

QUARTERLY STATEMENT

OF THE

WFG NATIONAL

TITLE INSURANCE COMPANY

of COLUMBIA

in the state of SOUTH CAROLINA

TO THE

Insurance Department

OF THE

STATE OF

FOR THE QUARTER ENDED

September 30, 2021

TITLE

2021



51152202120100103

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2021
OF THE CONDITION AND AFFAIRS OF THE

WFG National Title Insurance Company

NAIC Group Code	4736	4736	NAIC Company Code	51152	Employer's ID Number	57-0575396
	(Current Period)	(Prior Period)				
Organized under the Laws of	South Carolina			State of Domicile or Port of Entry SC		
Country of Domicile	USA					
Incorporated/Organized	June 18, 1974			Commenced Business November 14, 1974		
Statutory Home Office	2711 Middleburg Drive Suite 206			Columbia, SC US 29204		
	(Street and Number)			(City or Town, State, Country and Zip Code)		
Main Administrative Office	12909 SW 68th Parkway, Suite 350			704-542-7616		
	(Street and Number)			(Area Code) (Telephone Number)		
	Portland, OR US 97223			(City or Town, State, Country and Zip Code)		
Mail Address	7401 Carmel Executive Park Dr, Suite 105			Charlotte, NC US 28226-8403		
	(Street and Number or P.O. Box)			(City or Town, State, Country and Zip Code)		
Primary Location of Books and Records	7401 Carmel Executive Park Dr, Suite 105			Charlotte, NC US 28226-8403 704-542-7616		
	(Street and Number)			(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)		
Internet Website Address	www.wfgnationaltitle.com					
Statutory Statement Contact	John R. Wooldridge Jr.			704-488-4732		
	(Name)			(Area Code) (Telephone Number) (Extension)		
	jwooldridge@willistonfinancial.com			704-542-7618		
	(E-Mail Address)			(Fax Number)		

OFFICERS

	Name	Title
1.	Armen Steven Ozonian	Chief Executive Officer & President
2.	Joseph Vincent McCabe Jr	Exec VP, Secretary & General Counsel
3.	Michael Thomas Gallaher	Exec VP, CFO & Treasurer

VICE-PRESIDENTS

Name	Title	Name	Title
Steven Huntington Winkler	Executive VP & Chief Underwriting Counsel	John Randolph Wooldridge Jr	Senior VP & Controller
Cynthia Lee Tucker	Executive VP		

DIRECTORS OR TRUSTEES

Patrick Francis Stone	Armen Steven Ozonian	Michael Thomas Gallaher	Joseph Vincent McCabe Jr
Cynthia Lee Tucker			

State of North Carolina

County of Mecklenburg ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) Armen Steven Ozonian _____ (Printed Name) 1. Chief Executive Officer & President _____ (Title)	_____ (Signature) Joseph Vincent McCabe Jr _____ (Printed Name) 2. Exec VP, Secretary & General Counsel _____ (Title)	_____ (Signature) Michael Thomas Gallaher _____ (Printed Name) 3. Exec VP, CFO & Treasurer _____ (Title)
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Subscribed and sworn to before me this
9th day of November, 2021

a. Is this an original filing? Yes No
b. If no: 1. State the amendment number _____
2. Date filed _____
3. Number of pages attached _____

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	20,750,105		20,750,105	22,097,702
2. Stocks:				
2.1 Preferred stocks	150,180		150,180	149,880
2.2 Common stocks	1,334,126		1,334,126	
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens	10,793	10,793		
4. Real estate:				
4.1 Properties occupied by the company (less \$ 0 encumbrances)				
4.2 Properties held for the production of income (less \$ 0 encumbrances)				
4.3 Properties held for sale (less \$ 0 encumbrances)				
5. Cash (\$ 251,364,590), cash equivalents (\$ 12,119,572), and short-term investments (\$ 0)	263,484,162		263,484,162	191,262,314
6. Contract loans (including \$ 0 premium notes)				
7. Derivatives				
8. Other invested assets	10,941,951		10,941,951	11,276,373
9. Receivables for securities	368		368	451
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	296,671,685	10,793	296,660,892	224,786,720
13. Title plants less \$ 0 charged off (for Title insurers only)	4,023,862		4,023,862	4,079,910
14. Investment income due and accrued	137,154		137,154	122,191
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	3,727,879		3,727,879	4,805,303
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ 0 earned but unbilled premiums)				
15.3 Accrued retrospective premiums (\$ 0) and contracts subject to redetermination (\$ 0)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers				
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts				
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon				
18.2 Net deferred tax asset	4,854,411	1,708,183	3,146,228	2,507,953
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software	4,108,861	393,649	3,715,212	2,407,865
21. Furniture and equipment, including health care delivery assets (\$ 0)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates	6,127,596		6,127,596	1,570,565
24. Health care (\$ 0) and other amounts receivable				
25. Aggregate write-ins for other-than-invested assets	2,900,842	2,592,599	308,243	304,334
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	322,552,290	4,705,224	317,847,066	240,584,841
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	322,552,290	4,705,224	317,847,066	240,584,841

DETAILS OF WRITE-IN LINES				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501. Prepays	2,357,599	2,357,599		
2502. Deposits	300,139		300,139	292,106
2503. Unsecured notes receivable	235,000	235,000		
2598. Summary of remaining write-ins for Line 25 from overflow page	8,104		8,104	12,228
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	2,900,842	2,592,599	308,243	304,334

NONE

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Known claims reserve	8,846,643	9,827,303
2. Statutory premium reserve	147,375,643	118,560,434
3. Aggregate of other reserves required by law		
4. Supplemental reserve		
5. Commissions, brokerage and other charges due or accrued to attorneys, agents and real estate brokers		
6. Other expenses (excluding taxes, licenses and fees)	19,350,853	17,509,984
7. Taxes, licenses and fees (excluding federal and foreign income taxes)	8,320,783	5,112,158
8.1 Current federal and foreign income taxes (including \$ 0 on realized capital gains (losses))	2,517,725	3,590,757
8.2 Net deferred tax liability		
9. Borrowed money \$ 0 and interest thereon \$ 0		
10. Dividends declared and unpaid		
11. Premiums and other consideration received in advance	733,585	806,201
12. Unearned interest and real estate income received in advance		
13. Funds held by company under reinsurance treaties		
14. Amounts withheld or retained by company for account of others		
15. Provision for unauthorized and certified reinsurance		
16. Net adjustment in assets and liabilities due to foreign exchange rates		
17. Drafts outstanding		
18. Payable to parent, subsidiaries and affiliates		
19. Derivatives		
20. Payable for securities		
21. Payable for securities lending		
22. Aggregate write-ins for other liabilities		
23. Total liabilities (Lines 1 through 22)	187,145,232	155,406,837
24. Aggregate write-ins for special surplus funds		
25. Common capital stock	2,025,000	2,025,000
26. Preferred capital stock		
27. Aggregate write-ins for other-than-special surplus funds		
28. Surplus notes		
29. Gross paid in and contributed surplus	33,359,167	33,359,167
30. Unassigned funds (surplus)	95,317,667	49,793,837
31. Less treasury stock, at cost:		
31.1 0 shares common (value included in Line 25 \$ 0)		
31.2 0 shares preferred (value included in Line 26 \$ 0)		
32. Surplus as regards policyholders (Lines 24 to 30 less 31)	130,701,834	85,178,004
33. Totals (Page 2, Line 28, Col. 3)	317,847,066	240,584,841

DETAILS OF WRITE-INS		
0301.		
0302.		
0303.		
0398. Summary of remaining write-ins for Line 03 from overflow page		
0399. Totals (Lines 0301 through 0303 plus 0398) (Line 03 above)		
2201.		
2202.		
2203.		
2298. Summary of remaining write-ins for Line 22 from overflow page		
2299. Totals (Lines 2201 through 2203 plus 2298) (Line 22 above)		
2401.		
2402.		
2403.		
2498. Summary of remaining write-ins for Line 24 from overflow page		
2499. Totals (Lines 2401 through 2403 plus 2498) (Line 24 above)		
2701.		
2702.		
2703.		
2798. Summary of remaining write-ins for Line 27 from overflow page		
2799. Totals (Lines 2701 through 2703 plus 2798) (Line 27 above)		

