

**QUARTERLY STATEMENT**

**OF THE**

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**WFG NATIONAL**

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**TITLE INSURANCE COMPANY**

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**of COLUMBIA**

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**in the state of SOUTH CAROLINA**

**TO THE**

**Insurance Department**

**OF THE**

**STATE OF**

**FOR THE QUARTER ENDED**

**September 30, 2022**

**TITLE**

**2022**



51152202220100103

# QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2022  
OF THE CONDITION AND AFFAIRS OF THE

## WFG National Title Insurance Company

<b>NAIC Group Code</b>	4736	4736	<b>NAIC Company Code</b>	51152	<b>Employer's ID Number</b>	57-0575396
	(Current Period)	(Prior Period)				
<b>Organized under the Laws of</b>	South Carolina			<b>State of Domicile or Port of Entry</b> SC		
<b>Country of Domicile</b>	USA					
<b>Incorporated/Organized</b>	June 18, 1974			<b>Commenced Business</b> November 14, 1974		
<b>Statutory Home Office</b>	2711 Middleburg Drive Suite 206			Columbia, SC US 29204		
	(Street and Number)			(City or Town, State, Country and Zip Code)		
<b>Main Administrative Office</b>	12909 SW 68th Parkway, Suite 350					
	(Street and Number)					
	Portland, OR	US	97223	704-542-7616		
	(City or Town, State, Country and Zip Code)			(Area Code)	(Telephone Number)	
<b>Mail Address</b>	7401 Carmel Executive Park Dr, Suite 105			Charlotte, NC US 28226-8403		
	(Street and Number or P.O. Box)			(City or Town, State, Country and Zip Code)		
<b>Primary Location of Books and Records</b>	7401 Carmel Executive Park Dr, Suite 105			Charlotte, NC US 28226-8403 704-542-7616		
	(Street and Number)			(City or Town, State, Country and Zip Code)	(Area Code)	(Telephone Number)
<b>Internet Website Address</b>	wfgnationaltitle.com					
<b>Statutory Statement Contact</b>	Michele Martin			704-542-7616		
	(Name)			(Area Code)	(Telephone Number)	(Extension)
	mmartin@willistonfinancial.com			704-542-7618		
	(E-Mail Address)			(Fax Number)		

### OFFICERS

	Name	Title
1.	Armen Steven Ozonian	Chief Executive Officer & President
2.	Joseph Vincent McCabe Jr	Exec VP, Secretary & General Counsel
3.	Michael Thomas Gallaher	Exec VP, CFO & Treasurer

### VICE-PRESIDENTS

Name	Title	Name	Title
Steven Huntington Winkler	Executive VP & Chief Underwriting Counsel	Cynthia Lee Tucker	Executive VP
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

### DIRECTORS OR TRUSTEES

Patrick Francis Stone	Armen Steven Ozonian	Michael Thomas Gallaher	Joseph Vincent McCabe Jr
Cynthia Lee Tucker	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

State of North Carolina

County of Mecklenburg ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) Armen Steven Ozonian _____ (Printed Name) 1. Chief Executive Officer & President _____ (Title)	_____ (Signature) Joseph Vincent McCabe Jr _____ (Printed Name) 2. Exec VP, Secretary & General Counsel _____ (Title)	_____ (Signature) Michael Thomas Gallaher _____ (Printed Name) 3. Exec VP, CFO & Treasurer _____ (Title)
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Subscribed and sworn to before me this  
7th day of November, 2022

a. Is this an original filing?  Yes  No  
b. If no: 1. State the amendment number \_\_\_\_\_  
2. Date filed \_\_\_\_\_  
3. Number of pages attached \_\_\_\_\_

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	52,201,552		52,201,552	20,628,150
2. Stocks:				
2.1 Preferred stocks	111,120		111,120	147,978
2.2 Common stocks	2,227,180		2,227,180	1,371,422
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens	10,793	10,793		
4. Real estate:				
4.1 Properties occupied by the company (less \$ 0 encumbrances)				
4.2 Properties held for the production of income (less \$ 0 encumbrances)				
4.3 Properties held for sale (less \$ 0 encumbrances)				
5. Cash (\$ 206,426,362), cash equivalents (\$ 12,398,881), and short-term investments (\$ 17,372,036)	236,197,280		236,197,280	262,403,022
6. Contract loans (including \$ 0 premium notes)				
7. Derivatives				
8. Other invested assets	9,810,234		9,810,234	10,417,704
9. Receivables for securities	271		271	108,282
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	300,558,430	10,793	300,547,637	295,076,558
13. Title plants less \$ 0 charged off (for Title insurers only)	4,023,862		4,023,862	4,023,862
14. Investment income due and accrued	249,008		249,008	110,273
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	3,716,555		3,716,555	4,843,908
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ 0 earned but unbilled premiums)				
15.3 Accrued retrospective premiums (\$ 0) and contracts subject to redetermination (\$ 0)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers				
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts				
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon				
18.2 Net deferred tax asset	5,747,964	2,288,028	3,459,936	3,139,472
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software	4,951,140	1,825,058	3,126,082	3,230,481
21. Furniture and equipment, including health care delivery assets (\$ 0)	1,342,659	1,342,659		
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates	2,887,772		2,887,772	4,606,602
24. Health care (\$ 0) and other amounts receivable				
25. Aggregate write-ins for other-than-invested assets	3,349,397	3,048,687	300,710	292,347
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	326,826,787	8,515,225	318,311,562	315,323,503
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	326,826,787	8,515,225	318,311,562	315,323,503

DETAILS OF WRITE-IN LINES				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501. Prepays	2,883,687	2,883,687		
2502. Deposits	294,447		294,447	284,243
2503. Unsecured Notes Receivable	165,000	165,000		
2598. Summary of remaining write-ins for Line 25 from overflow page	6,263		6,263	8,104
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	3,349,397	3,048,687	300,710	292,347

NONE

## LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Known claims reserve	16,413,987	9,513,506
2. Statutory premium reserve	165,120,900	156,753,032
3. Aggregate of other reserves required by law		
4. Supplemental reserve		
5. Commissions, brokerage and other charges due or accrued to attorneys, agents and real estate brokers		
6. Other expenses (excluding taxes, licenses and fees)	14,256,026	19,453,215
7. Taxes, licenses and fees (excluding federal and foreign income taxes)	8,647,827	11,635,799
8.1 Current federal and foreign income taxes (including \$ 0 on realized capital gains (losses))	1,850,002	1,998,348
8.2 Net deferred tax liability		
9. Borrowed money \$ 0 and interest thereon \$ 0		
10. Dividends declared and unpaid		
11. Premiums and other consideration received in advance	639,358	753,153
12. Unearned interest and real estate income received in advance		
13. Funds held by company under reinsurance treaties		
14. Amounts withheld or retained by company for account of others		
15. Provision for unauthorized and certified reinsurance		
16. Net adjustment in assets and liabilities due to foreign exchange rates		
17. Drafts outstanding		
18. Payable to parent, subsidiaries and affiliates		
19. Derivatives		
20. Payable for securities		254,512
21. Payable for securities lending		
22. Aggregate write-ins for other liabilities	594,714	909,300
23. Total liabilities (Lines 1 through 22)	207,522,814	201,270,865
24. Aggregate write-ins for special surplus funds		
25. Common capital stock	2,025,000	2,025,000
26. Preferred capital stock		
27. Aggregate write-ins for other-than-special surplus funds		
28. Surplus notes		
29. Gross paid in and contributed surplus	33,359,167	33,359,167
30. Unassigned funds (surplus)	75,404,581	78,668,471
31. Less treasury stock, at cost:		
31.1 0 shares common (value included in Line 25 \$ 0)		
31.2 0 shares preferred (value included in Line 26 \$ 0)		
32. Surplus as regards policyholders (Lines 24 to 30 less 31)	110,788,748	114,052,638
33. Totals (Page 2, Line 28, Col. 3)	318,311,562	315,323,503

DETAILS OF WRITE-INS		
0301. ....		
0302. ....		
0303. ....		
0398. Summary of remaining write-ins for Line 03 from overflow page		
0399. Totals (Lines 0301 through 0303 plus 0398) (Line 03 above)		
2201. Ceded reinsurance payable	594,714	909,300
2202. ....		
2203. ....		
2298. Summary of remaining write-ins for Line 22 from overflow page		
2299. Totals (Lines 2201 through 2203 plus 2298) (Line 22 above)	594,714	909,300
2401. ....		
2402. ....		
2403. ....		
2498. Summary of remaining write-ins for Line 24 from overflow page		
2499. Totals (Lines 2401 through 2403 plus 2498) (Line 24 above)		
2701. ....		
2702. ....		
2703. ....		
2798. Summary of remaining write-ins for Line 27 from overflow page		
2799. Totals (Lines 2701 through 2703 plus 2798) (Line 27 above)		

## OPERATIONS AND INVESTMENT EXHIBIT

STATEMENT OF INCOME	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>OPERATING INCOME</b>			
1. Title insurance and related income:			
1.1 Title insurance premiums earned	441,424,394	530,081,816	703,750,858
1.2 Escrow and settlement services	33,180,987	47,743,423	66,824,657
1.3 Other title fees and service charges	6,686,689	13,353,442	12,774,688
2. Aggregate write-ins for other operating income	1,322,922	441,184	869,434
3. Total Operating Income (Lines 1 through 2)	482,614,992	591,619,865	784,219,637
<b>EXPENSES:</b>			
4. Losses and loss adjustment expenses incurred	17,222,139	5,963,523	8,426,138
5. Operating expenses incurred	451,632,505	544,095,412	726,681,028
6. Aggregate write-ins for other operating expenses			
7. Total Operating Expenses	468,854,644	550,058,935	735,107,166
8. Net operating gain or (loss) (Lines 3 minus 7)	13,760,348	41,560,930	49,112,471
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	8,130,012	12,878,286	16,939,506
10. Net realized capital gains (losses) less capital gains tax of \$ (11,178)	(42,050)	21,938	24,392
11. Net investment gain (loss) (Lines 9 + 10)	8,087,962	12,900,224	16,963,898
<b>OTHER INCOME</b>			
12. Aggregate write-ins for miscellaneous income or (loss) or other deductions			
13. Net income, after capital gains tax and before all other federal income taxes (Lines 8 + 11 + 12)	21,848,310	54,461,154	66,076,369
14. Federal and foreign income taxes incurred	2,862,832	9,780,136	11,960,106
15. Net income (Lines 13 minus 14)	18,985,478	44,681,018	54,116,263
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
16. Surplus as regards policyholders, December 31 prior year	114,052,638	85,178,004	85,178,004
17. Net income (from Line 15)	18,985,478	44,681,018	54,116,263
18. Change in net unrealized capital gains or (losses) less capital gains tax of \$ 75,892	(896,175)	(334,711)	(870,734)
19. Change in net unrealized foreign exchange capital gain (loss)			
20. Change in net deferred income taxes	139,186	780,626	1,455,970
21. Change in nonadmitted assets	(1,492,379)	396,897	(826,865)
22. Change in provision for unauthorized and certified reinsurance			
23. Change in supplemental reserves			
24. Change in surplus notes			
25. Cumulative effect of changes in accounting principles			
26. Capital Changes:			
26.1 Paid in			
26.2 Transferred from surplus (Stock Dividend)			
26.3 Transferred to surplus			
27. Surplus Adjustments:			
27.1 Paid in			
27.2 Transferred to capital (Stock Dividend)			
27.3 Transferred from capital			
28. Dividends to stockholders	(20,000,000)		(25,000,000)
29. Change in treasury stock			
30. Aggregate write-ins for gains and losses in surplus			
31. Change in surplus as regards policyholders for the year (Lines 17 through 30)	(3,263,890)	45,523,830	28,874,634
32. Surplus as regards policyholders as of statement date (Lines 16 plus 31)	110,788,748	130,701,834	114,052,638
<b>DETAILS OF WRITE-IN LINES</b>			
0201. NON-WFG Policies	1,322,922	441,184	869,434
0202.			
0203.			
0298. Summary of remaining write-ins for Line 02 from overflow page			
0299. Totals (Lines 0201 through 0203 plus 0298) (Line 02 above)	1,322,922	441,184	869,434
0601.			
0602.			
0603.			
0698. Summary of remaining write-ins for Line 06 from overflow page			
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 06 above)			
1201.			
1202.			
1203.			
1298. Summary of remaining write-ins for Line 12 from overflow page			
1299. Totals (Lines 1201 through 1203 plus 1298) (Line 12 above)			
3001.			
3002.			
3003.			
3098. Summary of remaining write-ins for Line 30 from overflow page			
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)			

