18/2019	11/30/2023
912828-5Z-9	UNITED STATES TREASURY				1.A	90,151	107.141	96,427	90,000	90,096		(30)			2.500	2.464	JJ	942	2,250	02/07/2019	01/31/2024
912828-6A-3	UNITED STATES TREASURY				1.A	60,286	111.375	66,825	60,000	60,214		(39)			2.625	2.550	JJ	659	1,575	02/07/2019	01/31/2026
912828-6T-2	UNITED STATES TREASURY				1.A	169,848	113.016	186,476	165,000	169,181		(454)			2.375	2.044	MN	509	3,919	07/25/2019	05/15/2029
912828-D5-6	UNITED STATES TREASURY				1.A	35,034	107.777	37,722	35,000	35,012		(3)			2.375	2.365	FA	314	831	11/17/2014	08/15/2024
912828-G3-8	UNITED STATES TREASURY				1.A	822,561	107.727	861,816	800,000	817,193		(4,283)			2.250	1.673	MN	2,337	18,000	10/18/2019	11/15/2024
912828-G8-7	UNITED STATES TREASURY				1.A	252,480	102.002	255,005	250,000	251,223		(1,206)			2.125	1.629	JD	15	5,313	12/13/2019	12/31/2021
912828-J2-7	UNITED STATES TREASURY				1.A	94,496	107.066	107,066	100,000	96,526		782			2.000	2.900	FA	755	2,000	05/04/2018	02/15/2025
912828-L5-7	UNITED STATES TREASURY				1.A	205,424	102.824	210,789	205,000	205,004		(6)			1.750	1.748	MS	917	3,588	01/12/2018	09/30/2022
912828-P4-6	UNITED STATES TREASURY				1.A	34,221	106.359	37,226	35,000	34,575		78			1.625	1.874	FA	215	569	04/22/2016	02/15/2026
912828-R3-6	UNITED STATES TREASURY				1.A	151,321	106.477	165,039	155,000	152,861		436			1.625	1.877	MN	327	2,519	04/06/2020	05/15/2026
912828-R6-9	UNITED STATES TREASURY				1.A	364,533	103.566	362,481	350,000	361,345		(3,188)			1.625	0.276	MN	500	5,688	04/24/2020	05/31/2023
912828-S3-5	UNITED STATES TREASURY				1.A	253,787	103.063	257,658	250,000	253,204		(842)			1.375	0.852	JD	10	3,438	04/24/2020	06/30/2023
912828-S9-2	UNITED STATES TREASURY				1.A	468,534	102.848	467,958	455,000	465,594		(2,940)			1.250	0.343	JJ	2,380	2,844	04/24/2020	07/31/2023
912828-SV-3	UNITED STATES TREASURY				1.A	111,422	102.227	112,450	110,000	110,205		(147)			1.750	1.612	MN	250	1,925	06/29/2012	05/15/2022
912828-T2-6	UNITED STATES TREASURY				1.A	326,580	103.363	330,762	320,000	326,000		(1,348)			1.375	0.680	MS	1,124	2,853	05/05/2020	09/30/2023
912828-T9-1	UNITED STATES TREASURY				1.A	144,014	104.176	151,055	145,000	144,586		142			1.625	1.729	AO	404	2,356	11/09/2016	10/31/2023
912828-V4-9	UNITED STATES TREASURY				1.A	109,567	120.280	142,619	118,572	118,069	1,392	74			0.375	0.444	JJ	205	439	05/04/2017	01/15/2027
912828-V9-8	UNITED STATES TREASURY				1.A	118,014	110.535	132,642	120,000	118,675		198			2.250	2.445	FA	1,020	2,700	06/19/2019	02/15/2027
912828-WR-7	UNITED STATES TREASURY				1.A	959,966	100.992	984,672	975,000	972,447		5,034			2.125	2.659	JD	57	20,719	06/25/2018	06/30/2021
912828-WY-2	UNITED STATES TREASURY				1.A	345,320	101.227	354,293	350,000	349,094		1,527			2.250	2.702	JJ	3,296	7,875	07/24/2018	07/31/2021
912828-X8-8	UNITED STATES TREASURY				1.A	47,865	111.543	50,194	45,000	47,383		(354)			2.375	1.500	MN	139	1,069	08/16/2019	05/15/2027
912828-XG-0	UNITED STATES TREASURY				1.A	199,813	102.992	205,984	200,000	199,910		59			2.125	2.156	JD	12	4,250	05/16/2019	06/30/2022

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	F o r e i g n	Bond CHAR	NAIC Designation, NAIC Designation Modifier and SVO Administrativ Symbol	Actual Cost	Rate Used To Obtain Fair Value	Fair Value	Par Value	Book / Adjusted Carrying Value	Unrealized Valuation Increase / (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other -Than- Temporary Impairment Recognized	Total Foreign Exchange Change in B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date
912828-XQ-8	UNITED STATES TREASURY				1.A	103,143	102.945	102,945	100,000	100,871		(542)			2.000	1.440	JJ	837	2,000	07/13/2017	07/31/2022
912828-YB-0	UNITED STATES TREASURY				1.A	126,302	106.977	133,721	125,000	126,139		(123)			1.625	1.512	FA	767	2,031	08/30/2019	08/15/2029
912828-YG-9	UNITED STATES TREASURY				1.A	194,730	106.609	207,888	195,000	194,776		37			1.625	1.646	MS	810	3,169	10/31/2019	09/30/2026
912828-YH-7	UNITED STATES TREASURY				1.A	34,843	104.715	36,650	35,000	34,880		31			1.500	1.595	MS	134	525	10/15/2019	09/30/2024
912828-YQ-7	UNITED STATES TREASURY				1.A	44,360	106.648	47,992	45,000	44,460		87			1.625	1.843	AO	125	731	11/07/2019	10/31/2026
912828-Z9-4	UNITED STATES TREASURY				1.A	64,264	105.793	63,476	60,000	63,928		(336)			1.500	0.756	FA	340	450	03/11/2020	02/15/2030
912828-ZB-9	UNITED STATES TREASURY				1.A	118,284	103.699	119,254	115,000	117,928		(356)			1.125	0.702	FA	440	647	03/26/2020	02/28/2027
912828-ZC-7	UNITED STATES TREASURY				1.A	10,398	103.527	10,353	10,000	10,359		(39)			1.125	0.257	FA	38	56	07/17/2020	02/28/2025
912828-ZE-3	UNITED STATES TREASURY				1.A	200,156	100.598	201,196	200,000	200,141		(16)			0.625	0.614	MS	319	625	04/13/2020	03/31/2027
912828-ZJ-2	UNITED STATES TREASURY				1.A	77,648	108.441	81,987	75,605	77,921	605	(333)			0.125	(0.674)	AO	20	47	06/11/2020	04/15/2025
912828-ZN-3	UNITED STATES TREASURY				1.A	30,004	99.750	29,925	30,000	30,003					0.500	0.498	AO	26	75	05/08/2020	04/30/2027
912828-ZR-4	UNITED STATES TREASURY				1.A	129,866	100.020	130,026	130,000	129,903		37			0.125	0.178	MN	14	81	06/24/2020	05/31/2022
912828-ZT-0	UNITED STATES TREASURY				1.A	319,200	99.809	319,389	320,000	319,230		30			0.250	0.305	MN	70	400	10/29/2020	05/31/2025
91282C-AM-3	UNITED STATES TREASURY				1.A	334,738	99.621	333,730	335,000	334,752		13			0.250	0.266	MS	214		09/25/2020	09/30/2025
91282C-AW-1	UNITED STATES TREASURY				1.A	60,054	100.281	60,169	60,000	60,052		(1)			0.250	0.219	MN	19		12/02/2020	11/15/2023
0199999	U.S. Government - Issuer Obligations					10,599,269	X X X	10,975,270	10,534,729	10,609,916	3,413	(12,358)			X X X	X X X	X X X	38,074	191,833	X X X	X X X
83164L-MP-3	SBA 509366 - RMBS			4	1.A	72,793	100.478	71,532	71,191	72,698		(61)			1.750	4.333	MON	208	1,720	03/04/2019	05/25/2038
83164M-BN-8	SBA 509945 - RMBS			4	1.A	60,730	106.648	60,531	56,757	60,628		(78)			3.079	2.964	MON	292	2,126	10/30/2018	03/25/2042
0299999	U.S. Government - Residential Mortgage-Ba					133,523	X X X	132,063	127,948	133,326		(139)			X X X	X X X	X X X	500	3,846	X X X	X X X
83162C-RV-7	SBAP 2008-20F A - ABS			4	1.A	86,588	110.502	86,354	78,147	83,761		(658)			5.680	2.929	JD	370	3,120	02/13/2017	06/01/2028
83162C-SH-7	SBAP 2009-20B A - ABS			4	1.A	79,603	108.496	82,548	76,084	79,248		(297)			4.760	3.455	FA	1,509	3,622	01/10/2019	02/01/2029
83162C-TN-3	SBAP 2010-20I A - ABS			4	1.A	145,558	104.896	147,321	140,445	145,480		(78)			3.210	2.353	MS	1,503	4,549	02/10/2020	09/01/2030
83162C-WB-5	SBAP 2014-20 B A - ABS			4	1.A	190,945	107.759	194,343	180,350	189,032		(478)			3.230	2.356	FA	2,427	6,175	04/06/2016	02/01/2034
83162C-WX-7	SBAP 2015-20C A - ABS			4	1.A	138,038	107.284	143,780	134,018	137,353		(165)			2.720	2.309	MS	1,215	3,887	04/06/2016	03/01/2035
83162C-XA-6	SBAP 2015-20E E - ABS			4	1.A	175,466	107.394	194,518	181,126	175,570		160			2.770	3.326	MN	836	5,268	08/22/2018	05/01/2035
831641-EZ-4	SBIC 2013-10B B - ABS			4	1.A	10,045	101.526	9,684	9,538	9,732		(70)			3.644	(7.444)	MS	116	349	04/07/2016	09/10/2023
0499999	U.S. Government - Other Loan-Backed and					826,243	X X X	858,548	799,708	820,176		(1,586)			X X X	X X X	X X X	7,976	26,970	X X X	X X X
0599999	Subtotals – U.S. Governments					11,559,035	X X X	11,965,881	11,462,385	11,563,418	3,413	(14,083)			X X X	X X X	X X X	46,550	222,649	X X X	X X X
68323A-FC-3	ONTARIO, PROVINCE OF		C		1.D FE	74,924	108.188	81,141	75,000	74,952		15			3.050	3.072	JJ	966	2,288	01/18/2019	01/29/2024