OPERATIONS AND INVESTMENT EXHIBIT

STATEMENT OF INCOME	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
OPERATING INCOME			
1. Title insurance and related income:			
1.1 Title insurance premiums earned	530,081,816	371,862,543	552,053,029
1.2 Escrow and settlement services	47,743,423	42,285,368	60,726,489
1.3 Other title fees and service charges	13,353,442	9,316,652	12,826,920
2. Aggregate write-ins for other operating income	441,184	433,277	501,509
3. Total Operating Income (Lines 1 through 2)	591,619,865	423,897,840	626,107,947
EXPENSES:			
4. Losses and loss adjustment expenses incurred	5,963,523	10,762,991	13,056,802
5. Operating expenses incurred	544,095,412	385,667,980	565,713,549
6. Aggregate write-ins for other operating expenses			
7. Total Operating Expenses	550,058,935	396,430,971	578,770,351
8. Net operating gain or (loss) (Lines 3 minus 7)	41,560,930	27,466,869	47,337,596
INVESTMENT INCOME			
9. Net investment income earned	12,878,286	7,030,085	12,141,613
10. Net realized capital gains (losses) less capital gains tax of \$ 5,831	21,938	61,606	71,611
11. Net investment gain (loss) (Lines 9 + 10)	12,900,224	7,091,691	12,213,224
OTHER INCOME			
12. Aggregate write-ins for miscellaneous income or (loss) or other deductions			
13. Net income, after capital gains tax and before all other federal income taxes (Lines 8 + 11 + 12)	54,461,154	34,558,560	59,550,820
14. Federal and foreign income taxes incurred	9,780,136	6,133,958	10,914,124
15. Net income (Lines 13 minus 14)	44,681,018	28,424,602	48,636,696
CAPITAL AND SURPLUS ACCOUNT			
16. Surplus as regards policyholders, December 31 prior year	85,178,004	43,184,194	43,184,194
17. Net income (from Line 15)	44,681,018	28,424,602	48,636,696
18. Change in net unrealized capital gains or (losses) less capital gains tax of \$ (77)	(334,711)	1,266,244	802,909
19. Change in net unrealized foreign exchange capital gain (loss)			
20. Change in net deferred income taxes	780,626	256,007	695,468
21. Change in nonadmitted assets	396,897	(489,366)	(341,263)
22. Change in provision for unauthorized and certified reinsurance			
23. Change in supplemental reserves			
24. Change in surplus notes			
25. Cumulative effect of changes in accounting principles			
26. Capital Changes:			
26.1 Paid in			
26.2 Transferred from surplus (Stock Dividend)			
26.3 Transferred to surplus			
27. Surplus Adjustments:			
27.1 Paid in			
27.2 Transferred to capital (Stock Dividend)			
27.3 Transferred from capital			
28. Dividends to stockholders			(7,800,000)
29. Change in treasury stock			
30. Aggregate write-ins for gains and losses in surplus			
31. Change in surplus as regards policyholders for the year (Lines 17 through 30)	45,523,830	29,457,487	41,993,810
32. Surplus as regards policyholders as of statement date (Lines 16 plus 31)	130,701,834	72,641,681	85,178,004
DETAILS OF WRITE-IN LINES			
0201. NON-WFG Policies	441,184	433,277	501,509
0202.			
0203.			
0298. Summary of remaining write-ins for Line 02 from overflow page			
0299. Totals (Lines 0201 through 0203 plus 0298) (Line 02 above)	441,184	433,277	501,509
0601.			
0602.			
0603.			
0698. Summary of remaining write-ins for Line 06 from overflow page			
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 06 above)			
1201.			
1202.			
1203.			
1298. Summary of remaining write-ins for Line 12 from overflow page			
1299. Totals (Lines 1201 through 1203 plus 1298) (Line 12 above)			
3001.			
3002.			
3003.			
3098. Summary of remaining write-ins for Line 30 from overflow page			
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)			

NONE

NONE

NONE

CASH FLOW

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	559,901,832	380,488,229	566,681,728
2. Net investment income	12,907,791	7,090,363	12,233,786
3. Miscellaneous income	61,538,049	52,035,297	74,054,918
4. Total (Lines 1 to 3)	634,347,672	439,613,889	652,970,432
5. Benefit and loss related payments	6,944,183	9,572,298	12,716,498
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	539,045,918	377,819,433	556,805,632
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ 0 tax on capital gains (losses)	10,859,000	4,950,000	8,600,000
10. Total (Lines 5 through 9)	556,849,101	392,341,731	578,122,130
11. Net cash from operations (Line 4 minus Line 10)	77,498,571	47,272,158	74,848,302
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	4,272,201	8,185,714	9,319,271
12.2 Stocks			
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains (or losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds			
12.8 Total investment proceeds (Lines 12.1 to 12.7)	4,272,201	8,185,714	9,319,271
13. Cost of investments acquired (long-term only):			
13.1 Bonds	4,294,293	4,483,047	6,579,955
13.2 Stocks			
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications			
13.7 Total investments acquired (Lines 13.1 to 13.6)	4,294,293	4,483,047	6,579,955
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(22,092)	3,702,667	2,739,316
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			7,800,000
16.6 Other cash provided (applied)	(5,254,631)	(4,272,862)	(1,198,649)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(5,254,631)	(4,272,862)	(8,998,649)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	72,221,848	46,701,963	68,588,969
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	191,262,314	122,673,345	122,673,345
19.2 End of period (Line 18 plus Line 19.1)	263,484,162	169,375,308	191,262,314

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			
20.0002			
20.0003			

NOTES TO FINANCIAL STATEMENTS

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

NAIC Accounting Practices and Procedures manual. There were no departures from net income or surplus calculations under South Carolina law. The timely preparation of financial statements in conformity with statutory accounting practices requires management to make estimates and assumptions that affect certain reported amounts. The accompanying financial statements have been prepared in accordance with the and disclosures. Actual results could differ from those estimates.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of SC is shown below:

	<u>2021</u>	<u>2020</u>
	<u>YTD</u>	<u>Y/E12/31</u>
NET INCOME		
(1) WFG National Title Insurance Company state basis	\$44,681,018	\$48,636,696
(2) State Prescribed Practices that increase (decrease) NAIC SAP	\$0	\$0
(3) State Permitted Practices that increase (decrease) NAIC SAP	\$0	\$0
(4) NAIC SAP	\$44,681,018	\$48,636,696
SURPLUS		
(5) WFG National Title Insurance Company state basis	\$130,701,834	\$85,178,004
(6) State Prescribed Practices that increase (decrease) NAIC SAP	\$0	\$0
(7) State Permitted Practices that increase (decrease) NAIC SAP	\$0	\$0
(8) NAIC SAP	\$130,701,834	\$85,178,004

Loan –backed securities are stated at amortized cost using the pro-rata method. There were no securities with a negative yield.

2. ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS

None

3. BUSINESS COMBINATIONS AND GOODWILL

None

4. DISCONTINUED OPERATIONS

None

5. INVESTMENTS

D. Loan-Backed Securities

- (1) Prepayment assumptions for mortgage-backed/loan backed and structured securities were obtained from broker-dealer survey values.
- (2) There is no OTTI recognized on any mortgage-backed/loan backed and structured securities during any quarter of 2021. (Aggregate)
- (3) There is no OTTI recognized on any mortgage-backed/loan backed and structured securities during any quarter of 2021. (Detail)
- (4) All impaired securities (fair value is less than cost or amortized cost) for which an OTTI has not been recognized in earnings as a realized loss:
 - a. The aggregate amount of unrealized losses

		(1)
Less than 12 months	\$	12
12 Months or Longer	\$	2,692

- a. The aggregate related fair value of securities with unrealized losses:

Less than 12 months	\$	4,063
12 Months or Longer	\$	153,968

E Repurchase Agreements and/or Securities Lending Transactions-None to report.

I. Working Capital Finance Investments-None to report

J. Offsetting and Netting of Assets and Liabilities-None to report

6. JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES

No changes

NOTES TO FINANCIAL STATEMENTS

7. INVESTMENT INCOME

No income due and accrued has been excluded

8. DERIVATIVE INSTRUMENTS

None

9. INCOME TAXES

No changes

10. INFORMATION CONCERNING PARENT, SUBSIDIARIES, AND AFFILIATES

No changes

11. DEBT

None

12. RETIREMENT PLANS, DEFERRED COMPENSATION, AND OTHER POSTRETIREMENT BENEFITS

None

13. CAPITAL AND SURPLUS, DIVIDEND RESTRICTIONS AND QUASI-RE-ORGANIZATIONS

No changes

14. CONTINGENCIES

No changes

15. LEASES

No changes

16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATION OF CREDIT RISK

None

17. SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

None

18. GAINS OR LOSSES TO THE REPORTING ENTITY FROM UNINSURED A&H PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

N/A Title companies

19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS

N/A Title companies

20. FAIR VALUE MEASUREMENT

All assets are reported at historical costs except bonds which are recorded at amortized cost.

21. OTHER ITEMS

None

22. EVENTS SUBSEQUENT

None

23. REINSURANCE

No changes

24. RETROSPECTIVELY RATED CONTRACTS & CONTRACTS SUBJECT TO RE-DETERMINATION

N/A Title companies

25. CHANGES IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES

None

26. INTER-COMPANY POOLING ARRANGEMENTS

N/A Title companies

27. STRUCTURED SETTLEMENTS

None

28. SUPPLEMENTAL RESERVE

None

NOTES TO FINANCIAL STATEMENTS

GENERAL INTERROGATORIES

PART 1 – COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [] No [X]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]

2.2 If yes, date of change: _____

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []

If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. _____

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]

If yes, complete and file the merger history data file with the NAIC.

4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....
.....

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [] N/A [X]
 If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. _____ 12/31/2020

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. _____ 12/31/2020

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). _____ 09/27/2021

6.4 By what department or departments?
 SC DOI

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]

GENERAL INTERROGATORIES

7.2 If yes, give full information

.....

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

.....

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
.....
.....

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules, and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

Yes [X] No []

9.11 If the response to 9.1 is No, please explain:

.....

9.2 Has the code of ethics for senior managers been amended? Yes [] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

.....

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

.....

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No []

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 6,127,596

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No [X]

GENERAL INTERROGATORIES

11.2 If yes, give full and complete information relating thereto:

.....