NONE

NONE

NONE

## CASH FLOW

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance	451,120,406	559,901,832	742,761,104
2. Net investment income	7,752,021	12,907,791	17,016,367
3. Miscellaneous income	41,190,598	61,538,049	80,468,778
4. Total (Lines 1 to 3)	500,063,025	634,347,672	840,246,249
5. Benefit and loss related payments	10,321,658	6,944,183	8,739,935
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	459,817,666	539,045,918	718,214,156
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ 0 tax on capital gains (losses)	3,000,000	10,859,000	13,559,000
10. Total (Lines 5 through 9)	473,139,324	556,849,101	740,513,091
11. Net cash from operations (Line 4 minus Line 10)	26,923,701	77,498,571	99,733,158
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	2,554,159	4,272,201	6,805,677
12.2 Stocks	311,671		
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains (or losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds			
12.8 Total investment proceeds (Lines 12.1 to 12.7)	2,865,830	4,272,201	6,805,677
13. Cost of investments acquired (long-term only):			
13.1 Bonds	34,086,774	4,294,293	6,736,070
13.2 Stocks	1,530,289		
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications			
13.7 Total investments acquired (Lines 13.1 to 13.6)	35,617,063	4,294,293	6,736,070
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(32,751,233)	(22,092)	69,607
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders	20,000,000		25,000,000
16.6 Other cash provided (applied)	(378,210)	(5,254,631)	(3,662,057)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(20,378,210)	(5,254,631)	(28,662,057)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(26,205,742)	72,221,848	71,140,708
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	262,403,022	191,262,314	191,262,314
19.2 End of period (Line 18 plus Line 19.1)	236,197,280	263,484,162	262,403,022

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			
20.0002			
20.0003			

## NOTES TO FINANCIAL STATEMENTS

### Note 1 Summary of Significant Accounting Policies and Going Concern

#### A. Accounting Practices

The accompanying financial statements of WFG National Title Insurance Company (the “Company”) have been prepared on the basis of accounting practices prescribed or permitted by the South Carolina Department of Insurance (“SCDOI”).

The SCDOI requires insurance companies domiciled in the state of South Carolina to prepare their statutory financial statements in accordance with the National Association of Insurance Commissioners’ (“NAIC”) *Accounting Practices and Procedures Manual* (“NAIC SAP”) subject to any deviations prescribed or permitted by the South Carolina Insurance Department. The SCDOI has not adopted any prescribed practices that materially differ from NAIC SAP and there are no permitted practices for the Company that differ from NAIC SAP or state prescribed accounting practices.

Reconciliations of net income and policyholders’ surplus between the amounts reported in the accompanying financial statements and NAIC SAP are shown below:

	2022	2021
	<u>YTD</u>	<u>Y/E12/31</u>
<b>NET INCOME</b>		
(1) WFG National Title Insurance Company state basis	\$18,985,478	\$54,116,263
(2) State Prescribed Practices that increase (decrease) NAIC SAP	\$0	\$0
(3) State Permitted Practices that increase (decrease) NAIC SAP	\$0	\$0
(4) NAIC SAP	<u>\$18,985,478</u>	<u>\$54,116,263</u>
<b>SURPLUS</b>		
(5) WFG National Title Insurance Company state basis	\$110,788,748	\$114,052,638
(6) State Prescribed Practices that increase (decrease) NAIC SAP	\$0	\$0
(7) State Permitted Practices that increase (decrease) NAIC SAP	\$0	\$0
(8) NAIC SAP	<u>\$110,788,748</u>	<u>\$114,052,638</u>

#### B. Use of Estimates in the Presentation of the Financial Statements – No Change

#### C. Accounting Policy – No Change.

#### D. Going Concern

Based upon management’s evaluation of relevant conditions and events, the Company has no substantial doubt about the entity’s ability to continue as a going concern within one year after the date the financial statements are issued.

### Note 2 Accounting Changes and Corrections of Errors

Not Applicable.

### Note 3 Business Combinations and Goodwill

Not Applicable.

### Note 4 Discontinued Operations

Not Applicable.

### Note 5 Investments

#### A. Mortgage Loans, including Mezzanine Real Estate Loans – Not Applicable.

#### B. Troubled Debt Restructuring for Creditors – Not Applicable.

#### C. Reverse Mortgages – Not Applicable.

#### D. Loan-Backed Securities

- (1) Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from broker-dealer survey values.
- (2) There was no OTTI recognized on any mortgage-backed/loan-backed or structured securities for the period ended September 30, 2022.
- (3) There was no OTTI recognized on any mortgage-backed/loan-backed or structured securities for the period ended September 30, 2022.
- (4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

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## NOTES TO FINANCIAL STATEMENTS

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a.	The aggregate amount of unrealized losses:		
		1. Less than 12 Months	119,886
		2. 12 Months or Longer	31,030
b.	The aggregate related fair value of securities with unrealized losses:		
		1. Less than 12 Months	1,620,009
		2. 12 Months or Longer	117,003

(5) Inherent in the Company's evaluation of a particular security are assumptions and estimates about the operations of the issuer and its future earnings potential. Some of the factors considered in evaluating whether a decline in fair value is other-than-temporary are: 1) the Company's intent to retain the investment for a period of time sufficient to allow for an anticipated recovery in value; 2) the recoverability of principal and interest; 3) the duration and extent to which the fair value has been less than amortized cost; 4) the financial condition, near-term and long-term prospects of the issuer, including relevant industry conditions and trends, and implications of rating agency actions and offering prices; and 5) the specific reasons that a security is in a significant unrealized loss position, including market conditions which could affect access to liquidity.

- E. Dollar Repurchase Agreements and/or Securities Lending Transactions – Not Applicable.
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing – Not Applicable.
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing – Not Applicable.
- H. Repurchase Agreements Transactions Accounted for as a Sale – Not Applicable.
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale – Not Applicable.
- J. Real Estate – Not Applicable.
- K. Low-Income Housing Tax Credits (LIHTC) – Not Applicable.
- L. Restricted Assets – No Change
- M. Working Capital Finance Investments – Not Applicable.
- N. Offsetting and Netting of Assets and Liabilities – Not Applicable.
- O. 5GI Securities – Not Applicable.
- P. Short Sales – Not Applicable.
- Q. Prepayment Penalty and Acceleration Fees – None.
- R. Reporting Entity's Share of Cash Pool – Not Applicable.

### Note 6 Joint Ventures, Partnerships and Limited Liability Companies

The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10% of its admitted assets.

### Note 7 Investment Income

No investment income due and accrued has been excluded.

### Note 8 Derivative Instruments

Not Applicable.

### Note 9 Income Taxes

No Material Change.

### Note 10 Information Concerning Parent, Subsidiaries and Affiliates

#### A. Nature of Relationship

The Company is a wholly owned subsidiary of Williston Financial Group, LLC ("WFG") and owns 100% of WFG National Title Company of Washington, a title and settlement agency.

#### B. Significant Transactions and Changes in terms of Intercompany Arrangements

There have been no significant transactions or changes to Intercompany agreements.



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## NOTES TO FINANCIAL STATEMENTS

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- C. Transactions with Related Parties Who Are Not Reported on Schedule Y – Not Applicable.
- D. Amounts Due to or from Related Parties – As of September 30, 2022 and December 31, 2021, there were balances due from related parties of \$2,887,772 and \$4,606,602, respectively.
- E. Management, Service Contracts, Cost Sharing Arrangements – No Significant Changes.
- F. Guarantees or Undertakings for Related Parties (Note 14A) – None.
- G. Nature of Relationships that Could Affect Operations – None.
- H. Amount Deducted for Investment in Upstream Company – Not Applicable.
- I. Detail of Investment in Affiliates Greater than 10% of Admitted Assets – No investments greater than 10% of Admitted Assets.
- J. Write-downs for Impairment of Investments in Affiliates – Not Applicable.
- K. Foreign subsidiary Valued Using CARVM – Not Applicable.
- L. Downstream Holding Company Valued Using Look-Through Method – Not Applicable.
- M. All SCA Investments – Not Applicable.
- N. Investment in Insurance SCA’s – None.
- O. SCA or SSAP 48 Entity Loss Tracking- Not Applicable.
- Note 11      Debt  
The Company does not have any outstanding liability for borrowed money.
- Note 12      Retirement Plan, Deferred Compensation, Postemployment Benefits and  
Compensated Absences and Other Postretirement Benefit Plans  
No Change
- Note 13      Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations  
No Change
- Note 14      Liabilities, Contingencies and Assessments  
No Change.
- Note 15      Leases  
No Material Change.
- Note 16      Information About Financial Instruments with Off-Balance-Sheet Risk and  
Financial Instruments with Concentrations of Credit Risk  
Not Applicable.
- Note 17      Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities  
Not Applicable.
- Note 18      Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured  
Portion of Partially Insured Plans  
Not Applicable – Title Company.
- Note 19      Direct Premiums Written /Produced by Managing General Agents/Third Party  
Administrators  
Not Applicable – Title Company.
- Note 20      Fair Value Measurements  
No Material Change.
- Note 21      Other Items  
A. Unusual and Infrequent Items – Not Applicable.

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## NOTES TO FINANCIAL STATEMENTS

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- B. Troubled Debt Restructuring for Debtors – Not Applicable.
- C. Other Disclosures- None.
- D. Business Interruption Insurance Recoveries – Not Applicable.
- E. State Transferable and Non-Transferable Tax Credits – Not Applicable.
- F. Subprime Mortgage Related Risk Exposure – Not Applicable.
- G. Insurance-Linked Securities (ILS) Contracts – Not Applicable.
- H. Realized amount on Life Insurance Where the Reporting entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy- Not Applicable.

### Note 22 Events Subsequent

None.

### Note 23 Reinsurance

Beginning January 1, 2016, the Company joined six other title insurers in a risk-purchasing group called the American Title Reinsurance Association ("ATRA"). Through an arrangement between insurance brokers located in the United States and the United Kingdom, ATRA executed individual treaties with five Lloyd's syndicates. In 2018 the number in the ATRA group increased to 10, who are listed on Schedule F of the annual statement. Beginning January 1, 2022, and retroactive to January 1, 2016, our coverage changed from retaining the first \$3 million of risk under each policy to retaining the first \$5 million of risk under each policy.