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	n	Bond CHAR	NAIC Designation, NAIC Designation Modifier and SVO Administrativ Symbol	Actual Cost	Rate Used To Obtain Fair Value	Fair Value	Par Value	Book / Adjusted Carrying Value	Unrealized Valuation Increase / (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other -Than- Temporary Impairment Recognized	Total Foreign Exchange Change in B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date
0699999	All Other Governments - Issuer Obligations					74,924	X X X	81,141	75,000	74,952		15			X X X	X X X	X X X	966	2,288	X X X	X X X
1099999	Subtotals – All Other Governments					74,924	X X X	81,141	75,000	74,952		15			X X X	X X X	X X X	966	2,288	X X X	X X X
13063D-AD-0	CALIFORNIA ST				1.C FE	25,000	102.518	25,630	25,000	25,000					2.367	2.367	AO	148	592	04/21/2017	04/01/2022
13063D-GB-8	CALIFORNIA ST				1.C FE	25,186	111.728	27,932	25,000	25,119		(26)			3.375	3.255	AO	211	844	04/18/2018	04/01/2025
57582P-WK-2	MASSACHUSETTS (COMMONWEAL		1		1.B FE	25,044	103.563	25,891	25,000	25,004		(4)			4.200	4.182	JD	88	1,050	01/18/2011	12/01/2021
1199999	U.S. States, Territories and Possessions (Direct and Guaranteed) I					75,230	X X X	79,453	75,000	75,123		(30)			X X X	X X X	X X X	447	2,486	X X X	X X X
1799999	Subtotals – States, Territories and Possessions (Dir					75,230	X X X	79,453	75,000	75,123		(30)			X X X	X X X	X X X	447	2,486	X X X	X X X
020213-HS-6	ALMA MICH PUB SCHS		2		1.B FE	71,340	100.287	65,187	65,000	65,000		(544)			5.250	5.250	MN	569	3,413	04/04/2016	05/01/2027
133167-AK-2	CAMDEN TOWN DEL				1.C FE	90,000	100.502	90,452	90,000	90,000					1.050	1.050	JD	79	68	10/20/2020	12/01/2024
1899999	U.S. Political Subdivisions - Issuer Obligatio					161,340	X X X	155,639	155,000	155,000		(544)			X X X	X X X	X X X	648	3,481	X X X	X X X
2499999	Subtotals – U.S. Political Subdivisions of States, Territories and Possessions (Direct					161,340	X X X	155,639	155,000	155,000		(544)			X X X	X X X	X X X	648	3,481	X X X	X X X
185500-AP-0	CLEBURNE TEX TYPE A ECONOMIC		2		1.C FE	150,750	108.907	163,361	150,000	150,474		(75)			3.500	3.438	FA	1,983	5,250	09/28/2016	08/15/2031
25483V-XD-1	DISTRICT COLUMBIA REV		1		1.F FE	52,699	104.712	52,356	50,000	52,658		(41)			2.412	1.631	AO	302		11/17/2020	04/01/2028
3130AH-HB-2	FEDERAL HOME LOAN BANKS		2		1.A	200,000	102.608	205,216	200,000	200,000					2.150	2.150	MN	633	4,300	10/31/2019	11/08/2027
3130AJ-KM-0	FEDERAL HOME LOAN BANKS		2		1.A	300,000	98.097	294,291	300,000	300,000					1.640	1.640	MN	738	2,460	05/22/2020	05/07/2035
3134GV-6X-1	FEDERAL HOME LOAN MORTGAGE		2		1.A	75,000	99.987	74,990	75,000	75,000					0.375	0.375	AO	55	70	07/14/2020	04/20/2023
3134GW-ND-4	FEDERAL HOME LOAN MORTGAGE		2		1.A	85,000	99.536	84,606	85,000	85,000					0.600	0.600	FA	197		08/05/2020	08/12/2025
3136G4-H6-3	FEDERAL NATIONAL MORTGAGE A		2		1.A	85,000	99.745	84,783	85,000	85,000					0.550	0.550	FA	171		08/06/2020	08/19/2025
341271-AE-4	FLORIDA ST BRD ADMIN FIN CORP		1		1.C FE	50,000	103.799	51,900	50,000	50,000					1.705	1.705	JJ	249		09/03/2020	07/01/2027
34710R-BE-9	FORT COLLINS COLO ELEC UTIL E		1		1.D FE	180,154	112.790	180,464	160,000	179,542		(612)			3.559	1.400	JD	475	2,847	10/20/2020	12/01/2026
524802-CB-9	LEHIGH CNTY PA AUTH WTR REV		2		1.C FE	64,748	100.206	60,124	60,000	60,000		(414)			5.770	5.770	MN	577	3,462	04/04/2016	11/01/2030
611583-BS-3	MONROVIA CALIF REDEV AGY SUC		—		1.C FE	61,928	107.335	64,401	60,000	60,856		(240)			3.625	3.170	MN	363	2,175	04/04/2016	05/01/2024
61945D-AY-7	MOSAIC DIST CMNTY DEV AUTH VA		1		1.F FE	100,000	100.998	100,998	100,000	100,000					1.489	1.489	MS	116		10/21/2020	03/01/2025
64971X-BD-9	NEW YORK N Y CITY TRANSITIONA		1,2		1.A FE	253,288	111.060	277,650	250,000	252,321		(309)			3.580	3.421	FA	3,729	8,950	09/27/2017	08/01/2032
64971X-US-5	NEW YORK N Y CITY TRANSITIONA		1		1.A FE	99,814	102.395	102,395	100,000	99,818		4			1.530	1.558	MN	238		10/29/2020	11/01/2027
649883-UM-5	NEW YORK ST MTG AGY HOMEOW		2		1.B FE	247,791	103.110	242,309	235,000	237,848		(2,216)			3.869	2.872	AO	2,273	9,092	04/04/2016	10/01/2025
73358W-4V-3	PORT AUTH N Y & N J		—		1.D FE	35,000	101.490	35,522	35,000	35,000					1.086	1.085	JJ	183		07/02/2020	07/01/2023
744712-CF-5	PUEBLO CITY-CNTY LIBR DIST COL		—		1.E FE	99,010	100.031	100,031	100,000	99,032		22			1.750	1.900	JD	282		10/27/2020	12/01/2027
762243-X8-1	RHODE ISLAND ST HEALTH & EDL		1,2		1.D FE	108,432	100.282	100,282	100,000	100,000		(811)			5.750	5.750	MN	735	5,750	04/04/2016	05/15/2027