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ _____

13. Amount of real estate and mortgages held in short-term investments: \$ _____

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No

14.2 If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ _____	\$ _____
14.22 Preferred Stock	\$ _____	\$ _____
14.23 Common Stock	\$ _____	\$ _____
14.24 Short-Term Investments	\$ _____	\$ _____
14.25 Mortgage Loans on Real Estate	\$ _____	\$ _____
14.26 All Other	\$ 11,276,373	\$ 10,941,951
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 11,276,373	\$ 10,941,951
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ _____	\$ _____

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement. Yes No N/A

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$ _____
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$ _____
16.3 Total payable for securities lending reported on the liability page	\$ _____

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes No

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Wells Fargo Institutional Retirement Trust	1300 SW Fifth Avenue, Portland, OR 97201
Union Bank Global Custody Services	350 California Street, 6th Floor, San Francisco, CA 94104
Bank of the West	13300 Crossroads Parkway North, City of Industry, CA 91746

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....
.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes No

GENERAL INTERROGATORIES

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management - Identify all investment advisors, investment managers, broker/dealers, Including individuals that have the authority to make investments decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [".that have access to the investment accounts";"..handle securities"]

1 Name of Firm or Individual	2 Affiliation
BNY Mellon Wealth Management	U
Michael Thomas Gallaher, CFO	I

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [] No [X]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [] No [X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
105764	BNY Mellon Wealth Management	4EP6JBYBTPTQ47LZOB67	SEC	OS

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []

18.2 If no, list exceptions:

.....

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? Yes [] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- a. The security was purchased prior to January 1, 2018.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? Yes [] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- a. The shares were purchased prior to January 1, 2019.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- d. The fund only or predominantly holds bonds in its portfolio.
- e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

GENERAL INTERROGATORIES

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?

Yes [] No [X]

GENERAL INTERROGATORIES

PART 2 - TITLE

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [X] N/A []
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]

3.2 If yes, give full and complete information thereto:

.....

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses discounted to present value at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
.....
.....
.....
Total										

5.1 Reporting entity assets listed on Page 2 include the following segregated assets of the Statutory Premium Reserve or other similar statutory reserves:

5.11 Bonds	\$ 20,750,105
5.12 Short-term investments	\$
5.13 Mortgages	\$
5.14 Cash	\$ 117,306,232
5.15 Other admissible invested assets	\$
5.16 Total	\$ 138,056,337

5.2 List below segregated funds held for others by the reporting entity, set apart in special accounts and excluded from entity assets and liabilities.

(These funds are also included in Schedule E - Part 1 and the "From Separate Accounts, Segregated Accounts and Protected Cell Accounts" line on Page 2 except for escrow funds held by Title insurers)

5.21 Custodial funds not included in this statement were held pursuant to the governing agreements of custody in the amount of:	\$ 223,999,062
These funds consist of:	
5.22 In cash on deposit	\$ 223,999,062
5.23 Other forms of security	\$

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
		Pools and Associations				
0	00000	BEAZLEY SYNDICATE 623/2623	UK	Authorized		
0	00000	XL CATLIN SYNDICATE 2003	UK	Authorized		
0	00000	RENAISSANCE RE SYNDICATE 1458	UK	Authorized		
0	00000	CHAUCER SYNDICATE 1084	UK	Authorized		
0	00000	BRIT SYNIDICATE 2987	UK	Authorized		
0	00000	SIRIUS INTERNATIONAL INSURANCE CORP	SW	Authorized		
0	00000	IRONSHORE INSURANCE LTD	BM	Authorized		
0	00000	HAMILTON RE LTD	BM	Authorized		
0	00000	FIDELIS INSURANCE BERMUDA LIMITED	BM	Authorized		
0	00000	FIDELIS UNDERWRITING LTD	BM	Authorized		

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year To Date - By States and Territories

States, Etc.	1		Direct Premiums Written		Direct Losses and Allocated Loss Adjustment Expenses Paid (Deducting Salvage)		Direct Known Claim Reserve	
	Active Status (a)		2	3	4	5	6	7
			Current Year to Date	Prior Year to Date	Current Year to Date	Prior Year to Date	Current Year to Date	Prior Year to Date
1. Alabama	AL	L	1,397,130	1,005,587	18,843	22,439	21,190	19,091
2. Alaska	AK	L						
3. Arizona	AZ	L	6,776,697	5,213,795	7,642	73,766	3,155	42,876
4. Arkansas	AR	L	500,286	463,986	2,683	5,660		3,807
5. California	CA	L	82,698,126	57,160,150	589,536	1,596,935	1,278,093	2,026,610
6. Colorado	CO	L	8,473,171	6,143,429	18,195	6,968	41,963	3,031
7. Connecticut	CT	L	723,732	180,389				
8. Delaware	DE	L	740,576	403,136			6,903	2,123
9. District of Columbia	DC	L	2,976,608	2,319,940	18,426	36,543	54,115	76,002
10. Florida	FL	L	82,924,231	43,672,371	629,252	789,658	1,417,552	1,860,747
11. Georgia	GA	L	4,884,357	4,772,203	199,824	332,829	783,678	304,866
12. Hawaii	HI	L						
13. Idaho	ID	L	548,182	298,894				
14. Illinois	IL	L	6,263,161	3,975,803	319,223	299,156	90,611	219,563
15. Indiana	IN	L	3,101,669	2,561,020	61,391	1,806	19,078	135,319
16. Iowa	IA	N	13,044	13,156				
17. Kansas	KS	L	1,095,210	537,173				
18. Kentucky	KY	L	2,851,867	2,496,519	(21,589)	31,651		43,450
19. Louisiana	LA	L	16,380,491	13,718,779	279,034	141,325	136,971	174,069
20. Maine	ME	L	1,804	3,948				
21. Maryland	MD	L	17,776,707	12,447,704	49,453	(1,356)	142,426	49,169
22. Massachusetts	MA	L	5,325,790	3,802,835	354,347	154,531	419,421	126,217
23. Michigan	MI	L	22,918,242	15,061,001	29,350	7,842	62,604	63,477
24. Minnesota	MN	L	2,443,670	1,508,308	32,715	301,755	33,415	78,846
25. Mississippi	MS	L	323,586	320,416	156,971	62,531	218,060	102,044
26. Missouri	MO	L	1,850,581	831,843	15,837	23,609	26,296	25,953
27. Montana	MT	L	204,706	137,682				
28. Nebraska	NE	L	666,060	307,956				
29. Nevada	NV	L	11,350,909	6,890,325	837,899	232,009	56,433	40,047
30. New Hampshire	NH	L	2,232,350	1,482,573	(251,220)	356,137	13,788	17,637
31. New Jersey	NJ	L	17,210,156	12,406,969	150,321	553,366	61,662	322,548
32. New Mexico	NM	L	3,729,886	3,557,878	14,084	13,170	82,321	3,407
33. New York	NY	L	20,262,251	13,081,615	247,737	625,369	454,724	577,884
34. North Carolina	NC	L	24,615,821	14,354,324	63,946	481,807	224,651	116,295
35. North Dakota	ND	L	461,636	207,255		14,000		100
36. Ohio	OH	L	10,867,864	8,860,763	24,991	(13,505)	35,804	45,843
37. Oklahoma	OK	L	3,607,311	1,834,291	1,825	9,858	138,255	50,080
38. Oregon	OR	L	27,606,058	27,225,276	210,321	68,983	145,444	56,891
39. Pennsylvania	PA	L	15,084,105	10,712,795	249,191	112,830	411,452	358,564
40. Rhode Island	RI	L	714,523	350,303	41,666	6,471	16,198	12,138
41. South Carolina	SC	L	12,186,481	9,475,850	224,217	148,949	337,624	413,808
42. South Dakota	SD	L	21,192					
43. Tennessee	TN	L	2,280,713	1,577,730	413	10,565	7,627	43,436
44. Texas	TX	L	79,562,880	59,338,517	1,931,685	2,651,801	1,118,978	2,638,627
45. Utah	UT	L	1,549,592	1,446,032	34,443	16,389	591,569	323,611
46. Vermont	VT	L						
47. Virginia	VA	L	15,207,536	6,984,639	90,016	53,918	62,056	67,226
48. Washington	WA	L	35,214,159	21,927,000	239,826	197,534	306,061	209,324
49. West Virginia	WV	L	456,613	350,232	13,784	46,857	10,264	12,194
50. Wisconsin	WI	L	1,335,969	970,995	32,104	72,227	16,201	10,773
51. Wyoming	WY	L						
52. American Samoa	AS	N						
53. Guam	GU	N						
54. Puerto Rico	PR	N						
55. U.S. Virgin Islands	VI	N						
56. Northern Mariana Islands	MP	N						
57. Canada	CAN	N						
58. Aggregate Other Alien	OT	X X X						
59. Totals		X X X	559,417,689	382,393,385	6,918,382	9,546,383	8,846,643	10,677,693

DETAILS OF WRITE-INS							
58001.	X X X						
58002.	X X X						
58003.	X X X						
58998. Summary of remaining write-ins for Line 58 from overflow page	X X X						
58999. Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)	X X X						

NONE

(a) Active Status Counts:

L – Licensed or Chartered - Licensed insurance carrier or domiciled RRG	50
E – Eligible - Reporting entities eligible or approved to write surplus lines in the state	_____
R - Registered - Non-domiciled RRGs	_____
Q - Qualified - Qualified or accredited reinsurer	_____
N – None of the above - Not allowed to write business in the state	7