Under these treaties:

- a) WFG National Title retains the first \$5 million of risk under each policy.
- b) The amount in excess of \$5 million is reinsured up to \$20 million.
- c) Additional facultative placements are used to reinsure any risk above \$20 million; however, because of the administrative costs of placing facultative policies, WFG sometime retains an additional tier of risk on policies with liabilities between \$20 million and \$23 million.
- d) As of 5/31/20 WFG mutually dissolved the GAP reinsurance agreement with Connecticut Attorneys Title Insurance Company, which covered the states of MI and RI. Due to growth in WFG's surplus, this coverage was no longer required.

### Note 24 Retrospectively Rated Contracts and Contracts Subject to Redetermination

Not Applicable – Title Company.

### Note 25 Changes in Incurred Losses and Loss Adjustment Expenses

No Material Change

### Note 26 Intercompany Pooling Arrangements

Not Applicable – Title Company.

### Note 27 Structured Settlements

Not Applicable.

### Note 28 Supplemental Reserve

None.

# GENERAL INTERROGATORIES

## PART 1 – COMMON INTERROGATORIES

### GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [ X ]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ X ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [ X ]

2.2 If yes, date of change: \_\_\_\_\_

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [ X ] No [ ]

If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [ X ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.  
 .....  
 .....  
 .....

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [ ] No [ X ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. \_\_\_\_\_

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [ X ]

4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....	.....	.....
.....	.....	.....

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [ ] N/A [ X ]  
 If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. \_\_\_\_\_ 12/31/2020 \_\_\_\_\_

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. \_\_\_\_\_ 12/31/2020 \_\_\_\_\_

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). \_\_\_\_\_ 09/27/2021 \_\_\_\_\_

6.4 By what department or departments?  
 SC Department of Insurance .....

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [ X ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ ] No [ ] N/A [ X ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [ X ]

7.2 If yes, give full information  
 .....  
 .....  
 .....

## GENERAL INTERROGATORIES

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
 .....  
 .....  
 .....

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [ X ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?  
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules, and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code. Yes [ X ] No [ ]

9.11 If the response to 9.1 is No, please explain:  
 .....  
 .....  
 .....

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [ X ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).  
 .....  
 .....  
 .....

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [ X ]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).  
 .....  
 .....  
 .....

### FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ X ] No [ ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 2,887,772

### INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [ X ]

11.2 If yes, give full and complete information relating thereto:  
 .....  
 .....  
 .....

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ \_\_\_\_\_

13. Amount of real estate and mortgages held in short-term investments: \$ \_\_\_\_\_

## GENERAL INTERROGATORIES

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes  No

14.2 If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ _____	\$ _____
14.22 Preferred Stock .....	\$ _____	\$ _____
14.23 Common Stock .....	\$ _____	\$ _____
14.24 Short-Term Investments .....	\$ _____	\$ _____
14.25 Mortgage Loans on Real Estate .....	\$ _____	\$ _____
14.26 All Other .....	\$ 10,417,704	\$ 9,810,234
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	\$ 10,417,704	\$ 9,810,234
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ _____	\$ _____

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes  No

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?  
If no, attach a description with this statement. Yes  No  N/A

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$ _____
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$ _____
16.3 Total payable for securities lending reported on the liability page	\$ _____

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes  No

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Principal Custody Solutions .....	5665 SW Meadows Rd Suite 360, Lake Oswego, OR 97035 .....
U.S. Bank .....	555 SW Oak St, Portland, OR 97204 .....
Bank of the West .....	13300 Crossroads Parkway North, City of Industry, CA 91746 .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....	.....	.....
.....	.....	.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes  No

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....	.....	.....	.....
.....	.....	.....	.....

## GENERAL INTERROGATORIES

17.5 Investment management - Identify all investment advisors, investment managers, broker/dealers, Including individuals that have the authority to make investments decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [".that have access to the investment accounts";".handle securities"]

1 Name of Firm or Individual	2 Affiliation
BNY Mellon Wealth Management	U
Michael Thomas Gallaher, CFO	I

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [ ] No [X]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [ ] No [X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
105764	BNY Mellon Wealth Management	4EP6JBYBTPTQ47LZOB67	SEC	OS

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No [ ]

18.2 If no, list exceptions:

.....  
 .....  
 .....

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? Yes [ ] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- a. The security was purchased prior to January 1, 2018.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? Yes [ ] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- a. The shares were purchased prior to January 1, 2019.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- d. The fund only or predominantly holds bonds in its portfolio.
- e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [X]

## GENERAL INTERROGATORIES

### PART 2 - TITLE

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [ ] No [ ] N/A [X]  
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [X]  
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [ ] No [X]

3.2 If yes, give full and complete information thereto:

.....  
 .....  
 .....

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses discounted to present value at a rate of interest greater than zero? Yes [ ] No [X]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
<b>Total</b>										

5.1 Reporting entity assets listed on Page 2 include the following segregated assets of the Statutory Premium Reserve or other similar statutory reserves:

5.11 Bonds .....	\$ 52,201,552
5.12 Short-term investments .....	\$
5.13 Mortgages .....	\$
5.14 Cash .....	\$ 112,919,348
5.15 Other admissible invested assets .....	\$
5.16 Total .....	\$ 165,120,900

5.2 List below segregated funds held for others by the reporting entity, set apart in special accounts and excluded from entity assets and liabilities.

(These funds are also included in Schedule E - Part 1 and the "From Separate Accounts, Segregated Accounts and Protected Cell Accounts" line on Page 2 except for escrow funds held by Title insurers)

5.21 Custodial funds not included in this statement were held pursuant to the governing agreements of custody in the amount of: .....	\$ 70,509,010
These funds consist of:	
5.22 In cash on deposit .....	\$ 70,509,010
5.23 Other forms of security .....	\$

## SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
		Pools and Associations				
0	AA-1128623	BEAZLEY SYNDICATE 623/2623	UK	Authorized		
0	AA-1128003	XL CATLIN SYNDICATE 2003	UK	Authorized		
0	AA-1120102	RENAISSANCE RE SYNDICATE 1458	UK	Authorized		
0	AA-1127084	CHAUCER SYNDICATE 1084	UK	Authorized		
0	AA-1128987	BRIT SYNDICATE 2987	UK	Authorized		
0	AA-1440076	SIRIUS INTERNATIONAL INSURANCE CORP	SW	Authorized		
0	AA-3190917	LIBERTY SPECIALTY MARKETS BERMUDA LTD	BM	Authorized		
0	AA-3191289	FIDELIS INSURANCE BERMUDA LIMITED	BM	Authorized		
0	AA-1120175	FIDELIS UNDERWRITING LTD	UK	Authorized		



## SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

### Current Year To Date - By States and Territories

States, Etc.	1		Direct Premiums Written		Direct Losses and Allocated Loss Adjustment Expenses Paid (Deducting Salvage)		Direct Known Claim Reserve	
	Active Status (a)		2	3	4	5	6	7
			Current Year to Date	Prior Year to Date	Current Year to Date	Prior Year to Date	Current Year to Date	Prior Year to Date
1. Alabama	AL	L	1,429,762	1,397,130	22,334	18,843	24,949	21,190
2. Alaska	AK	L						
3. Arizona	AZ	L	5,393,214	6,776,697	2,547	7,642	10,608	3,155
4. Arkansas	AR	L	255,509	500,286	2,569	2,683		
5. California	CA	L	45,806,653	82,698,126	2,929,816	589,536	3,691,561	1,278,093
6. Colorado	CO	L	4,145,937	8,473,171	2,133	18,195	33,670	41,963
7. Connecticut	CT	L	734,604	723,732	13,386		6,615	
8. Delaware	DE	L	488,466	740,576	34,252		10,528	6,903
9. District of Columbia	DC	L	910,150	2,976,608	91,386	18,426	81,407	54,115
10. Florida	FL	L	89,574,535	82,924,231	1,396,289	629,252	2,357,982	1,417,552
11. Georgia	GA	L	6,177,884	4,884,357	416,511	199,824	1,579,692	783,678
12. Hawaii	HI	L	4,970					
13. Idaho	ID	L	371,935	548,182				
14. Illinois	IL	L	4,868,254	6,263,161	165,772	319,223	467,821	90,611
15. Indiana	IN	L	2,321,540	3,101,669	(264)	61,391	11,709	19,078
16. Iowa	IA	N	6,329	13,044				
17. Kansas	KS	L	975,644	1,095,210	833			
18. Kentucky	KY	L	222,110	2,851,867	6,741	(21,589)	126,780	
19. Louisiana	LA	L	14,209,236	16,380,491	281,250	279,034	193,635	136,971
20. Maine	ME	L	7,986	1,804				
21. Maryland	MD	L	10,816,063	17,776,707	451,074	49,453	778,295	142,426
22. Massachusetts	MA	L	4,548,560	5,325,790	391,945	354,347	490,606	419,421
23. Michigan	MI	L	16,161,875	22,918,242	59,051	29,350	60,200	62,604
24. Minnesota	MN	L	1,404,360	2,443,670	29,214	32,715	23,057	33,415
25. Mississippi	MS	L	185,388	323,586	220,236	156,971	21,545	218,060
26. Missouri	MO	L	1,854,220	1,850,581	12,779	15,837	23,023	26,296
27. Montana	MT	L	49,060	204,706				
28. Nebraska	NE	L	402,548	666,060				
29. Nevada	NV	L	9,832,337	11,350,909	204,968	837,899	135,006	56,433
30. New Hampshire	NH	L	276,378	2,232,350	321	(251,220)		13,788
31. New Jersey	NJ	L	16,439,336	17,210,156	176,585	150,321	115,456	61,662
32. New Mexico	NM	L	3,180,712	3,729,886	(32,393)	14,084	9,445	82,321
33. New York	NY	L	19,400,172	20,262,251	336,238	247,737	515,146	454,724
34. North Carolina	NC	L	22,034,427	24,615,821	254,006	63,946	98,482	224,651
35. North Dakota	ND	L	412,325	461,636				
36. Ohio	OH	L	6,251,093	10,867,864	26,440	24,991	23,489	35,804
37. Oklahoma	OK	L	2,259,048	3,607,311	16,439	1,825	145,756	138,255
38. Oregon	OR	L	19,305,117	27,606,058	61,685	210,321	340,963	145,444
39. Pennsylvania	PA	L	14,899,341	15,084,105	275,718	249,191	59,282	411,452
40. Rhode Island	RI	L	711,400	714,523	22,308	41,666	18,805	16,198
41. South Carolina	SC	L	7,417,292	12,186,481	111,753	224,217	407,956	337,624
42. South Dakota	SD	L	6,764	21,192				
43. Tennessee	TN	L	2,112,278	2,280,713	14,673	413	8,180	7,627
44. Texas	TX	L	75,846,720	79,562,880	1,364,265	1,931,685	2,704,669	1,118,978
45. Utah	UT	L	495,505	1,549,592	415,008	34,443	10,615	591,569
46. Vermont	VT	L	233					
47. Virginia	VA	L	8,445,217	15,207,536	90,639	90,016	767,523	62,056
48. Washington	WA	L	26,722,438	35,214,159	379,545	239,826	1,053,864	306,061
49. West Virginia	WV	L	347,228	456,613	13,624	13,784	2,962	10,264
50. Wisconsin	WI	L	508,574	1,335,969	28,496	32,104	2,705	16,201
51. Wyoming	WY	L						
52. American Samoa	AS	N						
53. Guam	GU	N						
54. Puerto Rico	PR	N						
55. U.S. Virgin Islands	VI	N						
56. Northern Mariana Islands	MP	N						
57. Canada	CAN	N						
58. Aggregate Other Alien	OT	X X X						
59. Totals		X X X	450,230,727	559,417,689	10,290,172	6,918,382	16,413,987	8,846,643