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SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	F o r e i g n	Bond CHAR	NAIC Designation, NAIC Designation Modifier and SVO Administrativ Symbol	Actual Cost	Rate Used To Obtain Fair Value	Fair Value	Par Value	Book / Adjusted Carrying Value	Unrealized Valuation Increase / (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other -Than- Temporary Impairment Recognized	Total Foreign Exchange Change in B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date
79771P-L4-5	SAN FRANCISCO CALIF CITY & CNT			1	1.B FE	69,608		138,594	50,000	69,502		(106)			5.750	2.795	FA	1,198		11/17/2020	08/01/2037
86657M-AY-2	SUMTER LANDING CMNTY DEV DIS			1,2	1.E FE	36,496		110.168	35,000	35,874		(162)			5.050	4.460	AO	442	1,768	11/16/2016	10/01/2038
88213A-MA-0	TEXAS A & M UNIV REVS			1,2	1.A FE	100,000		113,753	100,000	100,000					3.377	3.377	MN	432	3,377	11/30/2017	05/15/2030
91412G-U9-4	UNIVERSITY CALIF REVS			1,2	1.C FE	15,000		110.301	15,000	15,000					3.063	3.063	JJ	230	459	05/05/2017	07/01/2025
91412H-FG-3	UNIVERSITY CALIF REVS			1	1.C FE	60,000		115,314	60,000	60,000					3.349	3.349	JJ	1,005	2,009	03/13/2019	07/01/2029
2599999	U.S. Special Revenue - Issuer Obligations					2,529,718	X X X	2,583,021	2,455,000	2,502,925		(4,960)			X X X	X X X	X X X	16,606	51,969	X X X	X X X
31395J-SP-2	FHR 2890 PE - CMO/RMBS			4	1.A	165,840		114.189	151,323	163,802		(612)			5.000	2.763	MON	631	7,554	04/06/2016	11/15/2034
31418B-2V-7	FN MA2587 - RMBS			4	1.A	413,412		107.363	390,818	407,642		(332)			3.500	2.016	MON	1,140	13,664	04/07/2016	04/01/2036
2699999	U.S. Special Revenue - Residential Mortgag					579,252	X X X	592,388	542,141	571,444		(944)			X X X	X X X	X X X	1,771	21,218	X X X	X X X
31381T-7L-8	FN 470799 - CMBS/RMBS			4	1.A	171,398		108,364	167,781	170,298		(657)			3.160	3.457	MON	457	5,390	04/03/2019	10/01/2024
3138L8-X3-3	FN AM7897 - CMBS/RMBS			4	1.A	184,227		107,750	181,934	177,836		(713)			2.810	1.943	MON	430	5,080	04/26/2016	01/01/2025
3138LF-PC-6	FN AN3118 - CMBS/RMBS			4	1.A	265,391		104,842	262,105	250,000		(1,524)			3.070	2.243	MON	661	7,803	09/29/2016	10/01/2026
3138LG-2T-2	FN AN4385 - CMBS/RMBS			4	1.A	93,355		108,945	99,165	91,023		(320)			3.290	3.027	MON	258	3,045	01/04/2018	01/01/2027
3140JA-VB-1	FN BM6009 - CMBS/RMBS			4	1.A	259,391		106,288	251,302	257,032		(1,992)			3.010	2.176	MON	651	8,859	10/18/2019	06/01/2024
3136AU-ZX-2	FNA 2017-M1 A1 - CMBS			4	1.A	18,655		102,241	18,470	18,603		(56)			2.417	8.165	MON	37	625	12/20/2019	10/25/2026
2799999	U.S. Special Revenue - Commercial Mortgage-Back					992,417	X X X	1,020,690	956,412	979,554		(5,262)			X X X	X X X	X X X	2,494	30,802	X X X	X X X
641494-DT-3	NEVADA SYS HIGHER ED CTFS PA			1,2	1.D FE	60,563		112,260	60,000	60,352		(55)			4.471	4.350	JJ	1,341	2,683	11/16/2016	07/01/2036
2899999	U.S. Special Revenue - Other Loan-Backed and Str					60,563	X X X	67,356	60,000	60,352		(55)			X X X	X X X	X X X	1,341	2,683	X X X	X X X
3199999	Subtotals – U.S. Special Revenue					4,161,950	X X X	4,263,455	4,013,553	4,114,275		(11,221)			X X X	X X X	X X X	22,212	106,672	X X X	X X X
00287Y-AX-7	ABBVIE INC			1,2	2.B FE	50,270		105,143	50,000	50,021		(19)			2.850	2.813	MN	186	1,425	02/28/2019	05/14/2023
00914A-AF-9	AIR LEASE CORP			1,2	2.B FE	39,585		103,524	40,000	39,661		75			2.300	2.520	FA	383	503	01/07/2020	02/01/2025
023135-BQ-8	AMAZON.COM INC			1,2	1.E FE	44,982		101,467	45,000	44,984		2			0.800	0.808	JD	28	180	06/01/2020	06/03/2025
02665W-BG-5	AMERICAN HONDA FINANCE CORP			1	1.G FE	54,257		100,941	55,000	54,844		222			1.700	2.119	MS	291	935	05/14/2018	09/09/2021
031162-CT-5	AMGEN INC			1,2	2.A FE	40,420		107,201	40,000	40,381		(38)			2.200	2.030	FA	318	440	05/04/2020	02/21/2027
035240-AQ-3	ANHEUSER-BUSCH INBEV WORLD			1,2	2.A FE	69,780		123,490	70,000	69,815		18			4.750	4.790	JJ	1,459	3,325	01/10/2019	01/23/2029
037833-DM-9	APPLE INC			1,2	1.B FE	69,840		104,945	70,000	69,881		31			1.800	1.848	MS	385	1,260	09/04/2019	09/11/2024
00206R-CP-5	AT&T INC			1,2	2.B FE	201,900		121,344	200,000	201,559		(80)			4.500	4.424	MN	1,150	9,000	04/06/2016	05/15/2035
06051G-FP-9	BANK OF AMERICA CORP				2.A FE	79,764		112,729	80,000	79,879		25			3.950	3.989	AO	614	3,160	04/21/2015	04/21/2025

E10.3

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
			F o r e i g n	Bond CHAR	NAIC Designation, NAIC Designation Modifier and SVO Administrativ Symbol	Actual Cost	Rate Used To Obtain Fair Value	Fair Value	Par Value	Book / Adjusted Carrying Value	Unrealized Valuation Increase / (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other -Than- Temporary Impairment Recognized	Total Foreign Exchange Change in B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date
E104	06051G-HF-9			1,2,5	1.F FE	213,408	106.829	213,658	200,000	212,294		(1,114)			3.550	0.695	MS	2,288		10/19/2020	03/05/2024
	06367W-HH-9		C		1.F FE	74,846	108.461	81,346	75,000	74,901		30			3.300	3.345	FA	1,004	2,475	01/31/2019	02/05/2024
	064159-TE-6		C		1.F FE	54,931	103.274	56,801	55,000	54,953		22			1.950	1.992	FA	447	599	01/07/2020	02/01/2023
	06747P-SM-2		C	2	1.F FE	200,000	100.010	200,020	200,000	200,000					2.000	2.000	MN	356	2,000	05/28/2020	05/29/2024
	05552J-AA-7			2	2.B FE	104,363	106.189	106,189	100,000	104,182		(181)			2.500	1.298	FA	861		11/02/2020	08/27/2024
	092113-AR-0			1,2	2.A FE	44,845	109.039	49,068	45,000	44,862		14			3.050	3.090	AO	290	1,418	09/26/2019	10/15/2029
	105340-AQ-6			1,2	2.C FE	34,738	106.877	37,407	35,000	34,809		24			3.950	4.042	MN	177	1,383	11/09/2017	11/15/2027
	110122-DN-5			1,2	1.F FE	29,951	100.686	30,206	30,000	29,953		1			0.750	0.783	MN	30		11/09/2020	11/13/2025
	11135F-BC-4			1,2	2.C FE	40,046	114.597	40,109	35,000	39,647		(399)			4.700	1.433	AO	347	850	08/14/2020	04/15/2025
	134429-BE-8			1,2	2.B FE	39,909	106.775	42,710	40,000	39,958		18			3.650	3.700	MS	430	1,460	03/14/2018	03/15/2023
	140420-NB-2				2.A FE	202,662	105.675	211,350	200,000	200,888		(398)			3.375	3.157	FA	2,550	6,750	04/05/2016	02/15/2023
	14913Q-3A-5			1	1.F FE	29,958	102.788	30,836	30,000	29,976		14			1.900	1.948	MS	182	570	09/03/2019	09/06/2022
	172967-FT-3				1.G FE	220,400	104.268	208,536	200,000	203,889		(3,660)			4.500	2.584	JJ	4,175	9,000	04/05/2016	01/14/2022
	172967-JT-9				2.B FE	106,943	114.331	120,048	105,000	106,261		(254)			4.400	4.101	JD	270	4,620	02/13/2019	06/10/2025
	174610-AV-7			2	2.B FE	69,808	105.354	73,748	70,000	69,870		19			2.638	2.656	MS	467	13,704	05/22/2018	09/30/2032
	21684A-AF-3		C		2.A FE	73,300	113.016	84,762	75,000	73,920		169			3.750	4.041	JJ	1,250	2,813	02/13/2017	07/21/2026
	22160K-AP-0			1,2	1.E FE	44,921	102.470	46,112	45,000	44,926		5			1.600	1.619	AO	142	360	04/16/2020	04/20/2030
	225433-AC-5		C		2.A FE	69,521	111.021	72,164	65,000	68,752		(768)			3.750	2.310	MS	643	2,438	01/29/2020	03/26/2025
	126650-CW-8			1,2	2.B FE	113,574	113.245	113,245	100,000	112,201		(1,373)			4.100	1.026	MS	1,093	2,050	07/10/2020	03/25/2025
	126650-DM-9			1,2	2.B FE	49,469	100.464	50,232	50,000	49,486		17			1.300	1.463	FA	235		10/06/2020	08/21/2027
	25152R-5F-6		C		2.C FE	34,630	100.898	35,314	35,000	34,971		79			3.375	3.610	MN	161	1,181	05/25/2016	05/12/2021
	25470D-AL-3			1,2	2.C FE	35,583	117.929	41,275	35,000	35,409		(72)			4.900	4.634	MS	524	1,715	06/12/2018	03/11/2026
	26078J-AB-6			1,2	2.A FE	31,060	110.324	33,097	30,000	30,656		(221)			4.205	3.377	MN	161	1,262	02/20/2019	11/15/2023
	278642-AV-5			1,2	2.A FE	34,924	105.128	36,795	35,000	34,936		12			1.900	1.946	MS	203	333	03/04/2020	03/11/2025
	30161N-AU-5			1,2	2.B FE	202,078	112.635	225,270	200,000	201,157		(207)			3.400	3.275	AO	1,436	6,800	04/05/2016	04/15/2026
	337738-AU-2			1,2	2.B FE	36,925	114.186	39,965	35,000	36,708		(181)			3.500	2.833	JJ	613	1,249	10/10/2019	07/01/2029
	354613-AL-5			1,2	1.F FE	99,065	99.458	99,458	100,000	99,079		14			1.600	1.702	AO	320		10/22/2020	10/30/2030
	369550-BE-7			1	1.F FE	59,590	100.963	60,578	60,000	59,947		144			3.000	3.248	MN	250	1,800	11/13/2018	05/11/2021
	370334-CC-6				2.B FE	35,000	101.629	35,570	35,000	35,000					1.228	1.244	JAJO	88	828	04/03/2018	10/17/2023
	377372-AL-1			1	1.F FE	55,008	107.136	58,925	55,000	55,020		(8)			3.375	3.358	MN	237	1,856	02/27/2019	05/15/2023
	891906-AE-9			1,2	2.C FE	39,834	108.148	43,259	40,000	39,905		37			4.000	4.104	JD	133	1,600	01/22/2019	06/01/2023
	38141G-GQ-1				1.G FE	226,840	102.808	205,616	200,000	203,062		(5,264)			5.250	2.529	JJ	4,492	10,500	04/05/2016	07/27/2021
	38141G-WM-2			1,2,5	1.G FE	64,063	103.864	67,512	65,000	64,546		169			2.905	3.193	JJ	823	1,888	01/17/2018	07/24/2023
	421946-AK-0			1,2	2.B FE	14,894	113.304	16,996	15,000	14,922		10			3.625	3.709	JJ	251	544	11/27/2017	01/15/2028
	42225U-AD-6			1,2	2.B FE	20,732	113.244	22,649	20,000	20,601		(103)			3.500	2.889	FA	292	700	09/05/2019	08/01/2026
	24422E-TV-1				1.F FE	54,224	103.394	56,867	55,000	54,690					2.150	2.493	MS	371	1,183	05/07/2018	09/08/2022
	46625H-JJ-0				1.G FE	72,899	106.880	80,160	75,000	74,499		203			3.375	3.677	MN	422	2,531	05/22/2014	05/01/2023