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
David Dominik (sole member of GGCOF Management, LLC)					
GGCOF Management, LLC	DE		46-4477754	David Dominik	100
Golden Gate Private Equity, Inc.	DE		94-3361271	GGCOF Management, LLC	100
GGC Fund III	CYM				100
Nassau NAMCO Blocker LLC	CYM			Golden Gate Capital Opportunity Fund-A, L.P	Contract
Nassau NAMCO Splitter, L.P.	CYM			GGC Opportunity Fund Management, L.P.	Contract
Nassau Saybrus Blocker LLC	CYM			Golden Gate Capital Opportunity Fund-A, L.P	Contract
Nassau Saybrus Splitter, L.P.	CYM			GGC Opportunity Fund Management, L.P.	Contract
GGCOF Nassau Investments, L.P.	CYM		98-1472055	Golden Gate Capital Opportunity Fund, L.P.	Contract
GGCOF Executive Co-Invest, L.P.	CYM			Individuals	Contract
GGCOF Executive Co-Invest Holdings, Ltd.	CYM			Individuals	Contract
NRH, L.P.	CYM		98-1250709	GGCOF Executive Co-Invest Holdings, Ltd.	Contract
Nassau UK Ltd.	GBR		98-1269725	NRH, L.P.	100
Nassau Cayman Ltd.	CYM		N/A	Nassau UK Ltd.	100
Nassau Re (Cayman) Ltd.	CYM		N/A	NRH, L.P.	100
Nassau TRF SPV Ltd.	CYM		N/A	Nassau Re (Cayman) Ltd.	100
Nassau Financial Group GP Ltd.	CYM		98-1421610	Individuals	Contract
Nassau Financial Group, L.P.	CYM		98-1421752	Nassau Financial Group GP Ltd.	Contract
Nassau Asset Management LLC	DE		81-0773412	Nassau Financial Group, L.P.	100
Nassau CorAmerica LLC	DE		26-4145792	Nassau Asset Management LLC	100
Nassau CorAmerica Loan Company LLC	DE		26-4145792	Nassau CorAmerica LLC	100
Nassau CorAmerica Advisors LLC	DE		46-3781541	Nassau CorAmerica LLC	100
NCA Realty Partners LLC	DE			Nassau CorAmerica LLC	100
Nassau Corporate Credit LLC	DE		35-2586068	Nassau Asset Management LLC	100
NCC CLO Manager LLC	DE		N/A	Nassau Corporate Credit LLC	100
NCC Management LLC	DE		82-4813430	Nassau Corporate Credit LLC	100
Nassau Private Credit LLC	DE		83-2636471	Nassau Corporate Credit LLC	100
Nassau Private Credit GP LLC	DE		83-2654128	Nassau Corporate Credit LLC	100

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Nassau Private Credit Onshore Fund LP	DE		83-2670988	Nassau Private Credit GP LLC	Contract
Nassau Private Credit Master Fund LP	CYM		N/A	Nassau Private Credit GP LLC	Contract
Nassau Private Credit Offshore Fund LP	CYM		98-1476251	Nassau Private Credit GP LLC	Contract
NPC SGP LLC	DE		84-2253380	Nassau Corporate Credit LLC	100
Nassau NCC Blocker (UK) Ltd.	CYM			Nassau Corporate Credit LLC	100
NCC Management (UK) Ltd.	GBR			Nassau Corporate Credit LLC	100
Nassau Corporate Credit (UK) LLP	GBR			Nassau NCC Blocker (UK) Ltd.	99
Nassau Alternative Investments LLC	DE		83-2559102	Nassau Asset Management LLC	100
NAMCO Services LLC	DE		84-3366256	Nassau Asset Management LLC	100
Nassau Distribution Holdco, LLC	DE		87-2341733	Nassau Financial Group, L.P.	100
NSRE Saybrus Holdings, LLC	DE		81-3180396	Nassau Financial Group, L.P.	100
Nassau Insurance Group Holdings GP, LLC	DE		47-5299012	Nassau Financial Group GP Ltd.	Contract
Nassau Insurance Group Holdings, L.P.	DE		38-3981572	Nassau Insurance Group Holdings GP, LLC	Contract
Nassau Re/Imagine LLC	DE			Nassau Insurance Group Holdings, L.P.	100
The Nassau Companies	DE		47-5128985	Nassau Insurance Group Holdings, L.P.	100
Nassau Life and Annuity Company	CT	93734	43-1240953	The Nassau Companies	100
Lynbrook Re, Inc.	VT	16585	83-4356685	Nassau Life and Annuity Company	100
Nassau Life Insurance Company of Kansas	KS	68284	48-0557726	Nassau Life and Annuity Company	100
Sunrise Re, Inc.	VT		84-3945103	The Nassau Companies	100
The Nassau Companies of New York	DE		06-1599088	The Nassau Companies	100
Nassau CLO SPV-I LLC	DE		82-3502154	The Nassau Companies of New York	56
Nassau CLO SPV-II LLC	DE		83-1785900	The Nassau Companies of New York	54.34
Nassau Life Insurance Company	NY	67814	06-0493340	The Nassau Companies of New York	100
PM Holdings, Inc.	CT		06-1065485	Nassau Life Insurance Company	100
Phoenix Founders, Inc.	CT		06-0860167	PM Holdings, Inc.	100
Nassau 2019 CFO LLC	DE		84-2346847	Nassau Life Insurance Company	76.73
Nassau 2019 CFO Fund LLC	DE		83-2511417	Nassau 2019 CFO LLC	100
Foresters Financial Holding Company, Inc.	DE		13-2608329	Nassau Life Insurance Company	100
PHL Delaware LLC	DE			Nassau Insurance Group Holdings, L.P.	100
DSM Sands LLC	DE		N/A	PHL Delaware LLC	100
PHL Variable Insurance Company	CT	93548	06-1045829	PHL Delaware LLC	100
Concord Re, Inc	CT		84-3620646	PHL Variable Insurance Company	100

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Westgate Delaware LLC.	DE			PHL Delaware LLC	100
Magni Re Ltd.	CYM			Westgate Delaware LLC	100
Nassau Reinsurance LLC	DE		47-3724102	Nassau Insurance Group Holdings, L.P.	100
NSRE BD Holdco LLC	DE		83-2726475	Nassau Reinsurance LLC	100
1851 Securities, Inc.	DE		27-1619945	NSRE BD Holdco LLC	100
Nassau Cayman Brac Holding Company	DE		83-1443085	Nassau Insurance Group Holdings, L.P.	100
GGC Opportunity Fund Management GP, Ltd.	CYM			GGC Opportunity Fund Management GP, Ltd.	Board
GGC Opportunity Fund Management, L.P.	CYM		98-0546997	GGC Opportunity Fund Management GP, Ltd.	Contract
GGC Opportunity Fund Entities	CYM			GGC Opportunity Fund Management, L.P.	Contract
Financial American Holdings Corporation	DE		27-2822251	GGC Opportunity Fund Entities	87.6
Williston Holdings LLC	DE		27-1434376	GGC Opportunity Fund Entities	96.0
Williston Financial Group LLC	DE		27-1434397	Williston Holdings LLC	100
Valustrust Solutions, LLC	KS		27-3972891	Williston Financial Group LLC	100
WFG Lender Services, LLC	MN		20-5836762	Williston Financial Group LLC	100
WFG Lender Services, LLC	AL		80-0936767	WFG Lender Services, LLC	100
WFG Lender Services, LLC	AR		80-0766075	WFG Lender Services, LLC	100
WFG Lender Services, LLC	TX		26-4627021	WFG Lender Services, LLC	100
WFGLS Title Agency of Utah, LLC	UT		20-8801713	WFG Lender Services, LLC	100
WFG Lender Services of Louisiana, LLC	LA		86-3788188	WFG Lender Services, LLC	100
WFG National Title Company of Texas, LLC	TX		27-0890944	Williston Financial Group LLC	100
Universal Title Partners, LLC	TX		27-1273851	WFG National Title Company of Texas, LLC	51.0
Universal Title Partners, LLC	TX		27-1273851	Newmark Homes Houston, LLC	49.0
WFG National Title Insurance Company	SC	51152	57-0575396	Williston Financial Group LLC	100
WFG National Title Company of Washington, LLC	WA		26-0522240	WFG National Title Insurance Company	100
Homer Recording District Joint Title Plant Company LLC	AK		47-2160319	Kachemak Bay Title Agency, Inc.	90.0
Homer Recording District Joint Title Plant Company LLC	AK		47-2160319	WFG National Title Insurance Company	10.0
Williston Enterprise Solutions & Technology, LLC	DE		45-2654474	Williston Financial Group LLC	100
ITC Holdings, Inc.	CA		80-0268853	Williston Financial Group LLC	100
WFG National Title Company of California	CA		95-3621359	ITC Holdings, Inc.	100
Alliance Title Agency, LLC	AZ		85-1213894	ITC Holdings, Inc.	40%
WFG National Title Company of Clark County WA, LLC	WA		38-4008723	Williston Financial Group LLC	100
19 th Street Palm Harbor LLC	DE		32-0642679	Williston Financial Group LLC	100