DETAILS OF WRITE-INS							
58001.		X X X					
58002.		X X X					
58003.		X X X					
58998.	Summary of remaining write-ins for Line 58 from overflow page						
58999.	Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)	X X X					

NONE

(a) Active Status Counts:

L – Licensed or Chartered - Licensed insurance carrier or domiciled RRG	50
E – Eligible - Reporting entities eligible or approved to write surplus lines in the state	_____
R - Registered - Non-domiciled RRGs	_____
Q - Qualified - Qualified or accredited reinsurer	_____
N – None of the above - Not allowed to write business in the state	7

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
David Dominik					
Golden Gate Private Equity, Inc.	DE		94-3361271	David Dominik	100
GGC Fund III	CYM				100
Nassau NAMCO Blocker LLC	CYM			Golden Gate Capital Opportunity Fund-A, L.P	Contract
Nassau NAMCO Splitter, L.P.	CYM			GGC Opportunity Fund Management, L.P.	Contract
Nassau Saybrus Blocker LLC	CYM			Golden Gate Capital Opportunity Fund-A, L.P	Contract
Nassau Saybrus Splitter, L.P.	CYM			GGC Opportunity Fund Management, L.P.	Contract
GGCOF Nassau Investments, L.P.	CYM		98-1472055	Golden Gate Capital Opportunity Fund, L.P.	Contract
GGCOF Executive Co-Invest, L.P.	CYM			Individuals	Contract
GGCOF Executive Co-Invest Holdings, Ltd.	CYM			Individuals	Contract
NRH, L.P.	CYM		98-1250709	GGCOF Executive Co-Invest Holdings, Ltd.	Contract
Nassau UK Ltd.	GBR		98-1269725	NRH, L.P.	100
Nassau Cayman Ltd.	CYM		N/A	Nassau UK Ltd.	100
Nassau Re (Cayman) Ltd.	CYM		N/A	NRH, L.P.	100
Nassau TRF SPV Ltd.	CYM		N/A	Nassau Re (Cayman) Ltd.	100
NKY CFO22 SPV LLC	DE		88-2822196	Nassau Re (Cayman) Ltd.	100
Nassau Financial Group GP Ltd.	CYM		98-1421610	Individuals	Contract
Nassau Financial Group, L.P.	CYM		98-1421752	Nassau Financial Group GP Ltd.	Contract
Nassau Asset Management LLC	DE		81-0773412	Nassau Financial Group, L.P.	100
Nassau CorAmerica LLC	DE		26-4145792	Nassau Asset Management LLC	100
Nassau CorAmerica Loan Company LLC	DE		26-4145792	Nassau CorAmerica LLC	100
Nassau CorAmerica Advisors LLC	DE		46-3781541	Nassau CorAmerica LLC	100
NCA Realty Partners LLC	DE		87-3252226	Nassau CorAmerica LLC	100
NCA Realty Partners GP I LLC	DE		87-4074805	Nassau CorAmerica LLC	100
NCA Realty Fund I LP	DE		87-4074125	NCA Realty Partners GP I LLC	Contract
NCARP SGP LLC	DE		87-3327045	Nassau CorAmerica LLC	
Nassau Corporate Credit LLC	DE		35-2586068	Nassau Asset Management LLC	100
NCC CLO Manager LLC	DE		N/A	Nassau Corporate Credit LLC	100
NCC Management LLC	DE		82-4813430	Nassau Corporate Credit LLC	100

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
Nassau Private Credit LLC	DE		83-2636471	Nassau Corporate Credit LLC	100
Nassau Private Credit GP LLC	DE		83-2654128	Nassau Corporate Credit LLC	100
Nassau Private Credit Onshore Fund LP	DE		83-2670988	Nassau Private Credit GP LLC	Contract
Nassau Private Credit Master Fund LP	CYM		N/A	Nassau Private Credit GP LLC	Contract
Nassau Private Credit Offshore Fund LP	CYM		98-1476251	Nassau Private Credit GP LLC	Contract
NPC SGP LLC	DE		84-2253380	Nassau Corporate Credit LLC	
Nassau NCC Blocker (UK) Ltd.	CYM			Nassau Corporate Credit LLC	100
NCC Management (UK) Ltd.	GBR			Nassau Corporate Credit LLC	100
Nassau Corporate Credit (UK) LLP	GBR			Nassau NCC Blocker (UK) Ltd.	99
Nassau Alternative Investments LLC	DE		83-2559102	Nassau Asset Management LLC	100
NAMCO Services LLC	DE		84-3366256	Nassau Asset Management LLC	100
Nassau BPC Holdings LLC	DE		87-3194014	Nassau Asset Management LLC	Contract
Nassau Distribution Holdco, LLC	DE		87-2341733	Nassau Financial Group, L.P.	100
NSRE Saybrus Holdings, LLC	DE		81-3180396	Nassau Financial Group, L.P.	100
Nassau Insurance Group Holdings GP, LLC	DE		47-5299012	Nassau Financial Group GP Ltd.	Contract
Nassau Insurance Group Holdings, L.P.	DE		38-3981572	Nassau Insurance Group Holdings GP, LLC	Contract
Nassau Re/Imagine LLC	DE			Nassau Insurance Group Holdings, L.P.	100
The Nassau Companies	DE		47-5128985	Nassau Insurance Group Holdings, L.P.	100
Nassau Life and Annuity Company	CT	93734	43-1240953	The Nassau Companies	100
Lynbrook Re, Inc.	VT	16585	83-4356685	Nassau Life and Annuity Company	100
Nassau Life Insurance Company of Kansas	KS	68284	48-0557726	Nassau Life and Annuity Company	100
Sunrise Re, Inc.	VT		84-3945103	The Nassau Companies	100
The Nassau Companies of New York	DE		06-1599088	The Nassau Companies	100
Nassau CLO SPV-I LLC	DE		82-3502154	The Nassau Companies of New York	56
Nassau CLO SPV-II LLC	DE		83-1785900	The Nassau Companies of New York	54.34
Nassau Life Insurance Company	NY	67814	06-0493340	The Nassau Companies of New York	100
PM Holdings, Inc.	CT		06-1065485	Nassau Life Insurance Company	100
Phoenix Founders, Inc.	CT		06-0860167	PM Holdings, Inc.	100

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
Nassau 2019 CFO LLC	DE		84-2346847	Nassau Life Insurance Company	76.73
Nassau 2019 CFO Fund LLC	DE		83-2511417	Nassau 2019 CFO LLC	100
Foresters Financial Holding Company, Inc.	DE		13-2608329	Nassau Life Insurance Company	100
Nassau Reinsurance LLC	DE		47-3724102	Nassau Insurance Group Holdings, L.P.	100
NSRE BD Holdco LLC	DE		83-2726475	Nassau Reinsurance LLC	100
1851 Securities, Inc.	DE		27-1619945	NSRE BD Holdco LLC	100
Nassau Cayman Brac Holding Company	DE		83-1443085	Nassau Insurance Group Holdings, L.P.	100
GGC Opportunity Fund Management GP, Ltd.	CYM			GGC Opportunity Fund Management GP, Ltd.	Board
GGC Opportunity Fund Management, L.P.	CYM		98-0546997	GGC Opportunity Fund Management GP, Ltd.	Contract
GGC Opportunity Fund Entities	CYM			GGC Opportunity Fund Management, L.P.	Contract
Williston Holdings LLC	DE		27-1434376	GGC Opportunity Fund Entities	96.0
Williston Financial Group LLC	DE		27-1434397	Williston Holdings LLC	100
Valustrust Solutions, LLC	KS		27-3972891	Williston Financial Group LLC	100
WFG Lender Services, LLC	MN		20-5836762	Williston Financial Group LLC	100
WFG Lender Services, LLC	AL		80-0936767	WFG Lender Services, LLC	100
WFG Lender Services, LLC	AR		80-0766075	WFG Lender Services, LLC	100
WFG Lender Services, LLC	TX		26-4627021	WFG Lender Services, LLC	100
WFGLS Title Agency of Utah, LLC	UT		20-8801713	WFG Lender Services, LLC	100
WFG Lender Services of Louisiana, LLC	LA		86-3788188	WFG Lender Services, LLC	100
WFG National Title Company of Texas, LLC	TX		27-0890944	Williston Financial Group LLC	100
Universal Title Partners, LLC	TX		27-1273851	WFG National Title Company of Texas, LLC	51.0
Universal Title Partners, LLC	TX		27-1273851	Newmark Homes Houston, LLC	49.0
WFG National Title Insurance Company	SC	51152	57-0575396	Williston Financial Group LLC	100
WFG National Title Company of Washington, LLC	WA		26-0522240	WFG National Title Insurance Company	100
Homer Recording District Joint Title Plant Company LLC	AK		47-2160319	Kachemak Bay Title Agency, Inc.	90.0
Homer Recording District Joint Title Plant Company LLC	AK		47-2160319	WFG National Title Insurance Company	10.0
MyHome, a Williston Financial Group Company, LLC	DE		45-2654474	Williston Financial Group LLC	100
ITC Holdings, Inc.	CA		80-0268853	Williston Financial Group LLC	100

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
WFG National Title Company of California	CA		95-3621359	ITC Holdings, Inc.	100
Alliance Title Agency, LLC	AZ		85-1213894	ITC Holdings, Inc.	40%
WFG National Title Company of Clark County WA, LLC	WA		38-4008723	Williston Financial Group LLC	100
19 <sup>th</sup> Street Palm Harbor LLC	DE		32-0642679	Williston Financial Group LLC	100
Polasek SPE 1002943 LLC	DE			Williston Financial Group LLC	100
Vorto, LLC	DE		36-4825366	GGC Opportunity Fund Entities	100
Vorto Technologies, LLC	DE		88-0704446	Vorto, LLC	100
5F Tours, LLC	DE		87-4682437	Vorto Technologies, LLC	100
LoHi Asset Leasing Marketplace, LLC	DE		86-2425276	Vorto Technologies, LLC	100
5F Logistics, LLC	DE		88-0708518	Vorto, LLC	100
LoHi Logistics Holdings, LLC	DE		85-1719300	5F Logistics, LLC	100
LoHi Logistics, LLC	DE		85-1719312	LoHi Logistics Holdings, LLC	100
5F Brokerage Holdings, LLC	DE		88-0847385	5F Logistics, LLC	100
5F Brokerage, LLC	DE		88-0847101	5F Brokerage Holdings, LLC	100
5F Transportation, LLC	DE		88-0729576	5F Logistics, LLC	100
Fifth Floor Freight Holdings, LLC	DE		86-1570396	5F Transportation, LLC	100
Fifth Floor Freight, LLC	DE		86-1571282	Fifth Floor Freight Holdings, LLC	100
Bihar Risk Retention Group LLC	SC		87-3377386	Fifth Floor Freight, LLC	98
Candlestick Freight Holdings, LLC	DE		87-1376141	5F Transportation, LLC	100
Candlestick Freight, LLC	DE		87-1376559	Candlestick Freight Holdings, LLC	100
Canary Freight Holdings, LLC	DE		87-1350347	5F Transportation, LLC	100
Canary Freight, LLC	DE		87-1350510	Canary Freight Holdings, LLC	100
Clarksburg Freight Holdings, LLC	DE		87-0932704	5F Transportation, LLC	100
Clarksburg Freight, LLC	DE		87-0932884	Clarksburg Freight Holdings, LLC	100
Snowshoe Mountain Freight Holdings, LLC	DE		87-0966101	5F Transportation, LLC	100
Snowshoe Mountain Freight, LLC	DE		87-0966556	Snowshoe Mountain Freight Holdings, LLC	100
5F Leasing, LLC	DE		88-0630459	5F Logistics, LLC	100
ALM Maintenance, LLC	DE		87-3422149	5F Logistics, LLC	100
Truck Fuel Finance, LLC	DE		86-3276000	5F Logistics, LLC	100