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
			F o r e i g n	Bond CHAR	NAIC Designation, NAIC Designation Modifier and SVO Administrativ Symbol	Actual Cost	Rate Used To Obtain Fair Value	Fair Value	Par Value	Book / Adjusted Carrying Value	Unrealized Valuation Increase / (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other -Than- Temporary Impairment Recognized	Total Foreign Exchange Change in B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date
E105	46625H-QW-3			2	1.F FE	204,184	111.922	223,844	200,000	202,306		(421)			3.300	3.050	AO	1,650	6,600	04/05/2016	04/01/2026
	539439-AP-4		C	2,5	1.G FE	42,930	104.474	47,013	45,000	43,722		417			2.907	3.974	MN	196	1,308	01/24/2019	11/07/2023
	548661-DX-2			1,2	2.A FE	39,972	100.882	40,353	40,000	39,972		1			1.300	1.310	AO	100		10/07/2020	04/15/2028
	594918-BJ-2			1,2	1.A FE	74,525	111.794	83,846	75,000	74,682		59			3.125	3.220	MN	378	2,344	05/04/2018	11/03/2025
	617482-4M-3				2.A FE	83,650	107.750	86,200	80,000	80,984		(510)			4.875	4.169	MN	650		02/13/2017	11/01/2022
	65473Q-BE-2			1,2	2.B FE	29,995	113.393	34,018	30,000	29,997					3.490	3.492	MN	134	1,047	05/11/2017	05/15/2027
	682680-AS-2			1,2	2.B FE	29,954	111.504	33,451	30,000	29,968		4			4.000	4.019	JJ	560	1,200	07/10/2017	07/13/2027
	68389X-BT-1			1,2	1.G FE	79,970	107.476	85,981	80,000	79,975		4			2.500	2.508	AO	500	1,000	03/30/2020	04/01/2025
	69371R-N9-3				1.E FE	29,985	100.342	30,103	30,000	29,999		5			2.800	2.817	MS	280	840	02/22/2018	03/01/2021
	701094-AM-6			1,2	2.A FE	29,986	107.249	32,175	30,000	29,990		3			2.700	2.710	JD	38	810	06/05/2019	06/14/2024
	717081-ER-0			1	1.F FE	39,998	103.003	41,201	40,000	39,999		1			2.800	2.802	MS	342	1,120	03/04/2019	03/11/2022
	74052B-AA-5			1,2	2.A FE	55,000	105.071	57,789	55,000	55,000					2.911	2.912	MN	205	1,601	08/24/2016	11/15/2026
	742651-DP-4				1.A FE	79,702	106.743	85,394	80,000	79,906		25			2.450	2.485	JJ	904	1,960	06/26/2012	07/15/2024
	744573-AL-0			1,2	2.B FE	34,956	104.226	36,479	35,000	34,983		9			2.650	2.677	MN	119	928	11/02/2017	11/15/2022
	75886A-AJ-7			1,2	2.C FE	52,981	108.272	54,136	50,000	52,811		(170)			4.500	2.247	MN	375		11/02/2020	11/01/2023
	780082-AD-5		C		1.G FE	70,771	118.053	82,637	70,000	70,474		(83)			4.650	4.497	JJ	1,392	3,255	02/13/2017	01/27/2026
	78355H-KQ-1			1,2	2.B FE	28,722	115.873	28,968	25,000	28,416		(306)			4.625	1.365	JD	96	755	08/05/2020	06/01/2025
	80283L-AY-9		C		1.E FE	52,216	103.328	56,830	55,000	52,950		734			2.100	4.029	JJ	539	578	03/23/2020	01/13/2023
	82481L-AD-1		C	1,2	2.B FE	39,980	111.868	44,747	40,000	39,987		2			3.200	3.206	MS	348	1,280	09/19/2016	09/23/2026
	833034-AK-7			1,2	1.F FE	35,037	111.172	38,910	35,000	35,024		(4)			3.250	3.237	MS	379	1,138	02/16/2017	03/01/2027
	845437-BR-2			1,2	2.A FE	40,000	117.492	46,997	40,000	40,000					4.100	4.100	MS	483	1,640	09/11/2018	09/15/2028
	84756N-AF-6			1,2	2.A FE	19,923	110.268	22,054	20,000	19,958		9			3.500	3.555	MS	206	700	03/09/2015	03/15/2025
	863667-AQ-4			1,2	2.A FE	114,946	115.653	115,653	100,000	114,612		(334)			3.650	1.428	MS	1,156		10/29/2020	03/07/2028
	86562M-AQ-3		C		1.G FE	40,617	103.673	41,469	40,000	40,352		(224)			2.784	2.195	JJ	523	1,114	10/22/2019	07/12/2022
	87612E-BL-9			1,2	1.F FE	34,942	107.046	37,466	35,000	34,948		7			2.250	2.286	AO	166	427	03/26/2020	04/15/2025
	87938W-AR-4		C	1	2.C FE	36,817	109.328	38,265	35,000	35,709		(288)			4.570	3.651	AO	284	1,600	12/02/2016	04/27/2023
	872540-AV-1			1,2	1.F FE	64,875	100.505	65,328	65,000	64,877		1			1.150	1.177	MN	64		11/18/2020	05/15/2028
	89236T-GL-3			1	1.E FE	44,960	105.364	47,414	45,000	44,969		8			2.000	2.019	AO	210	900	10/02/2019	10/07/2024
	90265E-AL-4			1,2	2.A FE	30,000	109.760	32,928	30,000	30,000					2.950	2.950	MS	295	885	08/16/2016	09/01/2026
	91324P-CC-4			1	1.F FE	44,357	105.761	47,592	45,000	44,650		151			2.875	3.244	MS	381	1,294	01/15/2019	03/15/2023
	000000-00-0				1.D FE	12,000	100.000	12,000	12,000						0.900	0.900	N/A	85		03/20/2020	03/18/2022
	000000-00-0				Z	100,000	100.000	100,000	100,000	100,000					0.410	0.410	N/A	238		06/03/2020	11/24/2021
	92343V-DD-3			1	2.A FE	80,758	109.577	98,619	90,000	83,010		1,082			2.625	4.190	FA	893	2,363	11/13/2018	08/15/2026
	925524-AV-2			1	2.B FE	215,120	129.364	258,728	200,000	212,098		(701)			5.500	4.844	MN	1,406	11,000	04/06/2016	05/15/2033
	92553P-AM-4			1,2	2.B FE	198,270	102.284	204,568	200,000	199,563		289			3.125	3.280	JD	278	6,250	04/05/2016	06/15/2022
	254687-FX-9			1	1.G FE	42,774	109.631	43,852	40,000	42,653		(121)			2.650	1.920	JJ	671		06/24/2020	01/13/2031
	94106L-BA-6			1,2	2.A FE	54,033	109.482	60,215	55,000	54,394		132			3.125	3.410	MS	573	1,719	05/22/2018	03/01/2025