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Vorto, LLC	DE		36-4825366	GGC Opportunity Fund Entities	100
LoHi Logistics Holdings, LLC	DE		85-1719300	Vorto, LLC	100
LoHi Logistics, LLC	DE		85-1719312	LoHi Logistics Holdings, LLC	100
Vorto Operations, LLC	DE		85-4188478	Vorto, LLC	95.5
Vorto Operations Holdings, Inc.	DE		85-4142584	Vorto, LLC	100
Fifth Floor Freight Holdings, LLC	DE		86-1570396	Vorto, LLC	100
Fifth Floor Freight, LLC	DE		86-1571282	Fifth Floor Freight Holdings, LLC	100
Bihar Risk Retention Group LLC	SC			Fifth Floor Freight, LLC	98
Candlestick Freight Holdings, LLC	DE		87-1376141	Vorto, LLC	100
Candlestick Freight, LLC	DE		87-1376559	Candlestick Freight Holdings, LLC	100
LoHi Asset Leasing Marketplace, LLC	DE		86-2425276	Vorto, LLC	100
Canary Freight Holdings, LLC	DE		87-1350347	Vorto, LLC	100
Canary Freight, LLC	DE		87-1350510	Canary Freight Holdings, LLC	100
Truck Fuel Finance, LLC	DE		86-3276000	Vorto, LLC	100
Clarksburg Freight Holdings, LLC	DE		87-0932704	Vorto, LLC	100
Clarksburg Freight, LLC	DE		87-0932884	Clarksburg Freight Holdings, LLC	100
Snowshoe Mountain Freight Holdings, LLC	DE		87-0966101	Vorto, LLC	100
Snowshoe Mountain Freight, LLC	DE		87-0966556	Snowshoe Mountain Freight Holdings, LLC	100

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
4736	GGC Group	0	06-1599088		1129033		The Nassau Companies of New York	DE	UDP	The Nassau Companies	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0					DSM Sands LLC	DE	NIA	PHL Delaware LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	82-3502154				Nassau CLO SPV-I LLC	DE	NIA	The Nassau Companies of New York	Ownership	5600.000	David Dominik	N	
4736	GGC Group	67814	06-0493340		1159567		Nassau Life Insurance Company	NY	RE	The Nassau Companies of New York	Ownership	10000.000	David Dominik & GGCOF Managem	N	
4736	GGC Group	0	06-1065485				PM Holdings, Inc.	CT	DS	Nassau Life Insurance Company	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	27-1619945		1482939		1851 Securities, Inc.	DE	DS	NSRE BD Holdco LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	06-0860167				Phoenix Founders, Inc.	CT	DS	PM Holdings, Inc.	Ownership	10000.000	David Dominik	N	
4736	GGC Group	93548	06-1045829		1031223		PHL Variable Insurance Company	CT	IA	PHL Delaware LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	93734	43-1240953		13118221		Nassau Life and Annuity Company	CT	IA	The Nassau Companies	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0					GGC Opportunity Fund Management GP, Ltd.	CYM	UIP	GGC Opportunity Fund Management GP, Ltd.	Board of Directors			N	
4736	GGC Group	0	98-0546997				GGC Opportunity Fund Management, L.P.	CYM	UIP	GGC Opportunity Fund Management, GP, Ltd.	Contract		GGC Opportunity Fund Managemen	N	
4736	GGC Group	0					GGC Opportunity Fund Entities	CYM	UIP	GGC Opportunity Fund Management, L.P.	Contract		GGC Opportunity Fund Managemen	N	
12 4736	GGC Group	0	27-2822251				Financial American Holdings Corporation	DE	NIA	GGC Opportunity Fund Entities	Ownership	8760.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	27-1434376				Williston Holdings LLC	DE	NIA	GGC Opportunity Fund Entities	Ownership	9600.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	27-1434397				Williston Financial Group LLC	DE	NIA	Williston Holdings LLC	Ownership	10000.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	27-3972891				Valustrust Solutions, LLC	KS	NIA	Williston Financial Group LLC	Ownership	10000.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	20-5836762				WFG Lender Services, LLC	MN	NIA	Williston Financial Group LLC	Ownership	10000.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	80-0936767				WFG Lender Services, LLC	AL	NIA	WFG Lender Services, LLC	Ownership	10000.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	80-0766075				WFG Lender Services, LLC	AR	NIA	WFG Lender Services, LLC	Ownership	10000.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	26-4627021				WFG Lender Services, LLC	TX	NIA	WFG Lender Services, LLC	Ownership	10000.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	20-8801713				WFG National Title Agency of Utah, LLC	UT	NIA	WFG Lender Services, LLC	Ownership	10000.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	27-0890944				WFG National Title Company of Texas, LLC	TX	NIA	Williston Financial Group LLC	Ownership	10000.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	27-1273851				Universal Title Partners, LLC	TX	NIA	WFG National Title Company of Texas, LLC	Ownership	5100.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	27-1273851				Universal Title Partners, LLC	TX	NIA	Newmark Homes Houston, LLC	Ownership	4900.000	Unknown (not affiliated with the GG	N	
4736	GGC Group	51152	57-0575396				WFG National Title Insurance Company	SC	IA	Williston Financial Group LLC	Ownership	10000.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	26-0522240				WFG National Title Company of Washington, LLC	WA	NIA	WFG National Title Insurance Company	Ownership	10000.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	47-2160319				Homer Recording District Joint Title Plant Company LLC	AK	NIA	WFG National Title Insurance Company	Ownership	1000.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	47-2160319				Homer Recording District Joint Title Plant Company LLC	AK	NIA	Kachemak Bay Title Agency, Inc.	Ownership	9000.000	Unknown (not affiliated with the GG	N	
4736	GGC Group	0	45-2654474				Williston Enterprise Solutions & Technology, LLC	DE	NIA	Williston Financial Group LLC	Ownership	10000.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	80-0268853				ITC Holdings, Inc.	CA	NIA	Williston Financial Group LLC	Ownership	10000.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	95-3621359				WFG National Title Company of California	CA	NIA	ITC Holdings, Inc.	Ownership	10000.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	38-4008723				WFG National Title Company of Clark County WA, LLC	WA	NIA	Williston Financial Group LLC	Ownership	10000.000	GGC Opportunity Fund Managemen	N	
4736	GGC Group	0	46-4477754				GGCOF Management, LLC	DE	NIA	David Dominik	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	94-3361271				Golden Gate Private Equity, Inc.	DE	NIA	GGCOF Management, LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	N/A				GGC Fund III	CYM	NIA		Ownership	10000.000		N	
4736	GGC Group	0	98-1250709				NRH, L.P.	CYM	NIA	GGCOF Executive Co-Invest Holdings, Ltd.	Contract			N	
4736	GGC Group	0	98-1269725				Nassau UK Ltd.	GBR	NIA	NRH, L.P.	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	N/A				Nassau Cayman Ltd.	CYM	NIA	Nassau UK Ltd.	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	N/A				Nassau Re (Cayman) Ltd.	CYM	NIA	NRH, L.P.	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	N/A				Magni Re Ltd.	CYM	NIA	NRH, L.P.	Contract		David Dominik	N	
4736	GGC Group	0	47-5299012				Nassau Insurance Group Holdings GP, LLC	DE	NIA	Nassau Financial Group, GP Ltd.	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	38-3981572				Nassau Insurance Group Holdings, L.P.	DE	UIP	Nassau Insurance Group Holdings GP, LLC	Contract		David Dominik	N	
4736	GGC Group	0	47-5128985				The Nassau Companies	DE	NIA	Nassau Insurance Group Holdings, L.P.	Ownership	10000.000	David Dominik	N	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
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4736	GGC Group	68284	48-0557726				Nassau Life Insurance Company of Kansas	KS	IA	Nassau Life and Annuity Company	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	81-3180396				NSRE Saybrus Holdings, LLC	DE	NIA	Nassau Financial Group, L.P.	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	47-3724102				Nassau Reinsurance LLC	DE	NIA	Nassau Insurance Group Holdings, L.P.	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	83-2726475				NSRE BD Holdco LLC	DE	NIA	Nassau Reinsurance LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	81-0773412				Nassau Asset Management LLC	DE	NIA	Nassau Financial Group, L.P.	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	26-4145792				Nassau CorAmerica LLC	DE	NIA	Nassau Asset Management LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	26-4145792				Nassau CorAmerica Loan Company LLC	DE	NIA	Nassau CorAmerica LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	46-3781541				Nassau CorAmerica Advisors LLC	DE	NIA	Nassau CorAmerica LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	35-2586068				Nassau Corporate Credit LLC	DE	NIA	Nassau Asset Management LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	N/A				NCC CLO Manager LLC	DE	NIA	Nassau Corporate Credit LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	82-4813430				NCC Management LLC	DE	NIA	Nassau Corporate Credit LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	83-1443085				Nassau Cayman Brac Holding Company	DE	NIA	Nassau Insurance Group Holdings, LP	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0					Nassau TRF SPV Ltd.	CYM	NIA	Nassau Re (Cayman) Ltd	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0					Nassau NAMCO Blocker LLC	CYM	NIA	Golden Gate Capital Opportunity Fund-A, L.P	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0					Nassau NAMCO Splitter, L.P.	CYM	NIA	GGC Opportunity Fund Management, L.P.	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0					Nassau Saybrus Blocker LLC	CYM	NIA	Golden Gate Capital Opportunity Fund-A, L.P	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0					Nassau Saybrus Splitter, L.P.	CYM	NIA	GGC Opportunity Fund Management, L.P.	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	98-1421610				Nassau Financial Group GP Ltd.	CYM	NIA	Individuals	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	98-1421752				Nassau Financial Group, L.P.	CYM	NIA	Nassau Financial Group GP Ltd.	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0					PHL Delaware LLC	DE	NIA	Nassau Insurance Group Holdings, L.P.	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	83-2559102				Nassau Alternative Investments LLC	DE	NIA	Nassau Asset Management LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	83-2636471				Nassau Private Credit LLC	DE	NIA	Nassau Corporate Credit LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	83-2654128				Nassau Private Credit GP LLC	DE	NIA	Nassau Corporate Credit LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	83-2670988				Nassau Private Credit Onshore Fund LP	DE	NIA	Nassau Private Credit GP LLC	Contract		David Dominik	N	
4736	GGC Group	0	N/A				Nassau Private Credit Master Fund LP	CYM	NIA	Nassau Private Credit GP LLC	Contract		David Dominik	N	
4736	GGC Group	0	98-1476251				Nassau Private Credit Offshore Fund LP	CYM	NIA	Nassau Private Credit GP LLC	Contract		David Dominik	N	
4736	GGC Group	0	83-1785900				Nassau CLO SPV-II LLC	DE	NIA	The Nassau Companies of New York	Ownership	5434.000	David Dominik	N	
4736	GGC Group	0	84-2346847				Nassau 2019 CFO LLC	DE	NIA	Nassau Life Insurance Company	Ownership	7673.000	David Dominik	N	
4736	GGC Group	0	84-2253380				NPC SGP LLC	DE	NIA	Nassau Corporate Credit LLC	Contract		David Dominik	N	
4736	GGC Group	16585	83-4356685				Lynbrook Re, Inc.	VT	IA	Nassau Life and Annuity Company	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	84-3366256				NAMCO Services LLC	DE	NIA	Nassau Asset Management LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	83-2511417				Nassau 2019 CFO Fund LLC	DE	NIA	Nassau 2019 CFO LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0					Westgate Delaware LLC	DE	NIA	PHL Delaware LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	84-3945103				Sunrise Re, Inc.	VT	IA	The Nassau Companies	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	84-3620646				Concord Re, Inc.	CT	IA	PHL Variable Insurance Company	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	98-1472055				GGCOF Nassau Investments, L.P.	CYM	NIA	Golden Gate Capital Opportunity Fund, L.P.	Contract		David Dominik	N	
4736	GGC Group	0	85-1213894				Alliance Title Agency, LLC	AZ	NIA	ITC Holdings, Inc.	Ownership	40.000	David Dominik	N	
4736	GGC Group	0					Nassau NCC Blocker (UK) Ltd.	CYM	NIA	Nassau Corporate Credit LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0					NCC Management (UK) Ltd.	GBR	NIA	Nassau Corporate Credit LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0					Nassau Corporate Credit (UK) LLP	GBR	NIA	Nassau NCC Blocker (UK) Ltd.	Ownership	9900.000	David Dominik	N	
4736	GGC Group	0					Nassau Re/Imagine LLC	DE	NIA	Nassau Insurance Group Holdings, L.P.	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	13-2608329				Foresters Financial Holding Company	DE	NIA	Nassau Life Insurance Company	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	32-0642679				19th Street Palm Harbor LLC	DE	NIA	Williston Financial Group LLC	Ownership	10000.000	David Dominik	N	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
4736	GGC Group	0	86-3788188				WFG Lender Services of Louisiana, LLC	LA	NIA	WFG Lender Services, LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0					NCA Realty Partners LLC	DE	NIA	Nassau CorAmerica LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	87-2341733				Nassau Distribution Holdco, LLC	DE	NIA	Nassau Financial Group, L.P.	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	36-4825366				Vorto LLC	DE	NIA	GGC Opportunity Fund Entities	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	85-1719300				LoHi Logistics Holdings, LLC	DE	NIA	Vorto, LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	85-1719312				LoHi Logistics, LLC	DE	NIA	LoHi Logistics Holdings, LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	85-4188478				Vorto Operations, LLC	DE	NIA	Vorto, LLC	Ownership	9550.000	David Dominik	N	
4736	GGC Group	0	85-4142584				Vorto Operations Holdings, Inc	DE	NIA	Vorto, LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	85-4142584				Fifth Floor Freight Holdings, LLC	DE	NIA	Vorto, LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	86-1570396				Fifth Floor Freight, LLC	DE	NIA	Fifth Floor Freight Holdings, LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0					Bihar Risk Retention Group LLC	SC	NIA	Fifth Floor Freight, LLC	Ownership	9800.000	David Dominik	N	
4736	GGC Group	0	87-1376141				Candlestick Freight Holdings, LLC	DE	NIA	Vorto, LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	87-1376559				Candlestick Freight, LLC	DE	NIA	Candlestick Freight Holdings, LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	86-2425276				LoHi Asset Leasing Marketplace, LLC	DE	NIA	Vorto, LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	87-1350347				Canary Freight Holdings, LLC	DE	NIA	Vorto, LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	87-1350510				Canary Freight, LLC	DE	NIA	Canary Freight Holdings, LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	86-3276000				Truck Fuel Finance, LLC	DE	NIA	Vorto, LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	87-0932704				Clarksburg Freight Holdings, LLC	DE	NIA	Vorto, LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	87-0932884				Clarksburg Freight, LLC	DE	NIA	Clarksburg Freight Holdings, LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	87-0966101				Snowshoe Mountain Freight Holdings, LLC	DE	NIA	Vorto, LLC	Ownership	10000.000	David Dominik	N	
4736	GGC Group	0	87-0966556				Snowshoe Mountain Freight, LLC	DE	NIA	Snowshoe Mountain Freight Holdings, LLC	Ownership	10000.000	David Dominik	N	