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP****PART 1 - ORGANIZATIONAL CHART**

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
FreightTrax, LLC	DE		88-3059826	5F Logistics, LLC	100
Linear Dispatch, LLC	DE		88-3196231	5F Logistics, LLC	100
Vorto Operations Holdings, Inc.	DE		85-4142584	Vorto, LLC	100
Vorto Operations, LLC	DE		85-4188478	Vorto, LLC	99.5
Vorto Operations International, LLC	DE		88-3064690	Vorto, LLC	100
Vorto Operations Mexico Holdings, LLC	DE		88-3088473	Vorto Operations International, LLC	100
Vorto Operations Mexico, S. de R.L. de C.V.	MX			Vorto Operations Mexico Holdings, LLC	99.5
PHL Holdings, LLC	DE		87-3491936	GGC Fund III	100
PHL Delaware LLC	DE			PHL Holdings, LLC	100
DSM Sands LLC	DE			PHL Delaware LLC	100
PHL Variable Insurance Company	CT	93548	06-1045829	PHL Delaware LLC	100
Concord Re, Inc.	CT		84-3620646	PHL Variable Insurance Company	100
Palisado Re, Inc.	CT		87-3539448	PHL Variable Insurance Company	100
Westgate Delaware LLC	DE			PHL Delaware LLC	100
Magni Re Ltd.	CYM			Westgate Delaware LLC	100

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Yes/No)	*
4736	GGC Group	0	06-1599088		1129033		The Nassau Companies of New York	DE	UDP	The Nassau Companies	Ownership	100.000	David Dominik		
4736	GGC Group	0					DSM Sands LLC	DE	NIA	PHL Delaware LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	82-3502154				Nassau CLO SPV-I LLC	DE	NIA	The Nassau Companies of New York	Ownership	56.000	David Dominik		1.00
4736	GGC Group	67814	06-0493340		1159567		Nassau Life Insurance Company	NY	RE	The Nassau Companies of New York	Ownership	100.000	David Dominik		
4736	GGC Group	0	06-1065485				PM Holdings, Inc.	CT	DS	Nassau Life Insurance Company	Ownership	100.000	David Dominik		
4736	GGC Group	0	27-1619945		1482939		1851 Securities, Inc.	DE	DS	NSRE BD Holdco LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	06-0860167				Phoenix Founders, Inc.	CT	DS	PM Holdings, Inc.	Ownership	100.000	David Dominik		
4736	GGC Group	93548	06-1045829		1031223		PHL Variable Insurance Company	CT	IA	PHL Delaware LLC	Ownership	100.000	David Dominik		
4736	GGC Group	93734	43-1240953		13118221		Nassau Life and Annuity Company	CT	IA	The Nassau Companies	Ownership	100.000	David Dominik		
4736	GGC Group	0					GGC Opportunity Fund Management GP, Ltd.	CYM	UIP	GGC Opportunity Fund Management GP, Ltd.	Board of Directors				
4736	GGC Group	0	98-0546997				GGC Opportunity Fund Management, L.P.	CYM	UIP	GGC Opportunity Fund Management, GP, Ltd.	Contract		GGC Opportunity Fund Management		
4736	GGC Group	0					GGC Opportunity Fund Entities	CYM	UIP	GGC Opportunity Fund Management, L.P.	Contract		GGC Opportunity Fund Management		2.00
4736	GGC Group	0	27-1434376				Williston Holdings LLC	DE	NIA	GGC Opportunity Fund Entities	Ownership	96.000	GGC Opportunity Fund Management		3.00
4736	GGC Group	0	27-1434397				Williston Financial Group LLC	DE	NIA	Williston Holdings LLC	Ownership	100.000	GGC Opportunity Fund Management		
4736	GGC Group	0	27-3972891				Valustrust Solutions, LLC	KS	NIA	Williston Financial Group LLC	Ownership	100.000	GGC Opportunity Fund Management		
4736	GGC Group	0	20-5836762				WFG Lender Services, LLC	MN	NIA	Williston Financial Group LLC	Ownership	100.000	GGC Opportunity Fund Management		
4736	GGC Group	0	80-0936767				WFG Lender Services, LLC	AL	NIA	WFG Lender Services, LLC	Ownership	100.000	GGC Opportunity Fund Management		
4736	GGC Group	0	80-0766075				WFG Lender Services, LLC	AR	NIA	WFG Lender Services, LLC	Ownership	100.000	GGC Opportunity Fund Management		
4736	GGC Group	0	26-4627021				WFG Lender Services, LLC	TX	NIA	WFG Lender Services, LLC	Ownership	100.000	GGC Opportunity Fund Management		
4736	GGC Group	0	20-8801713				WFG Lender Services, LLC	UT	NIA	WFG Lender Services, LLC	Ownership	100.000	GGC Opportunity Fund Management		
4736	GGC Group	0	27-0890944				WFG National Title Company of Texas, LLC	TX	NIA	Williston Financial Group LLC	Ownership	100.000	GGC Opportunity Fund Management		
4736	GGC Group	0	27-1273851				Universal Title Partners, LLC	TX	NIA	WFG National Title Company of Texas, LLC	Ownership	51.000	GGC Opportunity Fund Management		4.00
4736	GGC Group	0	27-1273851				Universal Title Partners, LLC	TX	NIA	Newmark Homes Houston, LLC	Ownership	49.000	Unknown (not affiliated with the GG		5.00
4736	GGC Group	51152	57-0575396				WFG National Title Insurance Company	SC	IA	Williston Financial Group LLC	Ownership	100.000	GGC Opportunity Fund Management		
4736	GGC Group	0	26-0522240				WFG National Title Company of Washington, LLC	WA	NIA	WFG National Title Insurance Company	Ownership	100.000	GGC Opportunity Fund Management		
4736	GGC Group	0	47-2160319				Homer Recording District Joint Title Plant Company LLC	AK	NIA	WFG National Title Insurance Company	Ownership	10.000	GGC Opportunity Fund Management		6.00
4736	GGC Group	0	47-2160319				Homer Recording District Joint Title Plant Company LLC	AK	NIA	Kachemak Bay Title Agency, Inc.	Ownership	90.000	Unknown (not affiliated with the GG		7.00
4736	GGC Group	0	45-2654474				MyHome, A Williston Financial Group Company, LLC	DE	NIA	Williston Financial Group LLC	Ownership	100.000	GGC Opportunity Fund Management		
4736	GGC Group	0	80-0268853				ITC Holdings, Inc.	CA	NIA	Williston Financial Group LLC	Ownership	100.000	GGC Opportunity Fund Management		
4736	GGC Group	0	95-3621359				WFG National Title Company of California	CA	NIA	ITC Holdings, Inc.	Ownership	100.000	GGC Opportunity Fund Management		
4736	GGC Group	0	38-4008723				WFG National Title Company of Clark County WA, LLC	WA	NIA	Williston Financial Group LLC	Ownership	100.000	GGC Opportunity Fund Management		
4736	GGC Group	0	94-3361271				Golden Gate Private Equity, Inc.	DE	NIA	David Dominik	Ownership	100.000	David Dominik		
4736	GGC Group	0	N/A				GGC Fund III	CYM	NIA		Ownership	100.000			8.00
4736	GGC Group	0	98-1250709				NRH, L.P.	CYM	NIA	GGCOF Executive Co-Invest Holdings, Ltd.	Contract				
4736	GGC Group	0	98-1269725				Nassau UK Ltd.	GBR	NIA	NRH, L.P.	Ownership	100.000	David Dominik		
4736	GGC Group	0	N/A				Nassau Cayman Ltd.	CYM	NIA	Nassau UK Ltd.	Ownership	100.000	David Dominik		
4736	GGC Group	0	N/A				Nassau Re (Cayman) Ltd.	CYM	IA	NRH, L.P.	Ownership	100.000	David Dominik		10.00
4736	GGC Group	0	N/A				Magni Re Ltd.	CYM	IA	Westgate Delaware LLC	Contract		David Dominik		
4736	GGC Group	0	47-5299012				Nassau Insurance Group Holdings GP, LLC	DE	UIP	Nassau Financial Group, GP Ltd.	Ownership	100.000	David Dominik		
4736	GGC Group	0	38-3981572				Nassau Insurance Group Holdings, L.P.	DE	UIP	Nassau Insurance Group Holdings GP, LLC	Contract		David Dominik		
4736	GGC Group	0	47-5128985				The Nassau Companies	DE	UIP	Nassau Insurance Group Holdings, L.P.	Ownership	100.000	David Dominik		
4736	GGC Group	68284	48-0557726				Nassau Life Insurance Company of Kansas	KS	IA	Nassau Life and Annuity Company	Ownership	100.000	David Dominik		