## E10.6

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SCHEDULE D - PART 2 - SECTION 1

Showing All PREFERRED STOCKS Owned December 31 of Current Year

1	2	Codes		5	6	7	8	Fair Value		11	Dividends			Change in Book/Adjusted Carrying Value					20	21
		3	4					9	10		12	13	14	15	16	17	18	19		
CUSIP Identification	Description	Code	F o r e i g n	Number of Shares	Par Value Per Share	Rate Per Share	Book/ Adjusted Carrying Value	Rate per Share Used to Obtain Fair Value	Fair Value	Actual Cost	Declared But Unpaid	Amount Received During Year	Nonadmitted Declared But Unpaid	Unrealized Valuation Increase / (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other -Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (15 + 16 - 17)	Total Foreign Exchange Change in B./A.C.V.	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Date Acquired
76882G-20-6	RIVERNORTH/DOUBLELINE STRATE		---	6,000,000	25.00		149,880	24.980	149,880	150,000		401		(120)			(120)		Z.	10/19/2020
8499999	Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred						149,880	X X X	149,880	150,000		401		(120)			(120)		X X X	X X X
8999999	Total Preferred Stocks						149,880	X X X	149,880	150,000		401		(120)			(120)		X X X	X X X

Book/Adjusted Carrying Value by NAIC Designation Category Footnote:

EN

1A \$	1B \$	1C \$	1D \$	1E \$	1F \$	1G \$
2A \$	2B \$	2C \$				
3A \$	3B \$	3C \$				
4A \$	4B \$	4C \$				
5A \$	5B \$	5C \$				
6 \$						

**NONE      Schedule D - Part 2 - Section 2**

SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
83162C-TN-3	SBAP 10201 A - ABS		02/10/2020	BNY/SUNTRUST CAPITAL MARKETS		173,764	167,659.78	2,422
912828-2D-1	UNITED STATES TREASURY		04/27/2020	Nomura International Trust Co		103,492	100,000.00	220
912828-R3-6	UNITED STATES TREASURY		04/06/2020	SALOMON BROTHERS INC		37,259	35,000.00	227
912828-R6-9	UNITED STATES TREASURY		04/24/2020	Nomura International Trust Co		364,533	350,000.00	2,315
912828-S3-5	UNITED STATES TREASURY		04/24/2020	Nomura International Trust Co		129,331	125,000.00	557
912828-S9-2	UNITED STATES TREASURY		04/24/2020	Various		468,534	455,000.00	1,134
912828-T2-6	UNITED STATES TREASURY		05/05/2020	RBC Dain Rauscher (US)		233,306	225,000.00	304
912828-Z9-4	UNITED STATES TREASURY		03/11/2020	Various		107,219	100,000.00	98
912828-ZB-9	UNITED STATES TREASURY		03/26/2020	MERRILL LYNCH FIXED INCOME		118,284	115,000.00	95
912828-ZC-7	UNITED STATES TREASURY		07/17/2020	JP MORGAN SECURITIES LIMITED		10,398	10,000.00	43
912828-ZE-3	UNITED STATES TREASURY		04/13/2020	GOLDMAN		200,156	200,000.00	48
912828-ZJ-2	UNITED STATES TREASURY		06/11/2020	NOMURA SECURITIES INTL INC		77,648	74,712.00	16
912828-ZN-3	UNITED STATES TREASURY		05/08/2020	SG AMERICAS SECURITIES, LLC		30,004	30,000.00	4
912828-ZR-4	UNITED STATES TREASURY		06/24/2020	Various		129,866	130,000.00	8
912828-ZT-0	UNITED STATES TREASURY		10/29/2020	TORONTO DOMINION SECS USA INC		319,200	320,000.00	332
91282C-AM-3	UNITED STATES TREASURY		09/25/2020	Wachovia Capital Markets		364,715	365,000.00	
91282C-AW-1	UNITED STATES TREASURY		12/02/2020	RBC CAPITAL MARKETS		60,054	60,000.00	7
0599999	Subtotal - Bonds - U. S. Government				X X X	2,927,763	2,862,371.78	7,830
133167-AK-2	CAMDEN TOWN DEL		10/20/2020	STIFEL NICHOLAUS & CO, INC		90,000	90,000.00	
2499999	Subtotal - Bonds - U.S. Political Subdivisions of States				X X X	90,000	90,000.00	
25483V-XD-1	DISTRICT COLUMBIA REV		11/17/2020	STIFEL NICHOLAUS & CO, INC		52,699	50,000.00	161
3130AJ-KM-0	FEDERAL HOME LOAN BANKS		05/22/2020	RAYMOND JAMES/FI		300,000	300,000.00	260
3134GV-6X-1	FEDERAL HOME LOAN MORTGAGE CORP		07/14/2020	RBC CAPITAL MARKETS		75,000	75,000.00	
3134GW-ND-4	FEDERAL HOME LOAN MORTGAGE CORP		08/05/2020	FIRST TENNESSEE BANK BOND DIVI		85,000	85,000.00	
3136G4-H6-3	FEDERAL NATIONAL MORTGAGE ASSOCIATION		08/06/2020	RBC CAPITAL MARKETS		85,000	85,000.00	
341271-AE-4	FLORIDA ST BRD ADMIN FIN CORP REV		09/03/2020	Merrill Lynch		50,000	50,000.00	
34710R-BE-9	FORT COLLINS COLO ELEC UTIL ENTERPRISE REV		10/20/2020	DA DAVIDSON		180,154	160,000.00	2,230
61945D-AY-7	MOSAIC DIST CMNTY DEV AUTH VA REV		10/21/2020	STIFEL NICHOLAUS & CO, INC		100,000	100,000.00	
64971X-US-5	NEW YORK N Y CITY TRANSITIONAL FIN AUTH REV		10/29/2020	TD SECURITIES		99,814	100,000.00	
73358W-4V-3	PORT AUTH N Y & N J		07/02/2020	CITIGROUP GLOBAL MKTS INC (TAXABLE FI)		35,000	35,000.00	
744712-CF-5	PUEBLO CITY-CNTY LIBR DIST COLO CTFS PARTN		10/27/2020	STIFEL NICHOLAUS & CO, INC		99,010	100,000.00	
79771P-L4-5	SAN FRANCISCO CALIF CITY & CNTY REDEV FING AUTH TA		11/17/2020	TD SECURITIES		69,608	50,000.00	863
3199999	Subtotal - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations				X X X	1,231,285	1,190,000.00	3,514
00914A-AF-9	AIR LEASE CORP	---	01/07/2020	MERRILL LYNCH FIXED INCOME		39,585	40,000.00	
023135-BQ-8	AMAZON.COM INC	---	06/01/2020	GOLDMAN		44,982	45,000.00	
031162-CT-5	AMGEN INC	---	05/04/2020	SALOMON BROTHERS INC		40,420	40,000.00	183
06051G-HF-9	BANK OF AMERICA CORP	---	10/19/2020	BNY Mellon		213,408	200,000.00	907

SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
064159-TE-6	BANK OF NOVA SCOTIA	C	01/07/2020	SCOTIA MCLEOD (USA) INC		54,931	55,000.00	
06747P-SM-2	BARCLAYS BANK PLC	C	05/28/2020	BOK FINANCIAL SECURITIES INC		200,000	200,000.00	22
05552J-AA-7	BBVA USA	---	11/02/2020	BNY Mellon		104,363	100,000.00	465
110122-DN-5	BRISTOL-MYERS SQUIBB CO	---	11/09/2020	SALOMON BROTHERS INC		29,951	30,000.00	
11135F-BC-4	BROADCOM INC	---	08/14/2020	MITSUBISHI UFJ SECURITIES		40,046	35,000.00	589
22160K-AP-0	COSTCO WHOLESALE CORP	---	04/16/2020	CREDIT SUISSE		44,921	45,000.00	
225433-AC-5	CREDIT SUISSE GROUP FUNDING (GUERNSEY) LTD	C	01/29/2020	CREDIT SUISSE		69,521	65,000.00	846
126650-CW-8	CVS HEALTH CORP	---	07/10/2020	BNY MELLON/TORONTO DOMINION SEC		113,574	100,000.00	1,241
126650-DM-9	CVS HEALTH CORP	---	10/06/2020	MERRILL LYNCH PROF CLRING CORP		49,469	50,000.00	85
278642-AV-5	EBAY INC	---	03/04/2020	MERRILL LYNCH FIXED INCOME		34,924	35,000.00	
354613-AL-5	FRANKLIN RESOURCES INC	---	10/22/2020	MORGAN STANLEY & CO		99,065	100,000.00	31
548661-DX-2	LOWE'S COMPANIES INC	---	10/07/2020	Wachovia Capital Markets		39,972	40,000.00	
68389X-BT-1	ORACLE CORP	---	03/30/2020	JP MORGAN SECURITIES LIMITED		79,970	80,000.00	
75886A-AJ-7	REGENCY ENERGY PARTNERS LP	---	11/02/2020	BNY Mellon		52,981	50,000.00	19
78355H-KQ-1	RYDER SYSTEM INC	---	08/05/2020	Wachovia Capital Markets		28,722	25,000.00	389
80283L-AY-9	SANTANDER UK PLC	C	03/23/2020	JANE STREET EXECUTION SERVICES LLC		52,216	55,000.00	231
863667-AQ-4	STRYKER CORP	---	10/29/2020	BANK OF AMER SECS LLC MONTG		114,946	100,000.00	558
87612E-BL-9	TARGET CORP	---	03/26/2020	SALOMON BROTHERS INC		34,942	35,000.00	
872540-AV-1	TJX COMPANIES INC	---	11/18/2020	JP MORGAN SECURITIES LIMITED		64,875	65,000.00	
000000-00-0	US BANK NA (MINNESOTA)	---	03/20/2020	US Bank Money Center		12,000	12,000.00	
000000-00-0	US BANK NA (MINNESOTA)	---	06/03/2020	US Bank Money Center		100,000	100,000.00	
254687-FX-9	WALT DISNEY CO	---	06/24/2020	MARKETAXESS CORPORATION		42,774	40,000.00	127
983919-AJ-0	XILINX INC	---	10/19/2020	BNY Mellon		107,338	100,000.00	1,147
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)				X X X	1,909,896	1,842,000.00	6,840
8399997	Subtotal - Bonds - Part 3				X X X	6,158,944	5,984,371.78	18,184
8399998	Summary Item from Part 5 for Bonds				X X X	271,011	265,000.00	1,047
8399999	Total - Bonds				X X X	6,429,955	6,249,372	19,231
76882G-20-6	RIVERNORTH/DOUBLELINE STRATEGIC OPPORTUNITY FUND I	---	10/19/2020	WEDBUSH MORGAN SEC	6,000.000	150,000		
8499999	Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred				X X X	150,000	X X X	
8999997	Subtotal - Preferred Stocks - Part 3				X X X	150,000	X X X	
8999999	Total - Preferred Stocks				X X X	150,000	X X X	
9899999	Total - Preferred and Common Stocks				X X X	150,000	X X X	
9999999	Totals				X X X	6,579,955	X X X	19,231



SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Ident- ification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amort- ization)/ Accretion	Current Year's Other -Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
83164L-MP-3	SBA 509366 - RMBS		12/01/2020	Paydown		4,826	4,825.67	4,934	4,932		(2)		(2)		4,930		(104)	(104)	94	05/25/2038
83164M-BN-8	SBA 509945 - RMBS		12/01/2020	Paydown		3,601	3,601.28	3,853	3,852		(2)		(2)		3,850		(249)	(249)	95	03/25/2042
83162C-RV-7	SBAP 2008-20F A - ABS		12/01/2020	Paydown		29,600	29,599.81	32,797	31,975		(176)		(176)		31,799		(2,200)	(2,200)	2,652	06/01/2028
83162C-SH-7	SBAP 2009-20B A - ABS		08/01/2020	Paydown		20,268	20,267.83	21,205	21,190		(25)		(25)		21,165		(897)	(897)	713	02/01/2029
83162C-TN-3	SBAP 2010-20I A - ABS		09/01/2020	Paydown		27,215	27,214.95	28,206			29		29		28,235		(1,020)	(1,020)	681	09/01/2030
83162C-WB-5	SBAP 2014-20 B A - ABS		08/01/2020	Paydown		38,696	38,696.03	40,969	40,662		(27)		(27)		40,634		(1,938)	(1,938)	918	02/01/2034
83162C-WX-7	SBAP 2015-20C A - ABS		09/01/2020	Paydown		27,125	27,125.09	27,939	27,834		(11)		(11)		27,822		(697)	(697)	542	03/01/2035
83162C-XA-6	SBAP 2015-20E E - ABS		11/01/2020	Paydown		30,279	30,278.74	29,333	29,323		15		15		29,339		940	940	687	05/01/2035
83164I-EZ-4	SBIC 2013-10B B - ABS		09/01/2020	Paydown		60,742	60,742.48	63,969	62,425		(116)		(116)		62,309		(1,566)	(1,566)	1,325	09/10/2023
912828-5F-3	UNITED STATES TREASUR		03/26/2020	GOLDMAN		31,206	30,000.00	30,223	30,148		(20)		(20)		30,129		1,077	1,077	391	10/15/2021
912828-5P-1	UNITED STATES TREASUR		03/05/2020	Wachovia Capital Markets		5,407	5,000.00	5,067	5,054		(2)		(2)		5,052		355	355	38	11/30/2023
912828-5V-8	UNITED STATES TREASUR		08/13/2020	Various		243,974	235,000.00	234,835	234,886		20		20		234,906		9,068	9,068	4,859	01/15/2022
912828-6B-1	UNITED STATES TREASUR		02/21/2020	Various		65,556	60,000.00	59,770	59,788		2		2		59,790		5,766	5,766	753	02/15/2029
912828-6H-8	UNITED STATES TREASUR		12/02/2020	Various		541,310	525,000.00	527,379	526,791		(653)		(653)		526,138		15,172	15,172	13,874	03/15/2022
912828-6T-2	UNITED STATES TREASUR		02/21/2020	HSBC SECURITIES INC.		10,798	10,000.00	10,321	10,306		(4)		(4)		10,302		496	496	64	05/15/2029
912828-7C-8	UNITED STATES TREASUR		03/05/2020	GOLDMAN		82,119	80,000.00	80,231	80,218		(15)		(15)		80,203		1,916	1,916	896	07/15/2022
912828-F2-1	UNITED STATES TREASUR		03/26/2020	GOLDMAN		61,685	60,000.00	59,452	59,632		48		48		59,681		2,005	2,005	624	09/30/2021
912828-G8-7	UNITED STATES TREASUR		11/06/2020	CITIGROUP GLOBAL MARKET		204,570	200,000.00	201,984	201,943		(818)		(818)		201,126		3,445	3,445	3,615	12/31/2021
912828-J8-4	UNITED STATES TREASUR		03/31/2020	Maturity @ 100.00		125,000	125,000.00	124,492	124,961		39		39		125,000				859	03/31/2020
912828-K5-8	UNITED STATES TREASUR		04/30/2020	Maturity @ 100.00		430,000	430,000.00	428,404	429,827		173		173		430,000				2,956	04/30/2020
912828-R3-6	UNITED STATES TREASUR		05/05/2020	MERRILL LYNCH FIXED INCOM		42,722	40,000.00	40,334	40,222		(12)		(12)		40,211		2,511	2,511	309	05/15/2026
912828-SA-9	UNITED STATES TREASUR		06/11/2020	SALOMON BROTHERS INC		91,907	90,948.00	86,412	91,254	(5,249)	(67)		(5,316)		85,938		5,969	5,969	104	01/15/2022
912828-UV-0	UNITED STATES TREASUR		03/31/2020	Maturity @ 100.00		100,000	100,000.00	98,813	99,910		90		90		100,000				563	03/31/2020
912828-V7-2	UNITED STATES TREASUR		09/25/2020	Various		220,375	215,000.00	213,206	214,033		288		288		214,321		6,054	6,054	4,230	01/31/2022
912828-VA-5	UNITED STATES TREASUR		04/30/2020	Maturity @ 100.00		250,000	250,000.00	246,641	249,665		335		335		250,000				1,406	04/30/2020
912828-W5-5	UNITED STATES TREASUR		09/25/2020	Various		128,119	125,000.00	124,555	124,966		8		8		124,973		3,146	3,146	2,494	02/28/2022
912828-W6-3	UNITED STATES TREASUR		03/15/2020	Maturity @ 100.00		350,000	350,000.00	351,586	350,112		(112)		(112)		350,000				2,844	03/15/2020
912828-X3-9	UNITED STATES TREASUR		06/11/2020	MERRILL LYNCH FIXED INCOM		80,181	79,353.00	75,356	78,766	(2,958)	117		(2,841)		75,925		4,256	4,256	66	04/15/2022
912828-X8-8	UNITED STATES TREASUR		11/19/2020	NOMURA SECURITIES INTL INC		33,472	30,000.00	31,910	31,824		(211)		(211)		31,613		1,859	1,859	730	05/15/2027
912828-XH-8	UNITED STATES TREASUR		06/30/2020	Maturity @ 100.00		1,575,000	1,575,000.00	1,579,245	1,575,712		(712)		(712)		1,575,000				12,797	06/30/2020
912828-YP-9	UNITED STATES TREASUR		04/13/2020	Various		56,070	55,000.00	54,901	54,905		14		14		54,919		1,151	1,151	365	10/31/2021
912828-Z9-4	UNITED STATES TREASUR		04/16/2020	HSBC SECURITIES INC.		43,327	40,000.00	42,955			(33)		(33)		42,922		405	405	107	02/15/2030
91282C-AM-3	UNITED STATES TREASUR		11/10/2020	BARCLAYS CAPITAL INC FIXED		29,714	30,000.00	29,977			1		1		29,977		(263)	(263)	9	09/30/2025
0599999	Subtotal - Bonds - U.S. Governments				X X X	5,044,864	4,982,652.88	4,991,254	4,897,116	(8,207)	(1,839)		(10,046)		4,988,209		56,657	56,657	62,660	X X X
57582P-WK-2	MASSACHUSETTS (COMM		12/01/2020	Call @ 100.00		15,000	15,000.00	15,027	15,005		(2)		(2)		15,003		(3)	(3)	630	12/01/2021
70914P-PQ-9	PENNSYLVANIA (COMMON		07/15/2020	Call @ 100.00		400,000	400,000.00	451,360	406,790		(6,790)		(6,790)		400,000				23,400	07/15/2030
1799999	Subtotal - Bonds - U.S. States, Territories and Possessions				X X X	415,000	415,000.00	466,387	421,795		(6,792)		(6,792)		415,003		(3)	(3)	24,030	X X X
747442-HV-6	QUAKER VALLEY PA SCH D		10/01/2020	Call @ 100.00		225,000	225,000.00	256,377	230,436		(5,436)		(5,436)		225,000				11,923	10/01/2025