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Asterik	Explanation
	NONE

PART 1 – LOSS EXPERIENCE

	Current Year to Date				5 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Written	2 Other Income (Page 4, Lines 1.2 + 1.3 + 2)	3 Direct Losses Incurred	4 Direct Loss Percentage Cols. 3 / (1 + 2)	
1. Direct operations	67,950,088	48,776,187	920,499	0.80	0.60
2. Agency operations:					
2.1 Non-affiliated agency operations	376,689,273	12,178,017	3,879,337	1.00	2.90
2.2 Affiliated agency operations	114,778,328	583,845	1,163,687	1.00	3.60
3. Totals	559,417,689	61,538,049	5,963,523	1.00	2.50

PART 2 – DIRECT PREMIUMS WRITTEN

	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Direct operations	21,197,618	67,950,088	59,116,708
2. Agency operations:			
2.1 Non-affiliated agency operations	127,817,935	376,689,273	250,937,943
2.2 Affiliated agency operations	33,249,982	114,778,328	72,338,734
3. Totals	182,265,535	559,417,689	382,393,385

OVERFLOW PAGE FOR WRITE-INS

Page 2 - Continuation

ASSETS

	Current Year			Prior Year
	1	2	3	4
REMAINING WRITE-INS AGGREGATED AT LINE 25 FOR OTHER THAN INVESTED ASSETS	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	Net Admitted Assets
2504. Miscellaneous AR	8,104		8,104	12,228
2597. Totals (Lines 2501 through 2596) (Page 2, Line 2598)	8,104		8,104	12,228

SCHEDULE A - VERIFICATION**Real Estate**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other-than-temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE**SCHEDULE B - VERIFICATION****Mortgage Loans**

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	10,793	10,793
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)	10,793	10,793
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	10,793	10,793
14. Deduct total nonadmitted amounts	10,793	10,793
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION**Other Long-Term Invested Assets**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	11,276,374	10,473,369
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount	45,071	90,149
5. Unrealized valuation increase (decrease)	(258,868)	873,690
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation	120,626	160,834
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)	10,941,951	11,276,374
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	10,941,951	11,276,374

SCHEDULE D - VERIFICATION**Bonds and Stocks**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	22,247,583	24,969,798
2. Cost of bonds and stocks acquired	4,294,293	6,579,954
3. Accrual of discount	11,552	18,749
4. Unrealized valuation increase (decrease)	9,304	(4,914)
5. Total gain (loss) on disposals	27,769	75,777
6. Deduct consideration for bonds and stocks disposed of	4,305,260	9,319,272
7. Deduct amortization of premium	59,936	76,910
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	9,106	4,401
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9 + 10)	22,234,411	22,247,583
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	22,234,411	22,247,583

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	17,508,703	1,091,079	1,282,965	33,079	18,773,283	17,508,703	17,349,896	18,814,625
2. NAIC 2 (a)	3,238,974	86,138	35,536	(43,336)	3,166,360	3,238,974	3,246,240	3,283,077
3. NAIC 3 (a)	155,056			(1,088)		155,056	153,968	
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	20,902,733	1,177,217	1,318,501	(11,345)	21,939,643	20,902,733	20,750,104	22,097,702
PREFERRED STOCK								
8. NAIC 1	148,987			1,013	143,640	148,987	150,000	149,880
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock	148,987			1,013	143,640	148,987	150,000	149,880
15. Total Bonds & Preferred Stock	21,051,720	1,177,217	1,318,501	(10,332)	22,083,283	21,051,720	20,900,104	22,247,582

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 0; NAIC 2 \$ 0; NAIC 3 \$ 0; NAIC 4 \$ 0; NAIC 5 \$ 0; NAIC 6 \$ 0

- NONE** **Schedule DA - Part 1 and Verification**
- NONE** **Schedule DB - Part A and B Verification**
- NONE** **Schedule DB - Part C - Section 1**
- NONE** **Schedule DB - Part C - Section 2**
- NONE** **Schedule DB - Verification**