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Yes/No)	*
4736	GGC Group	0	81-3180396				NSRE Saybrus Holdings, LLC	DE	NIA	Nassau Financial Group, L.P.	Ownership	100.000	David Dominik		
4736	GGC Group	0	47-3724102				Nassau Reinsurance LLC	DE	NIA	Nassau Insurance Group Holdings, L.P.	Ownership	100.000	David Dominik		
4736	GGC Group	0	83-2726475				NSRE BD Holdco LLC	DE	NIA	Nassau Reinsurance LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	81-0773412				Nassau Asset Management LLC	DE	NIA	Nassau Financial Group, L.P.	Ownership	100.000	David Dominik		
4736	GGC Group	0	26-4145792				Nassau CorAmerica LLC	DE	NIA	Nassau Asset Management LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	26-4145792				Nassau CorAmerica Loan Company LLC	DE	NIA	Nassau CorAmerica LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	46-3781541				Nassau CorAmerica Advisors LLC	DE	NIA	Nassau CorAmerica LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	35-2586068				Nassau Corporate Credit LLC	DE	NIA	Nassau Asset Management LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	N/A				NCC CLO Manager LLC	DE	NIA	Nassau Corporate Credit LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	82-4813430				NCC Management LLC	DE	NIA	Nassau Corporate Credit LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	83-1443085				Nassau Cayman Brac Holding Company	DE	NIA	Nassau Insurance Group Holdings, LP	Ownership	100.000	David Dominik		
4736	GGC Group	0					Nassau TRF SPV Ltd.	CYM	NIA	Nassau Re (Cayman) Ltd	Ownership	100.000	David Dominik		
4736	GGC Group	0					Nassau NAMCO Blocker LLC	CYM	NIA	Golden Gate Capital Opportunity Fund-A, L.P	Ownership	100.000	David Dominik		
4736	GGC Group	0					Nassau NAMCO Splitter, L.P.	CYM	NIA	GGC Opportunity Fund Management, L.P.	Ownership	100.000	David Dominik		
4736	GGC Group	0					Nassau Saybrus Blocker LLC	CYM	NIA	Golden Gate Capital Opportunity Fund-A, L.P	Ownership	100.000	David Dominik		
4736	GGC Group	0					Nassau Saybrus Splitter, L.P.	CYM	NIA	GGC Opportunity Fund Management, L.P.	Ownership	100.000	David Dominik		
4736	GGC Group	0	98-1421610				Nassau Financial Group GP Ltd.	CYM	UIP	Individuals	Ownership	100.000	David Dominik		
4736	GGC Group	0	98-1421752				Nassau Financial Group, L.P.	CYM	UIP	Nassau Financial Group GP Ltd.	Ownership	100.000	David Dominik		
4736	GGC Group	0					PHL Delaware LLC	DE	NIA	PHL Holdings, LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	83-2559102				Nassau Alternative Investments LLC	DE	NIA	Nassau Asset Management LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	83-2636471				Nassau Private Credit LLC	DE	NIA	Nassau Corporate Credit LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	83-2654128				Nassau Private Credit GP LLC	DE	NIA	Nassau Corporate Credit LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	83-2670988				Nassau Private Credit Onshore Fund LP	DE	NIA	Nassau Private Credit GP LLC	Contract		David Dominik		
4736	GGC Group	0	N/A				Nassau Private Credit Master Fund LP	CYM	NIA	Nassau Private Credit GP LLC	Contract		David Dominik		
4736	GGC Group	0	98-1476251				Nassau Private Credit Offshore Fund LP	CYM	NIA	Nassau Private Credit GP LLC	Contract		David Dominik		
4736	GGC Group	0	83-1785900				Nassau CLO SPV-II LLC	DE	NIA	The Nassau Companies of New York	Ownership	54.340	David Dominik		11.00
4736	GGC Group	0	84-2346847				Nassau 2019 CFO LLC	DE	NIA	Nassau Life Insurance Company	Ownership	76.730	David Dominik		12.00
4736	GGC Group	0	84-2253380				NPC SGP LLC	DE	NIA	Nassau Corporate Credit LLC	Contract		David Dominik		
4736	GGC Group	16585	83-4356685				Lynbrook Re, Inc.	VT	IA	Nassau Life and Annuity Company	Ownership	100.000	David Dominik		
4736	GGC Group	0	84-3366256				NAMCO Services LLC	DE	NIA	Nassau Asset Management LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	83-2511417				Nassau 2019 CFO Fund LLC	DE	NIA	Nassau 2019 CFO LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0					Westgate Delaware LLC	DE	NIA	PHL Delaware LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	84-3945103				Sunrise Re, Inc.	VT	IA	The Nassau Companies	Ownership	100.000	David Dominik		
4736	GGC Group	0	84-3620646				Concord Re, Inc.	CT	IA	PHL Variable Insurance Company	Ownership	100.000	David Dominik		
4736	GGC Group	0	98-1472055				GGCOF Nassau Investments, L.P.	CYM	NIA	Golden Gate Capital Opportunity Fund, L.P.	Contract		David Dominik		
4736	GGC Group	0	85-1213894				Alliance Title Agency, LLC	AZ	NIA	ITC Holdings, Inc.	Ownership	40.000	David Dominik		13.00
4736	GGC Group	0					Nassau NCC Blocker (UK) Ltd.	CYM	NIA	Nassau Corporate Credit LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0					NCC Management (UK) Ltd.	GBR	NIA	Nassau Corporate Credit LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0					Nassau Corporate Credit (UK) LLP	GBR	NIA	Nassau NCC Blocker (UK) Ltd.	Ownership	99.000	David Dominik		14.00
4736	GGC Group	0					Nassau Re/Imagine LLC	DE	NIA	Nassau Insurance Group Holdings, L.P.	Ownership	100.000	David Dominik		
4736	GGC Group	0	13-2608329				Foresters Financial Holding Company	DE	NIA	Nassau Life Insurance Company	Ownership	100.000	David Dominik		
4736	GGC Group	0	32-0642679				19th Street Palm Harbor LLC	DE	NIA	Williston Financial Group LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	86-3788188				WFG Lender Services of Louisiana, LLC	LA	NIA	WFG Lender Services, LLC	Ownership	100.000	David Dominik		



# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Yes/No)	*
4736	GGC Group	0	87-3252226				NCA Realty Partners LLC	DE	NIA	Nassau CorAmerica LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	87-2341733				Nassau Distribution Holdco, LLC	DE	NIA	Nassau Financial Group, L.P.	Ownership	100.000	David Dominik		
4736	GGC Group	0	36-4825366				Vorto LLC	DE	NIA	GGC Opportunity Fund Entities	Ownership	100.000	David Dominik		
4736	GGC Group	0	85-1719300				LoHi Logistics Holdings, LLC	DE	NIA	5F Logistics, LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	85-1719312				LoHi Logistics, LLC	DE	NIA	LoHi Logistics Holdings, LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	85-4142584				Fifth Floor Freight Holdings, LLC	DE	NIA	5F Transportation, LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	86-1570396				Fifth Floor Freight, LLC	DE	NIA	Fifth Floor Freight Holdings, LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	87-3377386				Bihar Risk Retention Group LLC	SC	NIA	Fifth Floor Freight, LLC	Ownership	98.000	David Dominik		
4736	GGC Group	0	87-1376141				Candlestick Freight Holdings, LLC	DE	NIA	5F Transportation, LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	87-1376559				Candlestick Freight, LLC	DE	NIA	Candlestick Freight Holdings, LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	87-1350347				Canary Freight Holdings, LLC	DE	NIA	5F Transportation, LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	87-1350510				Canary Freight, LLC	DE	NIA	Canary Freight Holdings, LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	87-0932704				Clarksburg Freight Holdings, LLC	DE	NIA	Vorto, LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	87-0932884				Clarksburg Freight, LLC	DE	NIA	Clarksburg Freight Holdings, LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	87-0966101				Snowshoe Mountain Freight Holdings, LLC	DE	NIA	5F Transportation, LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	87-0966556				Snowshoe Mountain Freight, LLC	DE	NIA	Snowshoe Mountain Freight Holdings, LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	87-3491936				PHL Holdings, LLC	DE	NIA	GGC Fund III	Ownership	100.000	David Dominik		15.00
4736	GGC Group	0	87-3539448				Palisado Re, Inc.	CT	IA	PHL Variable Insurance Company	Ownership	100.000	David Dominik		
4736	GGC Group	0	87-4074805				NCA Realty Partners GP I LLC	DE	NIA	Nassau CorAmerica LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	87-4074125				NCA Realty Fund I LP	DE	NIA	NCA Realty Partners GP I LLC	Ownership	100.000	David Dominik		
4736	GGC Group	0	87-3327045				NCARP SGP LLC	DE	NIA	Nassau CorAmerica LLC	Ownership		David Dominik		
4376	GGC Group	0	87-3194014				Nassau BPC Holdings LLC	DE	NIA	Nassau Asset Management LLC	Ownership		David Dominik		
4376	GGC Group	0	88-0704446				Vorto Technologies, LLC	DE	NIA	Vorto, LLC	Ownership	100.000	David Dominik		
4376	GGC Group	0	87-4682437				5F Tours, LLC	DE	NIA	Vorto Technologies, LLC	Ownership	100.000	David Dominik		
4376	GGC Group	0	86-2425276				LoHi Asset Leasing Marketplace, LLC	DE	NIA	Vorto Technologies, LLC	Ownership	100.000	David Dominik		
4376	GGC Group	0	88-0708518				5F Logistics, LLC	DE	NIA	Vorto, LLC	Ownership	100.000	David Dominik		
4376	GGC Group	0	88-0847385				5F Brokerage Holdings, LLC	DE	NIA	5F Logistics, LLC	Ownership	100.000	David Dominik		
4376	GGC Group	0	88-0847101				5F Brokerage, LLC	DE	NIA	5F Brokerage Holdings, LLC	Ownership	100.000	David Dominik		
4376	GGC Group	0	88-0729576				5F Transportation, LLC	DE	NIA	5F Logistics, LLC	Ownership	100.000	David Dominik		
4376	GGC Group	0	88-0630459				5F Leasing, LLC	DE	NIA	5F Logistics, LLC	Ownership	100.000	David Dominik		
4376	GGC Group	0	87-3422149				ALM Maintenance, LLC	DE	NIA	5F Logistics, LLC	Ownership	100.000	David Dominik		
4376	GGC Group	0	86-3276000				Truck Fuel Finance, LLC	DE	NIA	5F Logistics, LLC	Ownership	100.000	David Dominik		
4376	GGC Group	0	85-4142584				Vorto Operations Holdings, Inc.	DE	NIA	Vorto, LLC	Ownership	100.000	David Dominik		
4376	GGC Group	0	85-4188478				Vorto Operations, LLC	DE	NIA	Vorto, LLC	Ownership	100.000	David Dominik		
4376	GGC Group	0	88-2822196				NKY CFO22 SPV LLC	DE	NIA	Nassau Re (Cayman) Ltd.	Ownership	100.000	David Dominik		
4376	GGC Group	0					Poiassek SPE 1002943 LLC	DE	NIA	Williston Financial Group LLC	Ownership	100.000	David Dominik		
4376	GGC Group	0	88-3196231				Linear Dispath, LLC	DE	NIA	5F Logistics, LLC	Ownership	100.000	David Dominik		
4376	GGC Group	0	88-3059826				Freigh Trax LLC	DE	NIA	5F Logistics, LLC	Ownership	100.000	David Dominik		
4376	GGC Group	0	88-3064690				Vorto Operations International, LLC	DE	NIA	Vorto, LLC	Ownership	100.000	David Dominik		
4376	GGC Group	0	88-3088473				Vorto Operations Mexico Holdings, LLC	DE	NIA	Vorto Operations International, LLC	Ownership	100.000	David Dominik		
4376	GGC Group	0					Vorto Operations Mexico, S. de R.L. de C.V.	MX	NIA	Vorto Operations Mexico Holdings, LLC	Ownership	99.500	David Dominik		

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Asterik	Explanation
1	Current and former employees of The Nassau Companies of New York and its affiliates own 40.25%.
2	The GGC Opportunity Fund Entities are comprised of: (i) Golden Gate Capital Opportunity Fund, L.P. (#98-0546998); (ii) Golden Gate Capital Opportunity Fund-A, L.P. (#98-0546999); (iii) GGCOF Co-Invest, L.P. (#98-0572954); (iv) GGCOF Third Party Co-
3	Invest, L.P. (#98-0572955); (v) GGCOF Executive Co-Invest, L.P. (#98-1033052); and (vi) GGCOF IRA Co-Invest, L.P. (#98-1033053).
4	Percentage represents the aggregate ownership among the GGC Opportunity Fund Entities.
5	Newmark Homes Houston, LLC (listed above) owns the remaining 49% of Universal Title Partners, LLC.
6	WFG National Title Company of Texas, LLC (listed above) owns the remaining 51% of Universal Title Partners, LLC.
7	Kachemak Bay Title Agency, Inc. (listed above) owns the remaining 90% of Homer Recording District Joint Title Plant Company LLC.
8	WFG National Title Insurance Company (listed above) owns the remaining 10% of Homer Recording District Joint Title Plant Company LLC.
9	"GGC Fund III" represents Golden Gate Capital Opportunity Fund, L.P.; Golden Gate Capital Opportunity Fund-A, L.P. and GGCOF IRA Co-Invest L.P.
10	Percentage represents the aggregate ownership among the GGC Fund III entities
11	Non-voting shares of Nassau Re (Cayman) Ltd. are held by Nassau Cayman Ltd.
12	Current and former employees of The Nassau Companies of New York, and its affiliates own 42.88%.
13	PHL Variable Insurance Company and an employee of The Nassau Companies of New York own 23.27%.
14	Alliance Partners, LLC owns the remaining 60% of Alliance Title Agency, LLC
15	NCC Management (UK) Ltd. owns 1%
16	GGC Fund III" represents Golden Gate Capital Opportunity Fund, L.P.; Golden Gate Capital Opportunity Fund-A, L.P. and GGCOF IRA Co-Invest L.P.
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## PART 1 – LOSS EXPERIENCE