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Ident- ification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amort- ization)/ Accretion	Current Year's Other -Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
2499999	Subtotal - Bonds - U.S. Political Subdivisions of States				X X X	225,000	225,000.00	256,377	230,436		(5,436)		(5,436)		225,000				11,923	X X X
3133EK-D7-8	FEDERAL FARM CREDIT B		01/28/2020	Call @ 100.00		230,000	230,000.00	230,000	230,000						230,000				2,538	08/21/2026
3130AE-AX-8	FEDERAL HOME LOAN BAN		05/14/2020	Call @ 100.00		100,000	100,000.00	100,000	100,000						100,000				1,750	05/14/2025
3130AG-R4-9	FEDERAL HOME LOAN BAN		07/29/2020	Call @ 100.00		225,000	225,000.00	224,663	224,680						224,704				5,895	01/29/2027
31395J-SP-2	FHR 2890 PE - CMO/RMBS		12/01/2020	Paydown		37,400	37,400.13	40,988	40,636		24		24		40,563		296	296	1,113	11/15/2034
34074G-DH-4	FLORIDA HURRICANE CAT		07/01/2020	Maturity @ 100.00		25,000	25,000.00	24,805	24,984		(72)		(72)		25,000		(3,163)	(3,163)	749	07/01/2020
31381T-7L-8	FN 470799 - CMBS/RMBS		12/01/2020	Paydown		4,381	4,381.14	4,476	4,464		(8)		(8)		4,456		(75)	(75)	77	10/01/2024
3138L8-X3-3	FN AM7897 - CMBS/RMBS		12/01/2020	Paydown		4,117	4,116.80	4,265	4,228		(7)		(7)		4,221		(104)	(104)	64	01/01/2025
3138LG-2T-2	FN AN4385 - CMBS/RMBS		12/01/2020	Paydown		1,421	1,420.54	1,457	1,448		(2)		(2)		1,446		(26)	(26)	26	01/01/2027
3138LM-GA-5	FN AN8292 - CMBS/RMBS		09/28/2020	Paydown		194,368	194,368.30	193,336	193,446		46		46		193,492		877	877	10,551	02/01/2028
3140JA-VB-1	FN BM6009 - CMBS/RMBS		12/01/2020	Paydown		18,204	18,204.04	18,790	18,763		(76)		(76)		18,687		(483)	(483)	376	06/01/2024
31418B-2V-7	FN MA2587 - RMBS		12/01/2020	Paydown		161,662	161,662.06	171,008	168,759		(67)		(67)		168,691		(7,029)	(7,029)	3,309	04/01/2036
3136AU-ZX-2	FNA 2017-M1 A1 - CMBS		12/01/2020	Paydown		14,673	14,673.28	14,820	14,823		(29)		(29)		14,794		(121)	(121)	329	10/25/2026
478497-TZ-3	JOHNSON CNTY KANS PUB		09/01/2020	Call @ 100.00		250,000	250,000.00	269,873	253,146		(3,146)		(3,146)		250,000				11,250	09/01/2025
83755L-MN-3	SOUTH DAKOTA ST BLDG		12/04/2020	Call @ 100.00		50,000	50,000.00	55,748	50,604		(604)		(604)		50,000				2,849	06/01/2026
3199999	Subtotal - Bonds - U. S. Special Rev. and Special Assessment and all Non-Guar.				X X X	1,316,226	1,316,226.29	1,354,229	1,329,981		(3,925)		(3,925)		1,326,054		(9,828)	(9,828)	40,876	X X X
00724F-AB-7	ADOBE SYSTEMS INC	--	02/01/2020	Various		55,048	55,000.00	61,294	55,093		(54)		(54)		55,039		8	8	1,217	02/01/2020
023771-S2-5	AMERICAN AIRLINES 2016-	--	10/15/2020	Paydown		10,050	10,049.98	9,801	9,843		9		9		9,852		198	198	245	04/15/2030
031162-BG-4	AMGEN INC	--	03/22/2020	Call @ 100.00		41,181	40,000.00	40,798	40,464		(84)		(84)		40,380		(380)	(380)	1,623	06/15/2021
00206R-CN-0	AT&T INC	--	08/12/2020	Not Provided		89,509	80,000.00	77,998	78,265		181		181		78,445		11,064	11,064	2,017	05/15/2025
053015-AD-5	AUTOMATIC DATA PROCE	--	08/15/2020	Call @ 100.00		35,000	35,000.00	34,969	34,995		5		5		34,999		1	1	722	09/15/2020
064159-KT-2	BANK OF NOVA SCOTIA	C	01/08/2020	SALOMON BROTHERS INC		55,349	55,000.00	54,776	54,898		2		2		54,900		449	449	695	01/08/2021
225433-AM-3	CREDIT SUISSE GROUP FU	C	01/29/2020	CREDIT SUISSE		66,158	65,000.00	65,542	65,181		(11)		(11)		65,170		988	988	642	04/16/2021
126650-CW-8	CVS HEALTH CORP	--	10/06/2020	MARKETAXESS CORPORATION		62,938	55,000.00	54,660	54,749		27		27		54,776		8,162	8,162	2,145	03/25/2025
278642-AE-3	EBAY INC	--	03/05/2020	SALOMON BROTHERS INC		35,855	35,000.00	32,932	33,947		61		61		34,008		1,848	1,848	592	07/15/2022
000000-00-0	First Republic Bank	--	01/20/2020	Maturity @ 100.00		1,028,924	1,028,924.26	1,028,924	1,028,924						1,028,924				6,406	01/20/2020
458140-AQ-3	INTEL CORP	--	07/29/2020	Various		75,063	75,000.00	77,218	75,354		(264)		(264)		75,090		(26)	(26)	1,568	07/29/2020
45866F-AC-8	INTERCONTINENTAL EXCH	--	06/25/2020	Call @ 100.00		75,630	75,000.00	75,273	75,064		(37)		(37)		75,026		(26)	(26)	1,799	12/01/2020
63946B-AE-0	NBCUNIVERSAL MEDIA LL	--	03/06/2020	Call @ 100.00		77,590	75,000.00	83,654	76,464		(205)		(205)		76,259		(1,259)	(1,259)	4,002	04/01/2021
655044-AP-0	NOBLE ENERGY INC	--	07/21/2020	Morgan Stanley		33,858	30,000.00	29,906	29,924		5		5		29,929		3,930	3,930	1,181	01/15/2028
68389X-BB-0	ORACLE CORP	--	03/31/2020	MERRILL LYNCH PROF CLRING		81,392	80,000.00	79,718	79,886		12		12		79,897		1,495	1,495	756	05/15/2022
78355H-KM-0	RYDER SYSTEM INC	--	08/05/2020	Wachovia Capital Markets		31,167	30,000.00	29,983	29,986		3		3		29,989		1,178	1,178	589	06/01/2022
80282K-AD-8	SANTANDER HOLDINGS U	--	03/18/2020	Call @ 100.00		55,000	55,000.00	54,728	54,969		22		22		54,991		9	9	611	04/17/2020
89236T-CF-0	TOYOTA MOTOR CREDIT C	--	03/12/2020	Maturity @ 100.00		25,000	25,000.00	24,802	24,977		23		23		25,000				269	03/12/2020
000000-00-0	US BANK NA	--	03/06/2020	Maturity @ 100.00		100,000	100,000.00	100,000	100,000						100,000				4,739	03/06/2020
000000-00-0	US BANK NA (MINNESOTA)	--	03/20/2020	Maturity @ 100.00		10,000	10,000.00	10,000	10,000						10,000				525	03/20/2020
000000-00-0	US BANK NA (MINNESOTA)	--	03/20/2020	Maturity @ 100.00		2,000	2,000.00	2,000	2,000						2,000				72	03/20/2020