SCHEDULE E PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	12,071,337	10,178,639
2. Cost of cash equivalents acquired	4,159,538	7,807,217
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	4,111,303	5,914,519
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	12,119,572	12,071,337
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	12,119,572	12,071,337

NONE Schedule A - Part 2 and 3

NONE Schedule B - Part 2 and 3

NONE Schedule BA - Part 2 and 3

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
912828-2D-1	UNITED STATES TREASURY		08/02/2021	SG AMERICAS SECURITIES, LLC		15,373	15,000.00	87	1.A
912828-5P-1	UNITED STATES TREASURY		07/01/2021	SG AMERICAS SECURITIES, LLC		53,045	50,000.00	126	1.A
912828-6T-2	UNITED STATES TREASURY		07/01/2021	CITADEL SECURITIES LLC		37,732	35,000.00	108	1.A
912828-YD-6	UNITED STATES TREASURY		08/25/2021	Nomura International Trust Co		256,621	250,000.00	1,672	1.A
912828-ZN-3	UNITED STATES TREASURY		07/01/2021	HSBC SECURITIES INC.		67,826	70,000.00	60	1.A
91282C-BW-0	UNITED STATES TREASURY		08/25/2021	BKNS NY/RATES DESK		49,906	50,000.00	120	1.A
91282C-CB-5	UNITED STATES TREASURY		07/29/2021	NOMURA SECURITIES INTL INC		15,491	15,000.00	50	1.A
91282C-CJ-8	UNITED STATES TREASURY		08/25/2021	RBC Dain Rauscher (US)		300,820	300,000.00	407	1.A
91282C-CS-8	UNITED STATES TREASURY		09/28/2021	Jefferies		97,516	100,000.00	153	1.A
91282C-CV-1	UNITED STATES TREASURY		09/28/2021	CREDIT SUISSE SECURITIES		98,727	100,000.00	90	1.A
0599999	Subtotal - Bonds - U. S. Government				X X X	993,057	985,000.00	2,873	X X X
02665W-DZ-1	AMERICAN HONDA FINANCE CORP		09/09/2021	Various		55,036	55,000.00	3	1.G FE
06367W-HH-9	BANK OF MONTREAL	C	07/01/2021	HSBC SECURITIES INC.		16,062	15,000.00	208	1.F FE
21684A-AF-3	COOPERATIEVE RABOBANK UA	C	07/01/2021	MARKETAXESS CORPORATION		11,007	10,000.00	172	2.A FE
444859-BP-6	HUMANA INC		07/29/2021	J.P. Morgan Securities LLC		19,987	20,000.00		2.B FE
539439-AP-4	LLOYDS BANKING GROUP PLC	C	07/01/2021	MERRILL LYNCH PROF CLRING CORP		15,469	15,000.00	71	1.G FE
780082-AD-5	ROYAL BANK OF CANADA	C	07/01/2021	JANE STREET EXECUTION SERVICES LLC		11,456	10,000.00	205	1.G FE
82481L-AD-1	SHIRE ACQUISITIONS INVESTMENTS IRELAND DAC	C	07/01/2021	BNP PARIBAS SECURITIES BOND		16,274	15,000.00	137	2.B FE
92343V-FR-0	VERIZON COMMUNICATIONS INC		08/24/2021	BOK FINANCIAL SECURITIES INC		38,871	40,000.00	70	2.A FE
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)				X X X	184,162	180,000.00	866	X X X
8399997	Subtotal - Bonds - Part 3				X X X	1,177,219	1,165,000	3,739	X X X
8399998	Summary Item from Part 5 for Bonds				X X X	X X X	X X X	X X X	X X X
8399999	Total - Bonds				X X X	1,177,219	1,165,000.00	3,739	X X X
31420B-30-0	FEDERATED HRMS IN HYB IS		09/27/2021	Not Available	5,988.020	60,000			1.A
00143K-62-4	INVESCO INTL BOND R6		07/01/2021	Not Available	9,345.790	50,000			1.A
74253Q-41-6	PRINCIPAL-SP PRF SI INST		08/09/2021	Not Available	11,814.750	125,000			1.A
922031-82-8	VANGUARD INTERM-TERM TREASURY ADM		07/01/2021	Not Available	4,366.810	50,000			1.A
92913M-73-2	VOYA-SECURITIZED CR I		08/09/2021	Not Available	12,768.130	125,000			1.A
9499999	Subtotal - Common Stock - Mutual Funds				X X X	410,000	X X X		X X X
9799997	Subtotal - Common Stock - Part 3				X X X	410,000	X X X		X X X
9799998	Summary Item from Part 5 for Common Stocks				X X X	X X X	X X X	X X X	X X X

E04

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
9799999	Total - Common Stock				X X X	410,000	X X X		X X X
9899999	Total - Preferred and Common Stock				X X X	410,000	X X X		X X X
9999999	Totals				X X X	1,587,219	X X X	3,739	X X X

E04.1

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractua Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
83164L-MP-3	SBA 509366 - RMBS		09/01/2021	Paydown		749	748.79	766	765						764		(15)	(15)	10	05/25/2038	1.A
83164M-BN-8	SBA 509945 - RMBS		09/01/2021	Paydown		352	351.96	377	376						376		(24)	(24)	18	03/25/2042	1.A
83162C-RV-7	SBAP 2008-20F A - ABS		06/01/2021	Paydown															53	06/01/2028	1.A
83162C-SH-7	SBAP 2009-20B A - ABS		08/01/2021	Paydown		5,363	5,362.65	5,611	5,586		(13)		(13)		5,573		(210)	(210)	255	02/01/2029	1.A
83162C-TN-3	SBAP 2010-20I A - ABS		09/01/2021	Paydown		19,696	19,695.76	20,413	20,402		(38)		(38)		20,363		(668)	(668)	632	09/01/2030	1.A
83162C-WB-5	SBAP 2014-20 B A - ABS		08/01/2021	Paydown		25,187	25,187.37	26,667	26,400		(40)		(40)		26,360		(1,173)	(1,173)	859	02/01/2034	1.A
83162C-WX-7	SBAP 2015-20C A - ABS		09/01/2021	Paydown		16,012	16,012.04	16,492	16,410		(13)		(13)		16,397		(385)	(385)	473	03/01/2035	1.A
83164I-EZ-4	SBIC 2013-10B B - ABS		09/01/2021	Paydown		5,522	5,522.04	5,815	5,635		(27)		(27)		5,607		(85)	(85)	201	09/10/2023	1.A
912828-4W-7	UNITED STATES TREASURY		08/15/2021	Maturity @ 100.00		300,000	300,000.00	300,305	300,067		(67)		(67)		300,000				8,250	08/15/2021	1.A
912828-L5-7	UNITED STATES TREASURY		09/28/2021	CITADEL SECURITIES LL		50,836	50,000.00	50,908	50,273		(115)		(115)		50,158		678	678	873	09/30/2022	1.A
912828-S3-5	UNITED STATES TREASURY		07/29/2021	Jefferies		15,343	15,000.00	14,910	14,966		8		8		14,974		369	369	120	06/30/2023	1.A
912828-WY-2	UNITED STATES TREASURY		07/31/2021	Maturity @ 100.00		350,000	350,000.00	345,320	349,094		906		906		350,000				7,875	07/31/2021	1.A
912828-XQ-8	UNITED STATES TREASURY		09/28/2021	NOMURA SECURITIES IN		101,609	100,000.00	103,143	100,871		(408)		(408)		100,463		1,146	1,146	2,326	07/31/2022	1.A
912828-ZR-4	UNITED STATES TREASURY		09/28/2021	NATWEST MARKETS PL		45,019	45,000.00	44,949	44,963		19		19		44,982		37	37	47	05/31/2022	1.A
0599999	Subtotal - Bonds - U.S. Governments				X X X	935,688	932,880.61	935,676	935,808		212		212		936,017		(330)	(330)	21,992	X X X	X X X
31395J-SP-2	FHR 2890 PE - CMO/RMBS		09/01/2021	Paydown		11,033	11,032.58	12,091	11,942		(23)		(23)		11,919		(887)	(887)	366	11/15/2034	1.A
31381T-7L-8	FN 470799 - CMBS/RMBS		09/01/2021	Paydown		1,143	1,142.75	1,167	1,160		(3)		(3)		1,157		(14)	(14)	24	10/01/2024	1.A
3138L8-X3-3	FN AM7897 - CMBS/RMBS		09/01/2021	Paydown		1,068	1,068.19	1,107	1,093		(3)		(3)		1,090		(22)	(22)	20	01/01/2025	1.A
3138LG-AW-6	FN AN3620 - CMBS/RMBS		09/01/2021	Paydown		381	381.10	398							398		(17)	(17)	4	11/01/2031	1.A
3138LG-2T-2	FN AN4385 - CMBS/RMBS		09/01/2021	Paydown		370	370.35	380	376		(1)		(1)		375		(5)	(5)	8	01/01/2027	1.A
3140JA-VB-1	FN BM6009 - CMBS/RMBS		09/01/2021	Paydown		41,364	41,364.09	42,696	42,307		(225)		(225)		42,082		(718)	(718)	1,233	06/01/2024	1.A
31418B-2V-7	FN MA2587 - RMBS		09/01/2021	Paydown		33,501	33,501.40	35,438	34,944		(18)		(18)		34,925		(1,424)	(1,424)	775	04/01/2036	1.A
3199999	Subtotal - Bonds - U.S. Special Revenue and Special Assessment Non-Guaranteed Obligati				X X X	88,860	88,860.46	93,277	91,822		(273)		(273)		91,946		(3,087)	(3,087)	2,430	X X X	X X X
02665W-BG-5	AMERICAN HONDA FINANCE CORP		09/09/2021	Maturity @ 100.00		55,000	55,000.00	54,257	54,844		156		156		55,000				935	09/09/2021	1.G FE
38141G-GQ-1	GOLDMAN SACHS GROUP INC		07/27/2021	Maturity @ 100.00		200,000	200,000.00	226,840	203,062		(3,062)		(3,062)		200,000				10,500	07/27/2021	1.F FE
87938W-AR-4	TELEFONICA EMISIONES SAU	C	08/02/2021	Call @ 100.00		37,380	35,000.00	36,817	35,709		(173)		(173)		35,536		(536)	(536)	3,602	04/27/2023	2.C FE
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)				X X X	292,380	290,000.00	317,914	293,615		(3,079)		(3,079)		290,536		(536)	(536)	15,037	X X X	X X X
8099999	Subtotal - Bonds - SVO Identified Funds				X X X															X X X	X X X
8299999	Subtotal - Bonds - Unaffiliated Bank Loans				X X X															X X X	X X X
8399997	Subtotal - Bonds - Part 4				X X X	1,316,928	1,311,741	1,346,867	1,321,245		(3,140)		(3,140)		1,318,499		(3,953)	(3,953)	39,459	X X X	X X X