	Current Year to Date				5 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Written	2 Other Income (Page 4, Lines 1.2 + 1.3 + 2)	3 Direct Losses Incurred	4 Direct Loss Percentage Cols. 3 / (1 + 2)	
1. Direct operations	41,644,402	30,714,679	3,098,356	4.30	0.80
2. Agency operations:					
2.1 Non-affiliated agency operations	334,665,898	10,317,637	9,634,874	2.80	1.00
2.2 Affiliated agency operations	73,920,427	158,282	4,488,909	6.10	1.00
3. Totals	450,230,727	41,190,598	17,222,139	3.50	1.00

## PART 2 – DIRECT PREMIUMS WRITTEN

	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Direct operations	11,484,558	41,644,402	67,950,088
2. Agency operations:			
2.1 Non-affiliated agency operations	100,547,448	334,665,898	376,689,273
2.2 Affiliated agency operations	24,511,017	73,920,427	114,778,328
3. Totals	136,543,023	450,230,727	559,417,689

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

### AUGUST FILING

1. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.

..... YES .....

1. Explanation

.....  
.....  
.....

**Bar Code:**

**OVERFLOW PAGE FOR WRITE-INS**

**Page 2 - Continuation**

**ASSETS**

	Current Year			Prior Year
	1	2	3	4
		Nonadmitted	Net Admitted	Net Admitted
	Assets	Assets	Assets	Assets
			(Cols. 1 - 2)	
<b>REMAINING WRITE-INS AGGREGATED AT LINE 25 FOR OTHER THAN INVESTED ASSETS</b>				
2504. Miscellaneous AR	6,263		6,263	8,104
2597. Totals (Lines 2501 through 2596) (Page 2, Line 2598)	6,263		6,263	8,104

**SCHEDULE A - VERIFICATION****Real Estate**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other-than-temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

**NONE****SCHEDULE B - VERIFICATION****Mortgage Loans**

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	10,793	10,793
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)	10,793	10,793
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	10,793	10,793
14. Deduct total nonadmitted amounts	10,793	10,793
15. Statement value at end of current period (Line 13 minus Line 14)		

**SCHEDULE BA - VERIFICATION****Other Long-Term Invested Assets**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	10,417,704	11,276,374
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		45,071
5. Unrealized valuation increase (decrease)	(486,844)	(742,907)
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation	120,626	160,834
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)	9,810,234	10,417,704
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	9,810,234	10,417,704

**SCHEDULE D - VERIFICATION****Bonds and Stocks**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	22,147,550	22,247,583
2. Cost of bonds and stocks acquired	35,617,063	6,736,070
3. Accrual of discount	134,376	14,068
4. Unrealized valuation increase (decrease)	(382,401)	(3,194)
5. Total gain (loss) on disposals	(53,229)	30,877
6. Deduct consideration for bonds and stocks disposed of	2,865,829	6,805,677
7. Deduct amortization of premium	57,678	81,283
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees		9,106
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9 + 10)	54,539,852	22,147,550
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	54,539,852	22,147,550

## SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

2022

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a)	16,468,454	49,982,068	195,760	118,909	16,999,913	16,468,454	66,373,671	17,239,480
2. NAIC 2 (a)	3,087,067			(3,185)	3,238,461	3,087,067	3,083,882	3,241,905
3. NAIC 3 (a)	124,774			(8,737)	138,164	124,774	116,037	146,765
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	19,680,295	49,982,068	195,760	106,987	20,376,538	19,680,295	69,573,590	20,628,150
<b>PREFERRED STOCK</b>								
8. NAIC 1	114,186			(3,066)	126,480	114,186	111,120	147,978
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock	114,186			(3,066)	126,480	114,186	111,120	147,978
15. Total Bonds & Preferred Stock	19,794,481	49,982,068	195,760	103,921	20,503,018	19,794,481	69,684,710	20,776,128

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 0; NAIC 2 \$ 0; NAIC 3 \$ 0; NAIC 4 \$ 0; NAIC 5 \$ 0; NAIC 6 \$ 0

### SCHEDULE DA - PART 1

#### Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
7709999999	17,372,036	X X X	17,372,036	49,500	49,128

### SCHEDULE DA - VERIFICATION

#### Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of short-term investments acquired	17,372,036	
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals		
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	17,372,036	
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	17,372,036	



**NONE Schedule DB - Part A and B Verification**

**NONE Schedule DB - Part C - Section 1**

**NONE Schedule DB - Part C - Section 2**

**NONE Schedule DB - Verification**

## SCHEDULE E PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	12,464,949	12,071,336
2. Cost of cash equivalents acquired	52,455,528	16,888,568
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	52,521,596	16,494,955
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	12,398,881	12,464,949
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	12,398,881	12,464,949

**NONE**    **Schedule A - Part 2 and 3**

**NONE**    **Schedule B - Part 2 and 3**

**NONE**    **Schedule BA - Part 2 and 3**

### SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

E4

1 CUSIP Ident- ification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
912828-2D-1	UNITED STATES TREASURY		08/12/2022	MORGAN STANLEY & CO		2,159,008	2,200,000.00	13,810	1.A
912828-5D-8	UNITED STATES TREASURY		09/13/2022	WELLS FARGO SECURITIES, LLC		227,727	230,000.00	3,017	1.A
912828-5P-1	UNITED STATES TREASURY		08/12/2022	WELLS FARGO SECURITIES, LLC		2,189,430	2,200,000.00	13,134	1.A
912828-5U-0	UNITED STATES TREASURY		08/12/2022	WELLS FARGO SECURITIES, LLC		2,181,695	2,200,000.00	7,219	1.A
912828-6G-0	UNITED STATES TREASURY		07/12/2022	CREDIT AGRICOLE SECURITIES (USA), INC.		39,572	40,000.00	349	1.A
912828-T2-6	UNITED STATES TREASURY		08/12/2022	FIRST TENNESSEE BANK NA		2,156,344	2,200,000.00	11,323	1.A
912828-T9-1	UNITED STATES TREASURY		08/12/2022	STIFEL NICHOLAUS & CO, INC		2,158,492	2,200,000.00	10,395	1.A
912828-XX-3	UNITED STATES TREASURY		08/15/2022	CITIGROUP GLOBAL MARKETS INC.		2,152,820	2,200,000.00	5,620	1.A
91282C-AP-6	UNITED STATES TREASURY		08/12/2022	INTL FCStone Financial Inc.		2,121,711	2,200,000.00	917	1.A
91282C-BR-1	UNITED STATES TREASURY		08/15/2022	CITIGROUP GLOBAL MARKETS INC.		2,100,398	2,200,000.00	2,302	1.A
91282C-BV-2	UNITED STATES TREASURY		08/15/2022	STIFEL NICHOLAUS & CO, INC		2,100,055	2,200,000.00	2,773	1.A
91282C-CC-3	UNITED STATES TREASURY		08/15/2022	STIFEL NICHOLAUS & CO, INC		2,090,773	2,200,000.00	1,390	1.A
91282C-DM-0	UNITED STATES TREASURY		08/12/2022	WELLS FARGO SECURITIES, LLC		2,124,031	2,200,000.00	2,284	1.A
91282C-DR-9	UNITED STATES TREASURY		08/12/2022	INTL FCStone Financial Inc.		2,126,180	2,200,000.00	2,063	1.A
91282C-DV-0	UNITED STATES TREASURY		08/15/2022	CITIGROUP GLOBAL MARKETS INC.		2,127,039	2,200,000.00	837	1.A
91282C-EA-5	UNITED STATES TREASURY		08/15/2022	INTL FCStone Financial Inc.		2,144,570	2,200,000.00	15,155	1.A
91282C-EP-2	UNITED STATES TREASURY		07/18/2022	J.P. MORGAN SECURITIES LLC		79,091	80,000.00	406	1.A
91282C-FA-4	UNITED STATES TREASURY		08/15/2022	STIFEL NICHOLAUS & CO, INC		2,416,096	2,425,000.00	3,163	1.A
0109999999	Bonds - U. S. Government				X X X	32,695,032	33,575,000.00	96,157	X X X
3130AS-5R-6	FEDERAL HOME LOAN BANKS		05/23/2022	PIPER SANDLER & CO.		(85,000)	(85,000.00)		1.A
0909999999	Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations				X X X	(85,000)	(85,000.00)		X X X
2509999997	Subtotal - Bonds - Part 3				X X X	32,610,032	33,490,000.00	96,157	X X X
2509999998	Summary Item from Part 5 for Bonds (N/A for Quarterly)				X X X	X X X	X X X	X X X	X X X
2509999999	Subtotal - Bonds				X X X	32,610,032	33,490,000.00	96,157	X X X
128119-86-4	CALAMOS: CONVERTIBLE I		07/01/2022	Not Available		2,555.910	40,000		
31420B-30-0	FEDERATED HRMS IN HYB IS		07/01/2022	Not Available		5,988.020	50,000		
74253Q-41-6	PRINCIPAL:SP PRF SI INST		07/01/2022	Not Available		2,812.150	25,000		
922031-73-7	VANGUARD INFL-PROT ADM		07/01/2022	Not Available		1,973.170	50,000		
922031-82-8	VANGUARD INTERM-TERM TREASURY ADM		07/01/2022	Not Available		9,523.810	100,000		
92913M-73-2	VOYA:SECURITIZED CR I		07/01/2022	Not Available		1,081.080	10,000		
5329999999	Common Stock - Mutual Funds - Designations Not Assigned by the SVO				X X X	275,000	X X X		X X X
6009999999	Totals				X X X	32,885,032	X X X	96,157	X X X

### SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	For eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amort-ization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractua Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	
83162C-RV-7	SBAP 2008-20F A - ABS		06/01/2022	Paydown															8	06/01/2028	1.A	
83162C-SH-7	SBAP 2009-20B A - ABS		08/01/2022	Paydown		5,995	5,994.86	6,272	6,219		(15)		(15)		6,204		(210)	(210)	285	02/01/2029	1.A	
83162C-TN-3	SBAP 2010-20I A - ABS		09/01/2022	Paydown		12,170	12,169.91	12,613	12,570		(25)		(25)		12,545		(375)	(375)	391	09/01/2030	1.A	
83162C-WB-5	SBAP 2014-20 B A - ABS		08/01/2022	Paydown		14,264	14,263.95	15,102	14,911		(24)		(24)		14,888		(624)	(624)	484	02/01/2034	1.A	
83162C-WX-7	SBAP 2015-20C A - ABS		09/01/2022	Paydown		15,325	15,324.77	15,785	15,687		(13)		(13)		15,673		(348)	(348)	447	03/01/2035	1.A	
83164L-MP-3	SBA 509366 - RMBS		09/01/2022	Paydown		578	577.90	591	590						589		(11)	(11)	8	05/25/2038	1.A	
83164M-BN-8	SBA 509945 - RMBS		09/01/2022	Paydown		3,004	3,003.65	3,214	3,203		(3)		(3)		3,200		(196)	(196)	65	03/25/2042	1.A	
912828-5P-1	UNITED STATES TREASURY		07/18/2022	CREDIT AGRICOLE SEC		34,844	35,000.00	37,131	36,691		(482)		(482)		36,209		(1,365)	(1,365)	638	11/30/2023	1.A	
912828-5Z-9	UNITED STATES TREASURY		07/18/2022	HSBC SECURITIES INC.		44,518	45,000.00	45,076	45,033		(8)		(8)		45,024		(506)	(506)	1,088	01/31/2024	1.A	
0109999999	Bonds - U. S. Government				X X X	130,698	131,335.04	135,784	134,904		(570)		(570)		134,332		(3,635)	(3,635)	3,414	X X X	X X X	
31381T-7L-8	FN 470799 - CMBS/RMBS		09/01/2022	Paydown		1,197	1,196.90	1,223	1,209		(3)		(3)		1,206		(9)	(9)	25	10/01/2024	1.A	
3138L8-X3-3	FN AM7897 - CMBS/RMBS		09/01/2022	Paydown		1,114	1,113.75	1,154	1,134		(3)		(3)		1,131		(17)	(17)	21	01/01/2025	1.A	
3138LG-2T-2	FN AN4385 - CMBS/RMBS		09/01/2022	Paydown		391	390.80	401	396		(1)		(1)		395		(4)	(4)	9	01/01/2027	1.A	
3138LG-AW-6	FN AN3620 - CMBS/RMBS		09/01/2022	Paydown		400	400.06	418	417		(1)		(1)		417		(17)	(17)	7	11/01/2031	1.A	
31395J-SP-2	FHR 2890 PE - CMO/RMBS		09/01/2022	Paydown		5,690	5,689.54	6,235	6,136		(13)		(13)		6,122		(433)	(433)	187	11/15/2034	1.A	
3140JA-VB-1	FN BM6009 - CMBS/RMBS		09/01/2022	Paydown		454	453.51	468	460		(2)		(2)		458		(4)	(4)	11	06/01/2024	1.A	
31418B-2V-7	FN MA2587 - RMBS		09/01/2022	Paydown		11,233	11,233.19	11,883	11,706		(7)		(7)		11,699		(466)	(466)	264	04/01/2036	1.A	
0909999999	Bonds - U.S. Special Revenue and Special Assessment Non-Guaranteed Obligations				X X X	20,479	20,477.75	21,782	21,458		(30)		(30)		21,428		(950)	(950)	524	X X X	X X X	
86562M-AQ-3	SUMITOMO MITSUI FINANCIAL GROUP IN	C	07/12/2022	Maturity @ 100.00		40,000	40,000.00	40,617	40,123		(123)		(123)		40,000				1,114	07/12/2022	1.G FE	
1109999999	Bonds - Industrial and Miscellaneous (Unaffiliated)				X X X	40,000	40,000.00	40,617	40,123		(123)		(123)		40,000				1,114	X X X	X X X	
2509999997	Subtotal - Bonds - Part 4				X X X	191,177	191,812.79	198,183	196,485		(723)		(723)		195,760		(4,585)	(4,585)	5,052	X X X	X X X	
2509999998	Summary Item from Part 5 for Bonds (N/A for Quarterly)				X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
2509999999	Subtotal - Bonds				X X X	191,177	191,812.79	198,183	196,485		(723)		(723)		195,760		(4,585)	(4,585)	5,052	X X X	X X X	
6009999999	Totals					191,177	X X X	198,183	196,485		(723)		(723)		195,760		(4,585)	(4,585)	5,052	X X X	X X X	

**NONE Schedule DB - Part A - Section 1**

**NONE Schedule DB - Part B - Section 1**

**NONE Schedule DB - Part D - Section 1**

**NONE Schedule DB - Part D - Section 2**

**NONE Schedule DB - Part E**

**NONE Schedule DL - Part 1**

**NONE Schedule DL - Part 2**

## SCHEDULE E - PART 1 - CASH

### Month-End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Open Depositories - Section (A) - Segregated Funds Held for Others								
0199998 Deposits in ( ) depositories which do not exceed the allowable limit in any one depository (see Instructions)	X X X	X X X						XXX
0199999 Total Segregated Funds Held for Others	X X X	X X X						XXX
Open Depositories - Section (B) - General Funds								
ALLIANCE BANK OF ARIZONA PHOENIX, AZ		0.640	536		1,016,590	1,017,069	1,017,605	
AMERICAN RIVIERA BANK SANTA BARBARA, CA		0.210	298		254,587	254,622	254,666	
AMERICAN RIVIERA BANK SANTA BARBARA, CA		0.210	298		254,922	254,957	255,001	
AXOS BANK SAN DIEGO, CA					1,000,000	1,000,000	1,000,000	
BANK OF AMERICA-NATL OP BREA, CA					45,412,237	42,106,896	37,053,235	
BANK OF AMERICA-CA OPER BREA, CA					1,482,437	1,469,078	1,402,454	
BANK OF AMERICA-RECORDI BREA, CA					52,973	52,973	52,973	
BANK OF AMERICA-FLORIDA BREA, CA					67,358	67,358	67,358	
CITY NATIONAL BANK LOS ANGELES, CA		0.175	9,453		5,058,416	5,059,559	5,060,599	
EAST WEST BANK SEATTLE, WA		0.050	168		500,677	500,696	500,714	
GENESIS BANK NEWPORT BEACH, CA		0.200	1,592		1,750,988	1,751,305	1,751,592	
HERITAGE BANK OLYMPIA, WA		0.100	125		254,765	254,780	254,801	
HOME STREET BANK PORTLAND, OR					25,274,870	25,299,833	25,331,931	
HORIZON COMMUNITY BANK LAKE HAVASU CITY, AZ		0.100	144		257,735	257,756	257,778	
INFINITY BANK SANTA ANA, CA		0.850	722		1,032,614	1,033,359	1,034,081	
JP MORGAN CHASE BANK PORTLAND, OR					5,000,000	5,000,000	5,000,000	
LEWIS AND CLARK BANK OREGON CITY, OR		0.050	95		253,148	253,148	253,180	
ONPOINT CREDIT UNION PORTLAND, OR		0.050	188		502,887	502,906	502,925	
PROSPERITY FKA LEGACY T PLANO, TX		0.480	422		252,398	252,484	252,584	
REPUBLIC BANK PHOENIX, AZ		0.660	920		261,309	261,448	261,590	
WEBSTER BANK FKA STERLI YONKERS, NY		0.050	1,905		5,093,772	5,093,989	5,094,198	
WELLS FARGO BANK-OPERA COLUMBIA, SC					343,458	333,571	953,511	
WELLS FARGO BANK-OPERA PORTLAND, OR					175,596,531	131,381,190	117,616,890	
WELLS FARGO BANK-PREMI PORTLAND, OR					228,604	232,756	256,555	
WELLS FARGO BANK-LIEN S PORTLAND, OR					31,900	31,900	31,900	
WELLS FARGO BANK-OR RE PORTLAND, OR					34,095	67,856	56,230	
WELLS FARGO BANK-AZ REC PORTLAND, OR					25,000	25,000	25,000	
WELLS FARGO BANK-NATL R PORTLAND, OR					20,891	20,891	20,891	
WELLS FARGO BANK-NV RE PORTLAND, OR					161,273	188,103	186,406	
WESTERN HERITAGE BANK EL PASO, TX		0.290	66		100,992	101,004	101,028	
WILLAMETTE VALLEY BANK PORTLAND, OR		0.200	253		255,561	255,561	255,561	
WILLAMETTE VALLEY BANK PORTLAND, OR		0.200	249		251,125	251,125	251,125	
US BANK PORTLAND, OR					12,000	12,000	12,000	
0299998 Deposits in ( ) depositories which do not exceed the allowable limit in any one depository (see Instructions)	X X X	X X X				14		XXX
0299999 Total General Funds	X X X	X X X	17,434		272,096,113	224,645,187	206,426,362	XXX
Open Depositories - Section (C) - Reinsurance Reserve Funds								
0399998 Deposits in ( ) depositories which do not exceed the allowable limit in any one depository (see Instructions)	X X X	X X X						XXX
0399999 Total Reinsurance Reserve Funds	X X X	X X X						XXX
0499999 Total Open Depositories	X X X	X X X	17,434		272,096,113	224,645,187	206,426,362	XXX
Suspended Depositories - Section (A) - Seg. Funds Held for Others								
0599998 Deposits in ( ) depositories which do not exceed the allowable limit in any one depository (see Instructions)	X X X	X X X						XXX
0599999 Total Segregated Funds Held for Others	X X X	X X X						XXX
Suspended Depositories - Section (B) - General Funds								
0699998 Deposits in ( ) depositories which do not exceed the allowable limit in any one depository (see Instructions)	X X X	X X X						XXX
0699999 Total General Funds	X X X	X X X						XXX
Suspended Depositories - Section (C) - Reinsurance Reserve Funds								
0799998 Deposits in ( ) depositories which do not exceed the allowable limit in any one depository (see Instructions)	X X X	X X X						XXX
0799999 Total Reinsurance Reserve Funds	X X X	X X X						XXX
0899999 Total Suspended Depositories	X X X	X X X						XXX





## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due & Accrued	9 Amount Received During Year
	BANK OF THE WEST ADVANTAGE ACCT		09/30/2022	2.310	09/30/2022	166,311	195	37
	BANK OF THE WEST MONEY SAVINGS ACCOUNT		09/30/2022	0.001	09/30/2022	508,395		380
	BANK OF THE WEST MONEY SAVINGS ACCOUNT		09/30/2022	0.007	09/30/2022	1,040,649		778
	CITIZENS BANK MONEY MARKET ACCOUNT		09/30/2022		09/30/2022	255,888		96
	UMPQUA BANK MONEY MARKET FUND		09/30/2022		09/30/2022	4,061,745		3,037
	DREYFUS CASH MGT INST		03/31/2020	2.980	09/30/2022	100,000		470
	FIRST AMER:TRS OBG V		09/02/2022	2.760	09/30/2022			
	ALLSPRING:TRS+ MM I		09/30/2022	2.730	09/30/2022	263		
	WFB INSTITUTIONAL BANK DEPOSIT		09/30/2022	1.050	09/30/2022	5,336,045	5,475	13,213
8109999999	Sweep Accounts					11,469,296	5,670	18,011
09248U-70-0	BLKRK LQ:FEDFUND INSTL		09/01/2022	2.800	X X X			
711991-00-0	TD BANK DEPOSIT SWEEP		09/01/2022		X X X	50,482	1	11
8AMMF0-41-6	US BANK MONEY MARKET (MMDA) 20 IT&C		09/02/2022	0.300	X X X	100,004		4
991070-74-9	US BANK MONEY MARKET (MMDA) IT&C		09/30/2022	0.005	X X X	277,098	99	145
8309999999	All Other Money Market Mutual Funds					427,584	100	160
	RBS CITIZENS NA CASH SWEEP		09/01/2022		09/30/2022	502,004		2,193
8509999999	Other Cash Equivalents					502,004		2,193
8609999999	Total Cash Equivalents					12,398,884	5,770	20,364

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