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Ident- ification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amort- ization)/ Accretion	Current Year's Other -Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)				X X X	2,046,712	2,015,974.24	2,028,976	2,014,983		(305)		(305)		2,014,674		27,639	27,639	32,415	X X X
8399997	Subtotal - Bonds - Part 4				X X X	9,047,802	8,954,853	9,097,223	8,894,311	(8,207)	(18,297)		(26,504)		8,968,940		74,465	74,465	171,904	X X X
8399998	Summary Item from Part 5 for Bonds				X X X	271,469	265,000.00	271,011			(858)		(858)		270,153		1,315	1,315	2,802	X X X
8399999	Total - Bonds				X X X	9,319,271	9,219,853.41	9,368,234	8,894,311	(8,207)	(19,155)		(27,362)		9,239,093		75,780	75,780	174,706	X X X
E14.2																				
9999999	Totals					9,319,271	X X X	9,368,234	8,894,311	(8,207)	(19,155)		(27,362)		9,239,093		75,780	75,780	174,706	X X X

## SCHEDULE D - PART 5

Showing all Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21
											12	13	14	15	16					
CUSIP Ident- ification	Description	F o r e i g n	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consideration	Book/ Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amort- ization)/ Accretion	Current Year's Other -Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (12 + 13 - 14)	Total Foreign Exchange Change in B./A.C.V.	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends
912828-2F-6	UNITED STATES TREASURY		02/21/2020	BNP PARIBAS SECURITI	03/26/2020	GOLDMAN	40,000.000	39,839	40,491	39,848		9		9			642	642	258	219
912828-4N-7	UNITED STATES TREASURY		07/21/2020	MERRILL LYNCH FIXED I	11/19/2020	SALOMON BROTHER	25,000.000	29,541	29,036	29,349		(192)		(192)			(313)	(313)	377	135
912828-V7-2	UNITED STATES TREASURY		03/20/2020	Various	09/25/2020	RBC CAPITAL MARKE	80,000.000	81,455	81,869	81,033		(422)		(422)			836	836	1,365	476
0599999	Bonds - U.S. Governments						145,000.000	150,835	151,396	150,230		(605)		(605)			1,165	1,165	2,000	830
3135G0-X3-2	FEDERAL NATIONAL MORTGAGE A		03/05/2020	FIRST TENNESSEE BAN	07/17/2020	Call @ 100.00	85,000.000	85,253	85,000	85,000		(253)		(253)					797	217
3199999	Bonds - U.S. Special Rev. and Special Assessment and all Non-Guar. Obligations						85,000.000	85,253	85,000	85,000		(253)		(253)					797	217
822582-CF-7	SHELL INTERNATIONAL FINANCE B	C	04/01/2020	BARCLAYS CAPITAL INC	04/06/2020	TORONTO DOMINION	35,000.000	34,923	35,073	34,923							150	150	5	
3899999	Bonds - Industrial and Miscellaneous (Unaffiliated)						35,000.000	34,923	35,073	34,923							150	150	5	
8399998	Subtotal - Bonds						265,000.000	271,011	271,469	270,153		(858)		(858)			1,315	1,315	2,802	1,047
8999998	Subtotal - Preferred Stocks						X X X													
9899999	Subtotal - Stocks						X X X													
9999999	Totals						X X X	271,011	271,469	270,153		(858)		(858)			1,315	1,315	2,802	1,047

NONE	Schedule D - Part 6 - Section 1 and 2
NONE	Schedule DA - Part 1
NONE	Schedule DB - Part A - Section 1
NONE	Schedule DB - Part A - Section 2
NONE	Schedule DB - Part B - Section 1
NONE	Schedule DB - Part B - Section 2
NONE	Schedule DB - Part D - Section 1
NONE	Schedule DB - Part D - Section 2
NONE	Schedule DB - Part E
NONE	Schedule DL - Part 1
NONE	Schedule DL - Part 2



SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned December 31 of Current Year

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
	BANK OF THE WEST ADVANTAGE ACCT		12/31/2020	2.310	12/31/2020	353,761	4	12
	BANK OF THE WEST MONEY SAVINGS ACCOUNT		12/31/2020	0.001	12/31/2020	507,332		
	BANK OF THE WEST MONEY SAVINGS ACCOUNT		12/31/2020	0.007	12/31/2020	1,037,366		
	CITIZENS BANK MONEY MARKET ACCOUNT		12/31/2020		12/31/2020	255,555		613
	DREYFUS CASH MGT INST		03/31/2020	0.070	12/31/2020	100,000	1	115
	FIRST AMER:TRS OBG V		12/31/2020	0.010	12/31/2020	3,935,458	20	6,594
	UMPQUA BANK MONEY MARKET FUND		12/31/2020		12/31/2020	4,054,707		12,144
	WFB INSTITUTIONAL BANK DEPOSIT		12/31/2020	1.050	12/31/2020	1,227,919	10	1,823
8499999	Sweep Accounts					11,472,098	35	21,301
711991-00-0	TD BANK DEPOSIT SWEEP		12/01/2020		X X X	38,939	1	126
8AMMF0-41-6	US BANK MONEY MARKET (MMDA) 20 IT&C		12/02/2020	0.300	X X X	4,753		1
8699999	All Other Money Market Mutual Funds					43,692	1	127
	INSTITUTIONAL TRUST DEPOSIT ACCOUNT IV		12/31/2020		12/31/2020	555,548	40	53
	RBS CITIZENS NA CASH SWEEP		12/01/2020		12/31/2020			
8799999	Other Cash Equivalents					555,548	40	53
8899999	Total Cash Equivalents					12,071,338	76	21,481
8899999	Total Cash Equivalents					12,071,338	76	21,481

Book/Adjusted Carrying Value by NAIC Designation Category Footnote:

1A \$	1B \$	1C \$	1D \$	1E \$	1F \$	1G \$
2A \$	2B \$	2C \$				
3A \$	3B \$	3C \$				
4A \$	4B \$	4C \$				
5A \$	5B \$	5C \$				
6 \$						

SCHEDULE E – PART 3 – SPECIAL DEPOSITS

States, etc.	1	2	Deposits For the Benefit of All Policyholders		All Other Special Deposits	
			3	4	5	6
	Type of Deposit	Purpose of Deposit	Book/Adjusted Carrying Value	Fair Value	Book/Adjusted Carrying Value	Fair Value
1. Alabama	AL	B	AL RSD BY INS CODE TITLE 27-3-13		75,842	80,124
2. Alaska	AK					
3. Arizona	AZ					
4. Arkansas	AR					
5. California	CA	B	CA RSD BY INS CODE		110,205	112,450
6. Colorado	CO					
7. Connecticut	CT					
8. Delaware	DE					
9. District of Columbia	DC					
10. Florida	FL	B	FL RSD BY FS 624-411		112,391	118,430
11. Georgia	GA	B	GA RSD BY INS CODE SEC 33-3-9		34,880	36,650
12. Hawaii	HI					
13. Idaho	ID					
14. Illinois	IL	B	IL RSD BY INS CODE		1,008,815	1,060,801
15. Indiana	IN					
16. Iowa	IA					
17. Kansas	KS					
18. Kentucky	KY	B	KY RSD BY KRS 304-3-270		256,229	257,120
19. Louisiana	LA					
20. Maine	ME					
21. Maryland	MD					
22. Massachusetts	MA	B	MA RSD BY INS CODE		100,000	100,000
23. Michigan	MI					
24. Minnesota	MN					
25. Mississippi	MS					
26. Missouri	MO					
27. Montana	MT					
28. Nebraska	NE					
29. Nevada	NV	B	NV RSD BY INS CODE		203,707	218,372
30. New Hampshire	NH	B	NH RSD BY INS CODE		500,193	511,875
31. New Jersey	NJ	B	NJ RSD BY INS CODE		300,067	304,917
32. New Mexico	NM	B	NM RSD BY INS CODE		209,365	210,838
33. New York	NY					
34. North Carolina	NC	B	NC RSD BY INS CODE 58-5-10		4,907,905	4,920,130
35. North Dakota	ND					
36. Ohio	OH	B	OH RSD BY INS CODE		249,769	256,597
37. Oklahoma	OK	B	OK RSD BY INS CODE		299,235	303,680
38. Oregon	OR	B	OR RSD BY INS CODE		113,842	119,293
39. Pennsylvania	PA					
40. Rhode Island	RI					
41. South Carolina	SC	B	SC RSD BY INS CODE 38-9-80	1,051,880	233,306	232,567
42. South Dakota	SD	B	SD RSD BY INS CODE		128,409	128,829
43. Tennessee	TN					
44. Texas	TX					
45. Utah	UT					
46. Vermont	VT					
47. Virginia	VA	B	VA RSD BY INS CODE		260,959	274,543
48. Washington	WA					
49. West Virginia	WV					
50. Wisconsin	WI					
51. Wyoming	WY	C	WY RSD BY INS CODE		100,000	100,000
52. American Samoa	AS					
53. Guam	GU					
54. Puerto Rico	PR					
55. US Virgin Islands	VI					
56. Northern Mariana Islands	MP					
57. Canada	CAN					
58. Aggregate Other Alien and Other	OT	X X X	X X X			
59. Total		X X X	X X X	1,051,880	1,089,638	9,205,119
						9,347,216

DETAILS OF WRITE-INS						
5801.						
5802.						
5803.						
5898. Sum of remaining write-ins for Line 58 from overflow page	X X X	X X X				
5899. Totals (Lines 5801 - 5803 + 5898) (Line 58 above)	X X X	X X X				