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractua Maturity Date	22 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amort- ization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.							
8399998	Summary Item from Part 5 for Bonds				X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
8399999	Total - Bonds				X X X	1,316,928	1,311,741.07	1,346,867	1,321,245		(3,140)		(3,140)		1,318,499		(3,953)	(3,953)	39,459	X X X	X X X
9999999	Totals					1,316,928	X X X	1,346,867	1,321,245		(3,140)		(3,140)		1,318,499		(3,953)	(3,953)	39,459	X X X	X X X

E05.1

NONE Schedule DB - Part A - Section 1

NONE Schedule DB - Part B - Section 1

NONE Schedule DB - Part D - Section 1

NONE Schedule DB - Part D - Section 2

NONE Schedule DB - Part E

NONE Schedule DL - Part 1

NONE Schedule DL - Part 2

SCHEDULE E - PART 1 - CASH

Month-End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Open Depositories - Section (A) - Segregated Funds Held for Others								
0199998 Deposits in () depositories which do not exceed the allowable limit in any one depository (see Instructions)	X X X	X X X						XXX
0199999 Total Segregated Funds Held for Others	X X X	X X X						XXX
Open Depositories - Section (B) - General Funds								
ALLIANCE BANK OF ARIZONA PHOENIX, AZ		0.280	384		1,014,781	1,014,911	1,015,036	
AMERICAN RIVIERA BANK SANTA BARBARA, CA		0.280	103		254,207	254,240	254,271	
AMERICAN RIVIERA BANK SANTA BARBARA, CA		0.280	14		254,541	254,575	254,606	
AXOS BANK SAN DIEGO, CA					1,000,000	1,000,000	1,000,000	
BANK OF AMERICA-NATL OP BREA, CA					74,979,121	77,021,518	74,435,132	
BANK OF AMERICA-CA OPER BREA, CA					1,552,340	1,550,883	1,550,397	
BANK OF AMERICA-RECORDI BREA, CA					52,973	52,973	52,973	
BANK OF AMERICA-FLORIDA BREA, CA					158,132	158,132	158,132	
BANK OF OKLAHOMA OKLAHOMA CITY, OK						300,000	300,000	
CITY NATIONAL BANK LOS ANGELES, CA		1.750	3,179		5,045,822	5,046,927	5,047,964	
EAST WEST BANK SEATTLE, WA		0.100	57		500,438	500,471	500,489	
HERTAGE BANK OLYMPIA, WA		0.250	28		254,612	254,625	254,637	
HOME STREET BANK PORTLAND, OR					25,189,894	25,197,045	25,203,952	
HORIZON COMMUNITY BANK LAKE HAVASU CITY, AZ		0.960	9		257,659	257,662	257,665	
INFINITY BANK SANTA ANA, CA		1.330	1,890		1,025,156	1,025,765	1,026,356	
JP MORGAN CHASE BANK PORTLAND, OR					5,000,000	5,000,000	5,000,000	
LEWIS AND CLARK BANK OREGON CITY, OR		0.150	59		252,995	252,995	253,054	
ONPOINT CREDIT UNION PORTLAND, OR		0.050	57		502,659	502,678	502,697	
PROSPERITY FKA LEGACY T PLANO, TX		0.200	64		252,056	252,077	252,098	
REPUBLIC BANK PHOENIX, AZ		0.086	269		260,222	260,313	260,401	
STERLING NATIONAL BANK YONKERS, NY		1.660	642		5,091,226	5,091,442	5,091,652	
WELLS FARGO BANK-OPERA COLUMBIA, SC					48,115	55,066	16,188	
WELLS FARGO BANK-OPERA PORTLAND, OR					111,581,571	119,358,998	127,525,893	
WELLS FARGO BANK-PREMI PORTLAND, OR					143,007	139,074	139,193	
WELLS FARGO BANK-LIEN S PORTLAND, OR					25,201	34,833	32,735	
WELLS FARGO BANK-OR RE PORTLAND, OR					85,162	88,983	58,831	
WELLS FARGO BANK-AZ REC PORTLAND, OR					23,977	21,964	25,000	
WELLS FARGO BANK-NATL R PORTLAND, OR					100,891	100,891	100,891	
WELLS FARGO BANK-NV RE PORTLAND, OR					123,327	68,152	188,103	
WESTERN HERITAGE BANK EL PASO, TX		0.260	12		100,941	100,945	100,949	
WILLAMETTE VALLEY BANK PORTLAND, OR		0.350	223		254,858	254,858	254,858	
WILLAMETTE VALLEY BANK PORTLAND, OR		0.350	218		250,434	250,434	250,434	
0299998 Deposits in () depositories which do not exceed the allowable limit in any one depository (see Instructions)	X X X	X X X					3	3 XXX
0299999 Total General Funds	X X X	X X X	7,208		235,636,318	245,723,433	251,364,590	XXX
Open Depositories - Section (C) - Reinsurance Reserve Funds								
0399998 Deposits in () depositories which do not exceed the allowable limit in any one depository (see Instructions)	X X X	X X X						XXX
0399999 Total Reinsurance Reserve Funds	X X X	X X X						XXX
0499999 Total Open Depositories	X X X	X X X	7,208		235,636,318	245,723,433	251,364,590	XXX
Suspended Depositories - Section (A) - Seg. Funds Held for Others								
0599998 Deposits in () depositories which do not exceed the allowable limit in any one depository (see Instructions)	X X X	X X X						XXX
0599999 Total Segregated Funds Held for Others	X X X	X X X						XXX
Suspended Depositories - Section (B) - General Funds								
0699998 Deposits in () depositories which do not exceed the allowable limit in any one depository (see Instructions)	X X X	X X X						XXX
0699999 Total General Funds	X X X	X X X						XXX
Suspended Depositories - Section (C) - Reinsurance Reserve Funds								
0799998 Deposits in () depositories which do not exceed the allowable limit in any one depository (see Instructions)	X X X	X X X						XXX
0799999 Total Reinsurance Reserve Funds	X X X	X X X						XXX
0899999 Total Suspended Depositories	X X X	X X X						XXX
0999999 Total Cash on Deposit	X X X	X X X	7,208		235,636,318	245,723,433	251,364,590	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due & Accrued	9 Amount Received During Year
	BANK OF THE WEST MONEY SAVINGS ACCOUNT		09/30/2021	0.001	12/31/2021	507,886		330
	BANK OF THE WEST MONEY SAVINGS ACCOUNT		09/30/2021	0.007	12/31/2021	1,039,609		826
	CITIZENS BANK MONEY MARKET ACCOUNT		09/30/2021		12/31/2021	255,758		203
	UMPQUA BANK MONEY MARKET FUND		09/30/2021		12/31/2021	4,057,685		2,978
	DREYFUS CASH MGT INST		03/31/2020	0.050	12/31/2021	100,000	2	21
	FIRST AMER:TRS OBG V		09/02/2021	0.010	12/31/2021	4,900,050	24	187
	WELLSFARGO:TRS+ MM I		09/30/2021	0.010	12/31/2021	263		
	WFB INSTITUTIONAL BANK DEPOSIT		09/30/2021	1.050	12/31/2021	699,608	6	46
	BANK OF THE WEST ADVANTAGE ACCT		09/29/2021	2.310	12/31/2021	94,535	2	
8499999	Sweep Accounts					11,655,394	34	4,591
09248U-70-0	BLK RK LQ:FEDFUND INSTL		02/01/2021	0.030	X X X	1,563		
711991-00-0	TD BANK DEPOSIT SWEEP		09/01/2021		X X X	347,202	9	13
8AMMF0-41-6	US BANK MONEY MARKET (MMDA) 20 IT&C		02/02/2021	0.300	X X X			
991070-74-9	US BANK MONEY MARKET (MMDA) IT&C		09/30/2021	0.005	X X X	115,413		
8699999	All Other Money Market Mutual Funds					464,178	9	13
9999999	Total Cash Equivalents					12,119,572	43	4,604

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